

## Stability preservation and power management in autonomous microgrids using adaptive nonlinear droop scheme

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**Abstract:** This paper proposes sharing active and reactive power in autonomous voltage source inverter (VSI)-based microgrids with no physical communication links. In decentralized VSI-based microgrids, when the demand or generation changes, the output voltage of distributed generation units and the frequency of the system will also change. This study presents a novel adaptive nonlinear droop (ANLD) scheme for preserving network stability, improving the system's dynamics, and controlling power sharing in multibus microgrids in a decentralized manner. Subcontrollers are modeled in a state space and combined together so that a complete dynamic model of the network can be developed. Note that controller coefficients are optimized to improve small-signal stability and to obtain a good operating point. To this end, an optimization problem is formulated and solved using the particle swarm optimization method. For the purposes of comparison, the proposed ANLD method and three other schemes are applied to two case studies: a 5-bus microgrid and a modified 37-bus IEEE microgrid. The stability margin analysis and time response simulations prove that the proposed algorithm performs much better and can be applied to large-scale microgrids.

**Key words:** Autonomous microgrid, droop control, load sharing, small-signal dynamics, voltage source inverter model

### 1. Introduction

Nowadays there is an increasing demand for energy in the form of stand-alone and small networks. There are several issues about supplying energy and launching such networks: resource type, system stabilization, power sharing, prevention of voltage collapse, and so on.

A microgrid is a part of a power distribution system [1,2] that constitutes a localized group of distributed generation (DG) units and loads that are usually interconnected and synchronous with the conventional distribution grid [2–4]. Microgrids can be designed as smart networks that turn the traditional process of generation, transmission, and distribution into an intelligent distribution scheme, taking advantage of new concepts and technologies. Microgrids are intended to increase reliability, improve power quality, reduce costs of generation and transmission, and employ renewable resources in lieu of fuel sources [3–6]. In addition, the presence of controllers in a microgrid reduces circulating reactive power, voltage droop, and frequency variation; improves active and reactive power sharing; and increases stability margin in transient and steady states. Microgrids have two different modes. In normal situations, they are connected to the network. However, when the power quality of the upstream network is not good, they disconnect from the network and work in autonomous mode. A common problem of microgrids in islanded mode is that they cannot live up to expectations due to a surge in the demand for power or because some of the resources go out of service for maintenance or replacement.

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In autonomous microgrids, all DG units must share the load, regulate the system voltage, keep the network frequency at nominal value, and prevent circulating current [7,8]. This calls for some controllers to take charge of the situation.

For controlling the VSI-based microgrid, two techniques are seen in the previous studies. The first one is based on a communication link, such as in [6,9–11]. In these studies, the master-slave scheme is applied. Pecas Lopes et al. [11] studied autonomous microgrids by selecting some DG as the multiple-master and also selecting a DG as single-master, and they finally concluded that both methods ensure system stability under different load conditions. However, physical communication links decrease the system reliability, and in large-scale systems this is impractical and costly.

The second idea is the droop method; this approach solves the presented communication link problems. The control schemes proposed in [11–16] are based on conventional droop. However, the conventional technique could not preserve the network frequency and voltage at their nominal values.

In low-voltage grids, line characteristics are resistive. Hence, active power and voltage are linked together. A phase difference of voltage between the sources also causes reactive power flow. For this reason, some studies [17–19] proposed (P/V) and (Q/f) droops and called them “opposite droops”. Using opposite droop, the voltage can be controlled directly. However, voltage deviations remain in the system [19].

To eliminate the frequency and voltage deviations, many studies [20–26] presented new and modified (P/f) and (Q/v) droops. Vasquez et al. [22] presented adaptive phase and voltage droops, where both of them depend on active and reactive power. In [24], for compensating voltage unbalance, positive and negative sequences of parameters were used in droop equations. Another study [25] proved that voltage and frequency droop equations depend on  $\frac{dQ}{dt}$  and  $\frac{dP}{dt}$  terms, respectively, and that it increases stability margin compared to conventional droop. Some studies, such as [21,26,27], researched the improvement of the system voltage only.

This manuscript proposes a novel adaptive nonlinear droop characteristic for not only preserving system stability but also for proper sharing of active and reactive loads, decreasing voltage and frequency deviations, and increasing system reliability. Performance and authenticity of the proposed idea will be demonstrated by theoretical analysis and simulation and it will be proved that the proposed method is better than other strategies proposed by previous studies.

The remainder of this paper is organized as follows. Section 2 gives a description of the structure of a microgrid, which includes DG units, loads, and lines, and presents the internal structure of VSI-based DG units. Also in this section, the issue of how to solve network equations to obtain operating point and time response of the system is discussed. In Section 3, a novel adaptive nonlinear droop (ANLD) method of active and reactive power control for VSI-based microgrids in autonomous mode is proposed. Section 4 deals with the optimization of the coefficients of the ANLD scheme in order to make sure the system gives a desirable and optimized response. The configuration of test cases and simulation results are reported in Section 5, followed by the conclusion in Section 6.

## 2. Microgrid structure

A microgrid has three main sections: DG units, loads, and lines. In a distribution network, the lines are resistive, and also, in VSI-based microgrids, the dynamics of inverters are very fast [28]. Hence, the loads and lines must be modeled in dynamic mode. In this study, the dynamic models of the loads and lines are based on the equations of [12].

2.1. VSI-based DG unit

The control structure of the DG unit, based on the method proposed in [12], is composed of the following sections: a power controller (PC), voltage controller (VC), current controller (CC), and filtering system (FS). This structure is demonstrated in Figure 1. The PC is the most important control section.

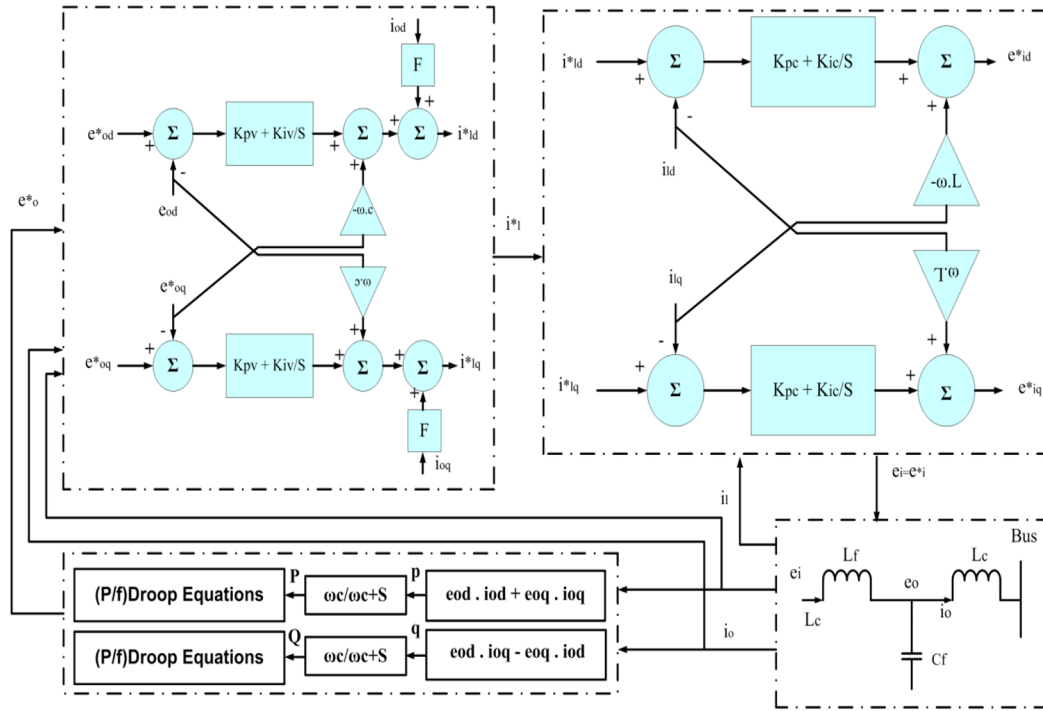


Figure 1. Voltage source inverter block diagram in DG unit.

In a decentralized microgrid, each controller in the DG must respond rapidly to network changes without receiving data from loads and other DGs [2]. Hence, VSIs (DGs) must be equipped with the (P/f) and (Q/v) droop controller. Using this scheme, the DGs share a common active load together, by dropping the frequency of their output voltage. Also, for sharing the common reactive load, they drop their output voltage magnitude despite increasing output reactive power [2]. This idea is taken from power sharing control of traditional synchronous generators [29].

The PC regulates the frequency and magnitude of the output voltage of the DG by the droop characteristics according to measured active and reactive power in the DG. In PC implementation, the measured active and reactive power is passed through a low-pass filter. This filter eliminates variations of power and voltage, creates delay, and prevents the VC and the CC from interfering with the PC [25]. Eq. (1) expresses the measured active and reactive power in the local rotating frame.

$$\begin{cases} \tilde{p} = e_{od} \cdot i_{od} + e_{oq} \cdot i_{oq} \\ \tilde{q} = e_{od} \cdot i_{oq} - e_{oq} \cdot i_{od} \end{cases} \quad (1)$$

Here,  $e_{od}$  and  $e_{oq}$  are the direct and quadratic components of measured output voltage of the DG unit, respectively, and  $i_{od}$  and  $i_{oq}$  are the direct and quadratic components of measured output current of the DG

unit, respectively. Eq. (2) shows low-pass filter equations.

$$\begin{cases} P = \frac{\omega_c}{s+\omega_c} \tilde{p} \\ Q = \frac{\omega_c}{s+\omega_c} \tilde{q} \end{cases} \quad (2)$$

Here,  $\omega_c$  is the cut-off frequency, and  $P$  and  $Q$  are the state variables of average active and reactive power, respectively. If  $P$  and  $Q$  are put in conventional (P/f) and (Q/V) droop equations, respectively, the reference magnitude ( $e_{od}^*, e_{oq}^*$ ) and phase ( $\delta$ ) of the output voltage of the DG unit are calculated according to Eqs. (3) and (4).

$$\begin{cases} e_{od}^* = |e_{0j}| - n_1 \cdot Q \\ e_{oq}^* = 0 \end{cases} \quad (3)$$

$$\begin{cases} \omega = \omega_n - m_1 \cdot P \\ \epsilon = \omega_n \cdot t + \delta \\ \omega = \epsilon \\ \delta = -m_1 \cdot P \end{cases} \quad (4)$$

Here,  $|e_{0j}|$  and  $\omega_n$  are nominal voltage and frequency in the local frame, respectively. In addition,  $m_1$  and  $n_1$  are coefficients of frequency and voltage droops, respectively. Coefficients  $m_1$  and  $n_1$  are solvable in different ways. A common approach is using Eq. (5).

$$\begin{cases} m_1 = \frac{\omega_{max} - \omega_{min}}{P_{max}} \\ n_1 = \frac{e_{od,max} - e_{od,min}}{Q_{max}} \end{cases} \quad (5)$$

In Eqs. (3) and (4) above, the phase and the amplitude of voltage are calculated in the local rotating frame of the inverter. In order to transfer this local frame to the reference frame, the difference in angle between the two frames ( $\vartheta$ ) needs to be calculated, and this is accomplished using Eq. (6).

$$\vartheta = \int (\omega - \omega_{com}) \quad (6)$$

The PC needs (Q/V) and (P/f) droops to be designed. In Section 3, novel droop equations will be proposed and analyzed.

The VC and CC controllers use the proportion-integrated (PI) controller and the feedforward scheme for eliminating the output voltage error and the input current error of the FS, respectively. Also, the FS rejects high-frequency deviations [12].

## 2.2. Network equations solution

It is a known fact that in autonomous microgrids, the frequency of each DG unit varies around the nominal frequency depending on load conditions and droop characteristics. These variations cause the rotating frame of each DG unit to rotate at a different speed. Figure 2 shows the rotating frame of the  $i$ th DG ( $d_i - q_i$ ), that of

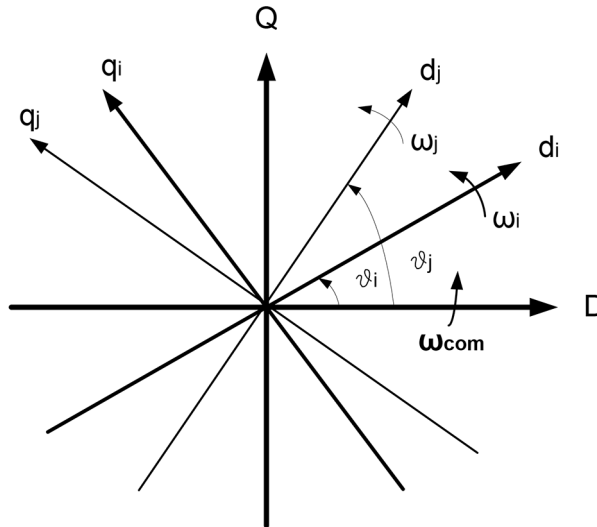
the  $j$ th DG ( $d_j-q_j$ ), and the reference rotating frame ( $D-Q$ ) at frequencies  $\omega_i$ ,  $\omega_j$ , and  $\omega_{com}$ , respectively. In order to transfer the rotating frame of the  $i$ th DG to the reference frame, Eq. (7) can be used.

$$[f_{DQ}] = [TM_i] [f_{dq_i}] \tag{7}$$

Here  $[TM_i]$  is the transfer matrix of the  $i$ th DG, which is expressed using Eq. (8).

$$[TM_i] = \begin{bmatrix} \cos(\vartheta_i) & -\sin(\vartheta_i) \\ \sin(\vartheta_i) & \cos(\vartheta_i) \end{bmatrix} \tag{8}$$

Here,  $\vartheta_i$  is the difference in angles between the reference frame and the  $i$ th frame. In a microgrid, if all DG resources are VSI-based, then one of them must be regarded as the reference, with its  $\vartheta$  being zero. In this paper, if a parameter is in the reference frame, then it is written using capital letters; otherwise, lowercase letters will be used.



**Figure 2.** Rotating frames of the  $i$ th DG and the  $j$ th DG as well as the reference rotating frame.

In the  $n$ -bus system, there are  $2n$  unknown variables [12]. To solve these state equations,  $2n$  equations are needed, which are obtained using the KCL in each bus, as in Eq. (9). Indeed, using Eq. (9), all variables are linked together.

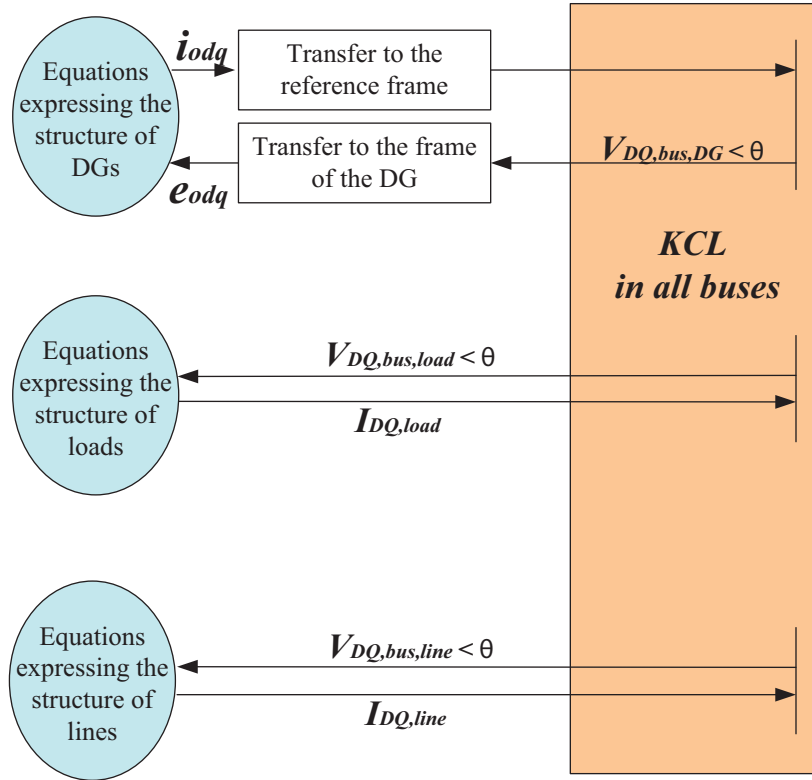
$$\begin{cases} \sum I_{Dload,line,andDG} = 0 \\ \sum I_{Qload,line,andDG} = 0 \end{cases} \tag{9}$$

Finally, all network equations consist of some state equations that model the network and some algebraic equations that connect the network elements together. Generally, all these equations can be written as in Eq. (10) below.

$$\begin{cases} x = H(x, y, z) \\ 0 = E(x, y, z) \end{cases} \tag{10}$$

Here,  $x$  is a dynamic-state variable such as the current of dynamic loads,  $y$  is an algebraic state variable such as the amplitude and phase of voltage of the DG bus, and  $z$  is a miscellaneous parameter.  $H$  and  $E$

represent dynamic and algebraic equations, respectively. The process of formulating and solving the state and algebraic equations is depicted in Figure 3 [12].



**Figure 3.** The block diagram of developing the complete state space model of the microgrid.

In the steady state, the system is stable, and therefore all variables are constant ( $x' = 0$ ). Thus:

$$\begin{cases} H(x,y,z) = 0 \\ E(x,y,z) = 0 \end{cases} \quad (11)$$

For obtaining the operating point, Eq. (11) must be solved. In this study Newton’s method is used [30].

Eigenvalues are the roots of the characteristic equation of the system state matrix (A). To obtain the state matrix, the Jacobean matrix (J) must first be calculated using Eq. (12).

$$J = \begin{bmatrix} \frac{dH}{dx} & \frac{\partial H}{\partial y} \\ \frac{dE}{dx} & \frac{dE}{dy} \end{bmatrix} = \begin{bmatrix} H_x & H_y \\ E_x & E_y \end{bmatrix} \quad (12)$$

Now the state matrix can be expressed as in Eq. (13).

$$A(x,y,z) = \{H_x\} - H_y E_y^{-1} E_x \quad (13)$$

Also, obtaining the time response of the system requires Eq. (10) to be solved using the algorithm proposed in [31].

### 3. Proposed ANLD controller

With the aim of increasing the stability margin and reliability of the system, following variations in the load, eliminating circulating reactive power between DG units, and reducing perturbations of voltage and frequency, the following proposed ANLD structure for the PC is developed in two subsections.

#### 3.1. The proposed reactive power control technique

##### 3.1.1. The first theory

Figure 4 is the single-line diagram of the microgrid under consideration, as in [12]. The output current of the  $j$ th DG in this microgrid is written as in Eq. (14).

$$I_j < \theta_j = \frac{\{E_j < \delta_j\} - \{|V_j| < \alpha_j\}}{z_j} \tag{14}$$

If  $DG_1$  is defined as a reference, then  $\alpha_1 = 0$  and the output current and power of this DG can be presented as in Eqs. (15) and (16), respectively.

$$I_1 < \theta_1 = \frac{\{E_1 \cos \delta_1 - |V_1|\} + j\{E_1 \sin \delta_1\}}{z_1} \tag{15}$$

$$\begin{cases} P_1 = \frac{r_1 \cdot E_1^2 + |V_1| \cdot E_1 \cdot (r_1 \cdot \cos \delta_1 + x_1 \cdot \sin \delta_1)}{r_1^2 + x_1^2} \\ Q_1 = \frac{x_1 \cdot E_1^2 + |V_1| \cdot E_1 \cdot (r_1 \cdot \sin \delta_1 - x_1 \cdot \cos \delta_1)}{r_1^2 + x_1^2} \end{cases} \tag{16}$$

Eq. (17) can be obtained from Eq. (16).

$$Q_1 = \frac{r_1 \cdot P_1}{x_1} + \frac{E_1^2 - |V_1| \cdot E_1 \cdot \cos \delta_1}{x_1} \tag{17}$$

It can be concluded that  $P_1$  and  $Q_1$  are linked together and that the relationship between  $V_1$  and  $Q_1$  is nonlinear. On the other hand, according to [25], the voltage droop characteristic depends on the term  $\frac{dQ}{dt}$ . Hence, in this paper, the nonlinear function  $F_1$  is proposed for the (Q/v) droop according to Eq. (18).

$$F_1 = \frac{dQ}{dt} + \frac{dP}{dt} \tag{18}$$

##### 3.1.2. The second theory

In Figure 4, the voltage of the  $j$ th bus is computed using Eq. (19).

$$|V_j| < \alpha_j = E_j < \delta_j - Z_j I_j < \theta_j \tag{19}$$

Here,  $I_j < \theta_j$  and  $E_j < \delta_j$  are the output current and voltage of the  $j$ th DG, respectively.  $Z_j$  is the impedance of the  $j$ th line. Eq. (19) can be expanded into Eqs. (20) and (21) as shown below.

$$|V_j| < \alpha_j = \{E_j \cdot \cos(\delta_j) - r_j I_j \cdot \cos(\theta_j) - x_j I_j \cdot \sin(\theta_j)\} + j \{E_j \cdot \sin(\delta_j) + r_j I_j \cdot \sin(\theta_j) - x_j I_j \cdot \cos(\theta_j)\} \tag{20}$$

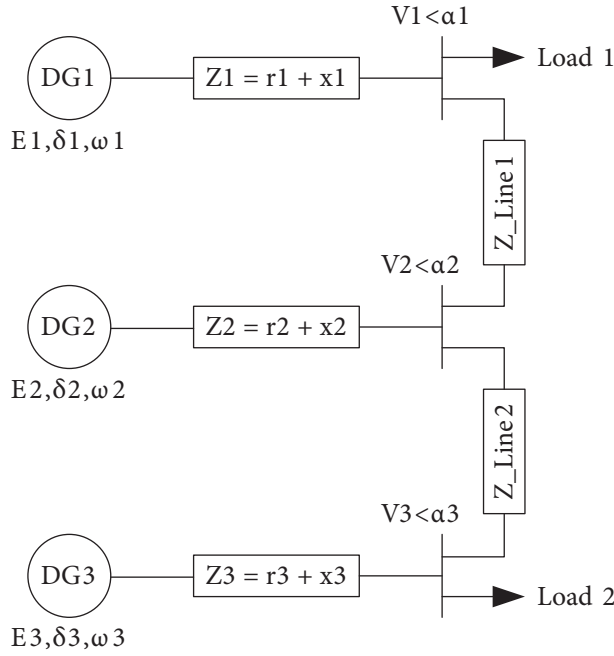


Figure 4. Single-line diagram of the microgrid under investigation.

$$|V_j| = \{E_j^2 + r_j^2 I_j^2 + x_j^2 I_j^2 - 2E_j r_j I_j \cdot \cos(\delta_j + \theta_j) - 2E_j x_j I_j \cdot \sin(\delta_j + \theta_j)\}^{1/2} \quad (21)$$

Assuming that  $\Gamma_j = \delta_j + \theta_j$ ,  $\Gamma_j \cong$  and  $x_j \cong$ ,

then  $x_j^2 I_j^2 \cos^2(\Gamma_j) \cong 0$ ,  $r_j^2 I_j^2 \sin^2(\Gamma_j) \cong 0$  and  $2r_j x_j I_j^2 \cdot \sin(\Gamma_j) \cdot \cos(\Gamma_j) \cong 0$ .

Thus, Eq. (21) can be expressed as Eq. (22).

$$|v_j| = \{E_j^2 + r_j^2 I_j^2 \cos^2(\Gamma_j) + 2r_j x_j I_j^2 \sin(\Gamma_j) \cdot \cos(\Gamma_j) + x_j^2 I_j^2 \sin^2(\Gamma_j) - 2E_j r_j I_j \cdot \cos(\Gamma_j) - 2E_j x_j I_j \cdot \sin(\Gamma_j)\}^{1/2} \quad (22)$$

Eq. (22) can be simplified as Eq. (23).

$$|V_j| = \{E_j - r_j I_j \cos(\Gamma_j) - x_j I_j \sin(\Gamma_j)\} \quad (23)$$

On the other hand, the power generated by the  $j$ th DG is calculated from Eq. (24).

$$S_j = (E_j \angle \delta_j)(I_j \angle \theta_j)^* \quad (24)$$

Eq. (25) can be obtained from Eq. (24).

$$\begin{cases} P_j = E_j I_j \cdot \cos(\Gamma_j) \\ Q_j = E_j I_j \cdot \sin(\Gamma_j) \end{cases} \quad (25)$$

Using Eq. (25), Eq. (23) can be rewritten as Eq. (26) below.

$$|V_j| = E_j - r_j \left(\frac{P_j}{E_j}\right) - x_j \left(\frac{Q_j}{E_j}\right) \quad (26)$$



According to Eq. (26), the voltage droop function,  $F_2$ , in each bus is expressed as Eq. (27).

$$F_2 = r_j \left( \frac{P_j}{E_j} \right) + x_j \left( \frac{Q_j}{E_j} \right) = \text{Real} \left\{ \frac{\bar{Z}_j \cdot S_j}{|E_j|} \right\} \quad (27)$$

Eq. (26) shows that voltage amplitude depends not only on the reactive power but also on the active power and series impedance ( $Z_j$ ). Furthermore,  $Z_j$  gives rise to voltage droop. Hence, it is necessary that  $F_2$  be added to the voltage droop equation in order to improve the voltage profile.

The first and second theories hold true for nonreference DGs. According to these theories, in this literature, the proposed (Q/V) droop of the  $j$ th DG is developed as in Eq. (28) instead of the conventional droop equation (Eq. (3a)). In Section 5, the validity of the scheme will be proved.

$$|V_j| = |E_{0j}| - n_{1j} (Q_j - Q_{0j}) - n_{2j} \frac{dQ_j}{dt} - n_{3j} \frac{dP_j}{dt} + \text{Real} \left\{ \frac{\bar{Z}_j \cdot S_j}{|E_{0j}|} \right\} \quad (28)$$

### 3.2. The proposed active power control technique

In this subsection, a novel (P/f) droop characteristic, Eq. (29), is presented and applied in the PC instead of Eq. (4a). This proposal eliminates the frequency deviations while improving the system stability and sharing the active power. Considering that [25] proposed the term  $\frac{dP}{dt}$  in the (P/f) droop equation, the following equation is proposed.

$$\omega_j = \omega_{nj} - m_{1j} (P_j - P_{0j}) - m_{2j} \frac{dP_j}{dt} (P_j - P_{0j}) \quad (29)$$

In order to prove the performance and validity of Eq. (29), eigenvalues of conventional and proposed droop equations are calculated.

If  $r_j \cong 0$  and  $\delta_j \cong 0$  are assumed in Figure 4, Eq. (30) is obtained from Eq. (16).

$$P_j = \frac{|V_j| \cdot E_j \cdot \sin \delta_j}{x_j} \quad (30)$$

On the other hand, Eq. (6) above can be expressed as Eq. (31).

$$\delta_j - \delta_s = \int (\omega_j - \omega_s) \cdot dt \quad (31)$$

Here,  $\delta_s$  and  $\omega_s$  are related to the reference DG unit, and  $\delta_j$  and  $\omega_j$  are related to DG $_j$ , which is the nonreference DG. It should be noted that the conventional (P/f) droop mimics governor characteristics in the synchronous generator [32]. If  $V_j, E_j$ , and  $x_j$  are assumed constant,  $\frac{dP_j}{dt}$  is calculated using Eq. (32).

$$\frac{dP_j}{dt} = \frac{|V_j| \cdot E_j \cdot \cos(\delta_j - \delta_s)}{x_j} \left( \frac{d\delta_j}{dt} - \frac{d\delta_s}{dt} \right) \quad (32)$$

Eq. (33) is presented using Eq. (4a) and Eq. (31),

$$\frac{dP_j}{dt} = A \cdot \{\omega_j - \omega_s\}, \quad (33)$$

where

$$A = \frac{|V_j|.E_j \cdot \cos(\delta_j - \delta_s)}{x_j}$$

### 3.2.1. Assessing eigenvalues in the conventional droop-based system

By replacing Eq. (4a) in Eq. (33), Eq. (34) is obtained.

$$\frac{dP_j}{dt} = A \cdot \{\omega_{nj} - m_{1j} \cdot P_j - \omega_s\} \tag{34}$$

Therefore, the eigenvalue of a conventional droop based-system is calculated from Eq. (35) below.

$$\lambda = -A \cdot m_{1j} \tag{35}$$

In order for the system to be stable,  $\lambda$  must be negative. This means that  $m_{1j}$  must be positive because A is invariably positive.

### 3.2.2. Assessing eigenvalues in the novel droop-based system

For the novel droop-based system, Eq. (36) is obtained by means of putting Eq. (29) into Eq. (33).

$$\frac{dP_j}{dt} = A \cdot \{\omega_{nj} - m_{1j} (P_j - P_{0j}) - m_{2j} P_j (P_j - P_{0j}) - \omega_s\} \tag{36}$$

Then Eq. (37) results from applying Laplace transform to both sides of Eq. (36).

$$A \cdot m_{2j} \cdot S \cdot P_j^2 + \{A \cdot m_{1j} + (1 - A \cdot m_{2j} \cdot P_{0j}) \cdot S\} P_j - A \cdot \{\omega_{nj} + m_{1j} \cdot P_{0j} - \omega_s\} = 0 \tag{37}$$

$P_j$  can be written as Eq. (38) assuming  $m_{2j} \cong 0$ .

$$P_j = \frac{A \cdot \{\omega_{nj} + m_{1j} \cdot P_{0j} - \omega_s\}}{A \cdot m_{1j} + (1 - A \cdot m_{2j} \cdot P_{0j}) \cdot S} \tag{38}$$

Its eigenvalue equals Eq. (39).

$$\lambda = -\frac{A \cdot m_{1j}}{1 - A \cdot m_{2j} \cdot P_{0j}} \tag{39}$$

It is clear that  $\frac{m_{1j}}{1 - A \cdot m_{2j} \cdot P_0} > 0$ ,  $A > 0$ , and  $P_0 > 0$ .

It is worth noting that in the conventional droop,  $m_{2j} = 0, P_0 = 0$ , and  $\lambda = -A \cdot m_{1j}$ . Thus, the eigenvalue must be more negative in the proposed droop. This gives rise to two modes.

The first mode is  $m_{1j} > 0$  and  $(1 - A \cdot m_{2j} \cdot P_0) > 0$ . In other words,  $A \cdot m_{2j} \cdot P_0 < 1$ . On the other hand, the denominator of the eigenvalue must be smaller than one ( $(1 - A \cdot m_{2j} \cdot P_0) < 1$ ). Thus,  $0 < m_{2j} < \frac{1}{A \cdot P_0}$ .

The second mode is  $m_{1j} < 0$  and  $(1 - A \cdot m_{2j} \cdot P_0) < 0$ . The negative  $m_{1j}$  is inconsistent with the condition of the conventional method. Hence, this mode is irrational.

It follows that the conditions  $m_{1j} > 0$  and  $0 < m_{2j} < \frac{1}{A \cdot P_0}$  must hold for Eq. (39).

#### 4. Optimization of droop coefficients in the ANLD scheme

Although coefficients  $m_1$  and  $n_1$  are usually determined using Eq. (5) (e.g., [26]), all coefficients ( $m_1, m_2, n_1, n_2,$  and  $n_3$ ) of the proposed scheme are determined using the PSO method in order to obtain the optimal values and desirable conditions of the network. For this purpose, some optimization indices, based on fuzzy logic, are introduced: the most critical eigenvalue index (CEI), the active power index (API), the circulating reactive power index (CRPI), and the voltage index (VI). These indices are further explained below.

##### 4.1. Critical eigenvalue index

First, all eigenvalues of the system are determined. Then an eigenvalue whose real part is the biggest of all the eigenvalues is introduced as the critical eigenvalue (CE). The real part of the CE (RCE) detects the stability margin of the system. Thus, the CEI is defined as in Eq. (40).

$$CEI = \begin{cases} 0 & RCE \leq -0.05 \\ 1 + \frac{RCE}{0.05} & RCE > -0.05 \end{cases} \quad (40)$$

This means that if the RCE is smaller than  $-0.05$ , the stability status of the system is the best [30]. The larger the RCE is, the worse the stability status will be. This requires the minimization of the CEI. According to Eq. (40), the CEI value is between zero and one.

##### 4.2. Active power index

First, the function  $API_i$  is defined as a difference between the output real power of the  $i$ th DG unit and average real power (Eq. (41)) [33].

$$API_i = \begin{cases} \frac{DP_i}{0.1P_{av}} & DP_i \leq 0.1P_{av} \\ 1 & 0.1P_{av} < DP_i \end{cases} \quad (41)$$

Here,  $DP_i = |P_i - P_{av}|$ ,  $P_i$  is the output real power of the  $i$ th DG unit, and  $P_{av}$  is the average real power of the network. Then the  $API$  represents the status of the generated active power of the network, as given in Eq. (42).

$$API = \frac{1}{NS} \sum_{i=1}^{NS} API_i \quad (42)$$

Here, NS is the number of source buses.

##### 4.3. Circulating reactive power index

This index expresses the normalized circulating reactive power between DGs. When close to zero, the index shows high performance of the system. The CRPI is defined as in Eq. (43).

$$CRPI = \frac{1}{NS \cdot (NS - 1) / 2} \sum_{s=1}^{NS} \sum_{r=s+1}^{NS} CRP_{sr} \quad (43)$$

Here,  $CRP_{sr}$  is expressed as in Eq. (44) and based on the difference between the output reactive power of the DGs [33].

$$CRP_{sr} = \begin{cases} \frac{DQ_{sr}}{0.1Q_{av}} & DQ_{sr} \leq 0.1Q_{av} \\ 1 & 0.1Q_{av} < DQ_{sr} \end{cases} \quad (44)$$

Here,  $DQ_{sr} = |Q_s - Q_r|$ ,  $Q_s$  and  $Q_r$  are the reactive power of the  $s$ th and  $r$ th DGs, and  $Q_{av}$  is the average reactive power of the network

#### 4.4. Voltage index

When voltage regulation is performed in the  $n$ -bus system, then the voltages of the buses will be within the desirable range. The VI shows the status of voltage regulation in the network. In this regard, the function  $VI_i$  is defined in terms of the distance between the voltage of the  $i$ th bus and the nominal voltage, as Eq. (45) shows.

$$VI_i = \begin{cases} 0 & D_i \leq 0.02 \\ \frac{D_i}{0.08} - 0.25 & 0.02 < D_i \leq 0.1 \\ 1 & 0.1 < D_i \end{cases} \quad (45)$$

Here,  $D_i = |v_i - 1|$ , and  $v_i$  is the  $i$ th bus voltage. Now the VI is calculated from Eq. (46), which is the average value of  $VI_i$  for all buses of the network. All values are per unit.

$$VI = \frac{1}{n} \sum_{i=1}^n VI_i \quad (46)$$

#### 4.5. Global index

Finally, a global index (GI) that is based on the concept of fuzzy logic is formulated. In other words, the GI is defined as the maximum of the values of the individual indices as shown in Eq. (47) below.

$$GI = \max \{CEI, API, CRPI, VI\} \quad (47)$$

Now an optimization problem is introduced as follows.

$$\begin{aligned} & \text{objective function: minimize } GI \\ & \text{subject to:} \\ & v_{min} \leq v_k \leq v_{max} \quad , \quad k = 1, 2, \dots, n \\ & 0 \leq |Q_i| \leq Q_{nominal \ i} \quad , \quad i = 1, 2, \dots, NS \\ & 0 \leq P_j \leq P_{nominal \ j} \quad , \quad j = 1, 2, \dots, NS \\ & 0 \leq m_1 \\ & 0 \leq m_2 \leq \frac{1}{AP_0} \end{aligned}$$

This optimization problem can be solved with different methods. In this research, it is solved via the PSO algorithm [33].

### 5. Simulation, results, and discussion

The case studies are a 5-bus microgrid (Case I) and a modified 37-bus IEEE microgrid (Case II) [33]. The controller parameters of DG units and the initial conditions of state variables of the PC in both cases are given

in Tables 1 and 2, respectively. To demonstrate the high performance, speed, and precision of the proposed method, a comparison is drawn between this ANLD method (Mode<sub>1</sub>) and the three control droop methods presented in the previous literature: the conventional (P-f) and (Q-v) control droops (Mode<sub>2</sub>), the (P-f) and (Q-v) droops proposed in [23] (Mode<sub>3</sub>), and the (P-f) and (Q-v) droops proposed in [25] (Mode<sub>4</sub>). In all four modes, the droop coefficients are optimized by means of the optimization problem introduced in Section 4. The eigenvalues, the stability margin of the system, and the time response of some parameters are computed using the algorithm presented in Section 2.2.

**Table 1.** The controller parameters of DG units in both cases (per unit,  $S_{base} = 10$  KVA,  $V_{base} = 4800$  V).

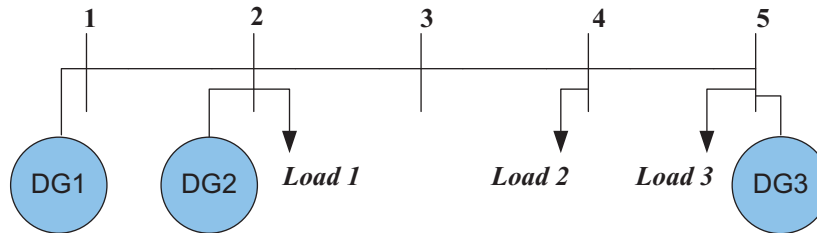
$\omega_c$	0.1	$r_f$	0.1
$\omega_n$	1	$L_f$	$1.35 \times 10^{-3}$
$K_{iv}$	390	$C_f$	$5 \times 10^{-5}$
$K_{pc}$	10.5	$r_c$	0.03
$K_{ic}$	16000	$L_c$	$35 \times 10^{-5}$
$K_{pv}$	0.5	F	0.75

**Table 2.** Initial conditions of state variables of the PC in both cases (per unit).

State variable	P	Q	$\varphi_d$	$\varphi_q$	$\gamma_d$	$\gamma_q$	$v_{od}$	$v_{oq}$	$I_{ld}$	$I_{lq}$	$I_{od}$	$I_{oq}$	$\delta$
Initial value	1	0	0	0	0	0	1	0	1	0	1	0	0

**5.1. Case I**

The one-line diagram of Case I is shown in Figure 5, and Tables 3 and 4 present the details of the lines and loads of Case I, respectively. In this case, three VSI-based DG units share loads at Buses 1, 2, and 5.



**Figure 5.** The one-line diagram of 5-bus microgrid (Case I).

**Table 3.** The line parameters of Case I ( $\Omega$ ).

Line no.	Resistance of lines	Reactance of lines	Shunt admittance of lines
1-2	0.6	0.02	0
2-3	0.5	0.03	0
3-4	2	0.2	0
4-5	3	0.7	0

**Table 4.** Load parameters of Case I.

Bus no.	Active power (kW)	Reactive power (kVar)
2	65	10
4	35	20
5	10	21

A disturbance happens at 0.8 s, which is a sudden increase in the load at Bus 5. Figure 6 depicts five CEs whose real parts have the biggest values in Mode<sub>1</sub>. For all modes, the value of the most critical eigenvalue is given in Table 5. As can be seen, in Mode<sub>1</sub>, the stability margin of the system is improved compared to Mode<sub>2</sub> (0.0088 more), Mode<sub>3</sub> (0.0514 more), and Mode<sub>4</sub> (0.0052 more). Table 5 shows also the values of the fuzzy indices defined in Section 4 and the operating points of the system. The PC coefficients of Mode<sub>1</sub> obtained from the optimization problem solution are given in Table 6.

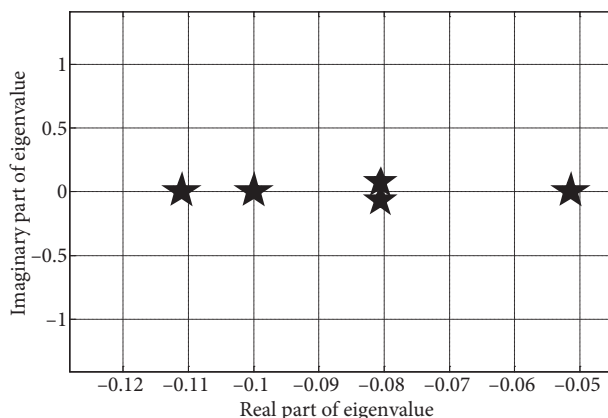


Figure 6. Five CEs of Case I with the biggest values of real parts (Mode<sub>1</sub>).

Table 5. The values of the individual and general indices of the optimization problem prior to disturbance for Case I.

INDICES_CASE I	Mode <sub>1</sub>	Mode <sub>2</sub>	Mode <sub>3</sub>	Mode <sub>4</sub>
RCE	-0.0514	-0.0426	-3.58E-9	-0.0462
CEI	<b>0</b>	0.148	0.99	0.076
P1	2.2588	0.4	0.5369	2.019
P2	1.6134	1.4	1.3422	1.5835
P3	1.1294	2.8	2.6444	1.1537
API	<b>0.7742</b>	0.9565	1	<b>0.6706</b>
Q1	3.4915	3.0969	3.3029	4.9415
Q2	3.5217	3.4226	3.6552	2.2558
Q3	3.7437	3.3607	2.8493	3.0302
CRPI	<b>0.4687</b>	0.659	1	1
V1	0.9906	0.9479	0.9446	0.9659
V2	0.99	0.9478	0.9444	0.9653
V3	0.9893	0.9476	0.9441	0.9647
V4	0.9867	0.9467	0.9431	0.9622
V5	0.9864	0.9487	0.9449	0.9618
VI	<b>0</b>	0.403	0.447	0.2
GI	<b>0.7742</b>	0.9565	1	1

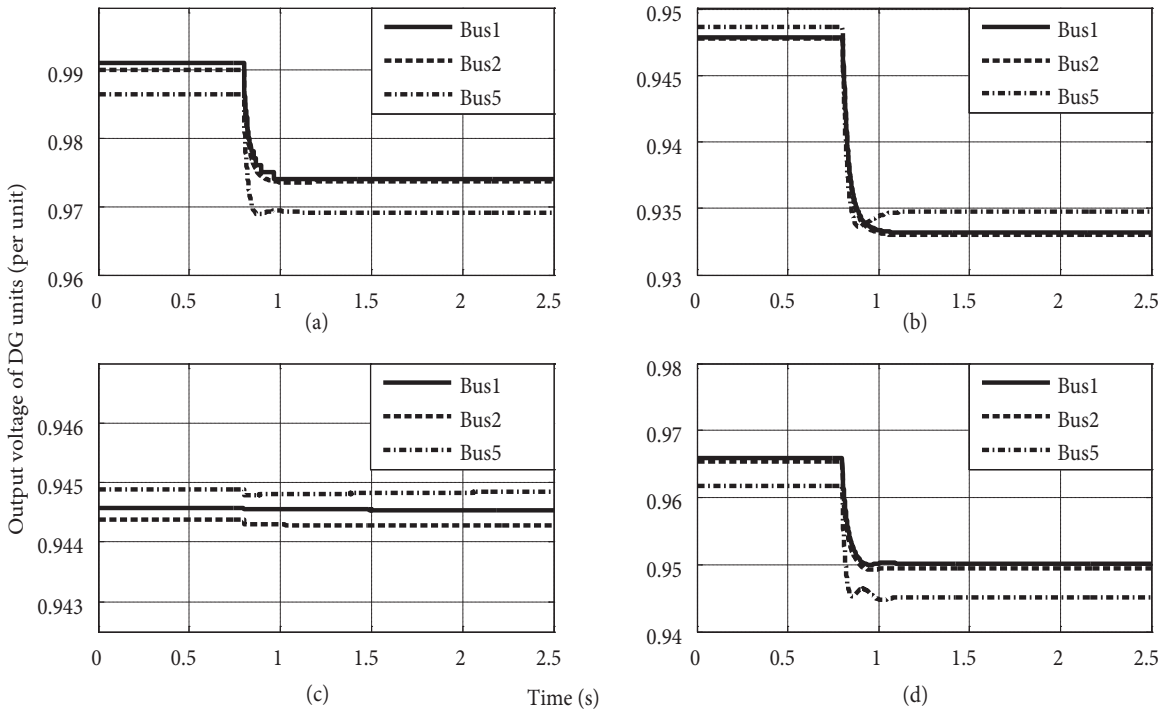
Given the disturbance at 0.8 s, the voltage values of the buses are within the desirable range in Mode<sub>1</sub>. For this case, Figure 7 portrays the output voltage of DG units in all modes. The VI and CRPI values of Mode<sub>1</sub> are obtained as 0 and 0.4687, respectively, which are the best values.

Although Mode<sub>4</sub> gives an API of 0.6706, which is less than the API of Mode<sub>1</sub> (0.7742), it cannot be effective on other parameters. Finally, as can be concluded from Table 5, the GI of Mode<sub>1</sub> has the minimum

value (the best value) in comparison with the other modes. Figures 8 and 9 show the output reactive and active power sharing of DG units, respectively. The frequency is kept at the nominal value, according to Figure 10. Hence, the results and simulations indicate the high performance of the proposed scheme in the small-signal stability of the system, accurate load sharing, and voltage and frequency regulation despite load or generation surge.

**Table 6.** Droop coefficients of Case I in Mode<sub>1</sub>.

	DG1	DG2	DG3
$m_1$	$0.150 \times 10^{-8}$	$0.210 \times 10^{-8}$	$0.300 \times 10^{-8}$
$m_2$	$0.126 \times 10^{-8}$	$0.619 \times 10^{-7}$	$0.115 \times 10^{-7}$
$n_1$	$0.8149 \times 10^{-2}$	$0.8163 \times 10^{-2}$	$0.8146 \times 10^{-2}$
$n_2$	$0.91 \times 10^{-2}$	$0.91 \times 10^{-2}$	$0.914 \times 10^{-2}$
$n_3$	$0.91 \times 10^{-2}$	$0.91 \times 10^{-2}$	$0.0914 \times 10^{-2}$
$Q_0(pu)$	0	0	0
$P_0(pu)$	0	0	0
$V_n(pu)$	1.050064	1.050045	1.0501124



**Figure 7.** The output voltage of DG units of Case I: (a) Mode<sub>1</sub>, (b) Mode<sub>2</sub>, (c) Mode<sub>3</sub>, (d) Mode<sub>4</sub>.

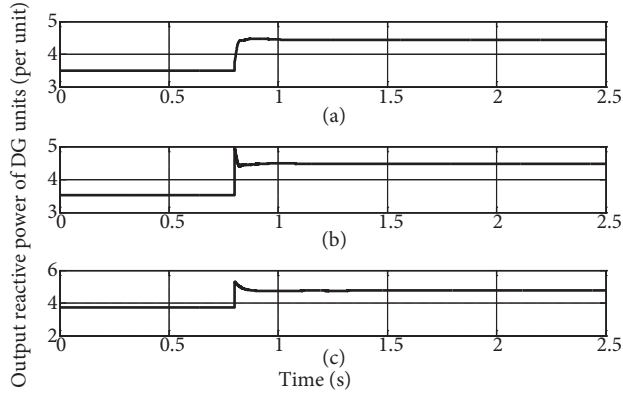
**5.2. Case II**

Figure 11 displays the one-line diagram of Case II. The values of the lines and loads of Case II are given in Tables 7 and 8, respectively. In this case, there are three VSI-based DG units at Buses 10, 15, and 17. At 0.8 s, the load of Bus 5 increases.

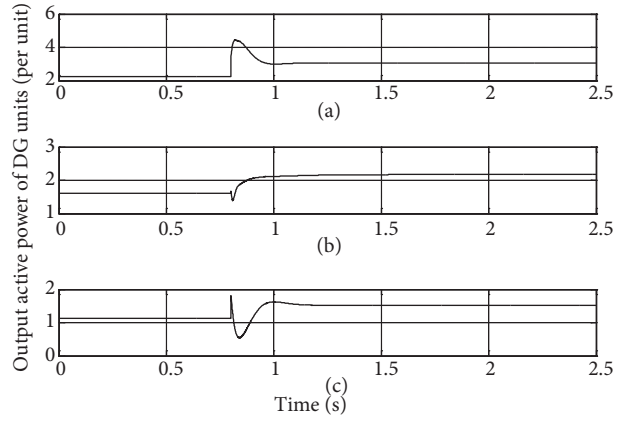
**Table 7.** Line parameters of Case II ( $\Omega$ ).

Line no.	Resistance of lines	Reactance of lines	Shunt admittance of lines
1-2	0.2978	0.2049	0
2-3	0.1545	0.1063	0
3-4	0.2125	0.1462	0
4-5	0.4420	0.2245	0
5-6	0.1473	0.0748	0
6-7	0.2357	0.1197	0
7-8	0.2357	0.1197	0
8-9	0.4125	0.2095	0
9-10	0.4715	0.2394	0
10-11	0.2947	0.1496	0
11-12	0.2947	0.1496	0
12-13	0.2947	0.1496	0
3-14	0.2591	0.1347	0
14-15	0.3831	0.1945	0
15-28	0.0589	0.0299	0
28-29	0.3831	0.1945	0
15-16	0.5893	0.2993	0
16-33	0.4420	0.2245	0
33-34	0.2063	0.1047	0
16-30	0.6777	0.3442	0
30-31	0.5599	0.2843	0
30-32	0.0884	0.0449	0
3-17	0.2947	0.1496	0
17-18	0.2357	0.1197	0
17-27	0.1768	0.0898	0
4-19	0.1768	0.0898	0
19-20	0.2063	0.1047	0
20-21	0.2063	0.1047	0
20-37	0.1473	0.0748	0
6-22	0.4420	0.2245	0
6-23	0.0001	0.0001	0
7-24	0.2357	0.1197	0
9-25	0.3831	0.1945	0
25-36	0.9429	0.4788	0
25-35	0.1473	0.0748	0
12-26	0.1473	0.0748	0

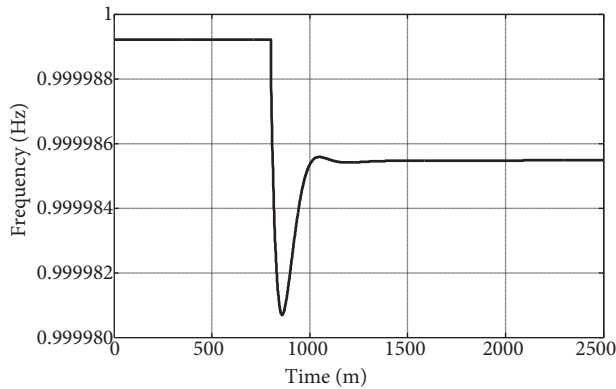




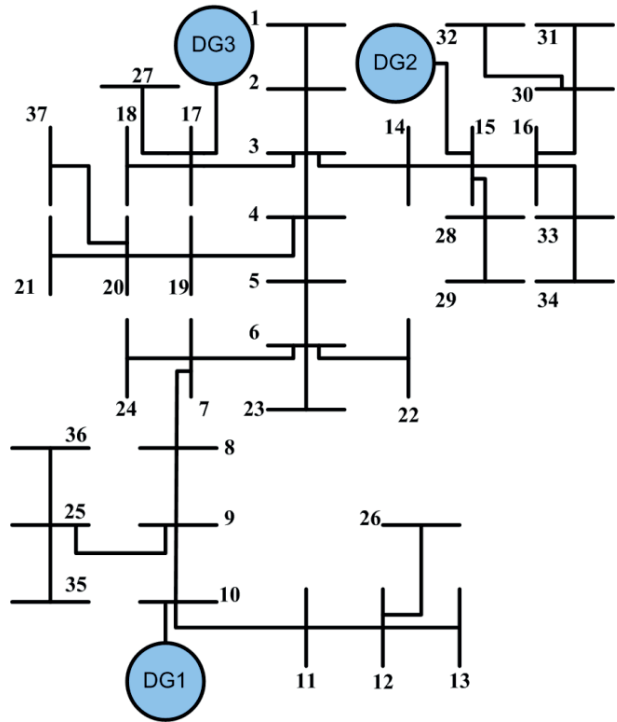
**Figure 8.** The output reactive power sharing of DG units of Case I (Mode<sub>1</sub>): (a) DG1, (b) DG2, (c) DG3.



**Figure 9.** The output active power sharing of DG units of Case I (Mode<sub>1</sub>): (a) DG1, (b) DG2, (c) DG3.



**Figure 10.** The system frequency of Case I (Mode<sub>1</sub>).



**Figure 11.** The one-line diagram of modified 37-bus IEEE microgrid (Case II).

In the ANLD scheme, to analyze the power system, the algebraic and dynamic equations of the microgrid are carefully formulated and then used for computing the operating point and time response of system variables. The obtained power controller coefficients can be seen in Table 9.

Table 10 expresses the values of the individual and general indices obtained from the optimization problem and also the operating point and RCE of the system for all modes. The five CEs of Case II with the biggest values of their real parts are demonstrated in Figure 12. It can be seen that the system stability of the microgrid in Mode<sub>1</sub> is 0.0435, which is comparable to the system stability in Mode<sub>2</sub> (0.0339) and Mode<sub>4</sub> (0.0146) and

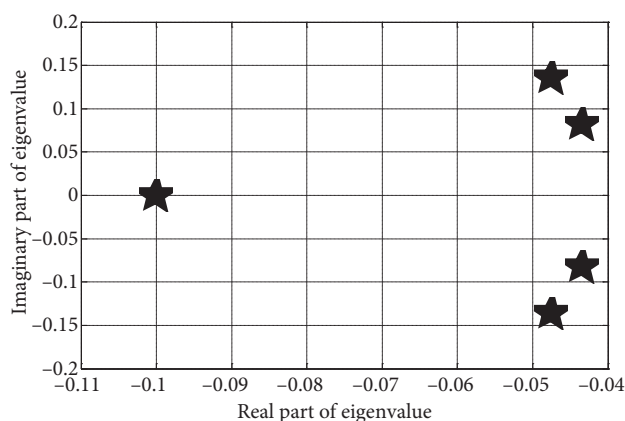
superior to that in Mode<sub>3</sub> (3.7E-7). It is also clear from Table 10 that the VI value obtained in Mode<sub>1</sub> is the best value. In other words, it is less than the values in Mode<sub>2</sub> (0.5405 less), Mode<sub>3</sub> (0.5373 less), and Mode<sub>4</sub> (0.7507 less). For all modes, Figure 13 depicts the output voltage curve of the source buses. The results of simulating reactive and active power sharing are demonstrated in Figures 14 and 15, respectively. Unlike the other four methods, using the proposed scheme, reactive power is shared properly, causing the CRPI to decrease to 0.8218.

**Table 8.** Load parameters of Case II.

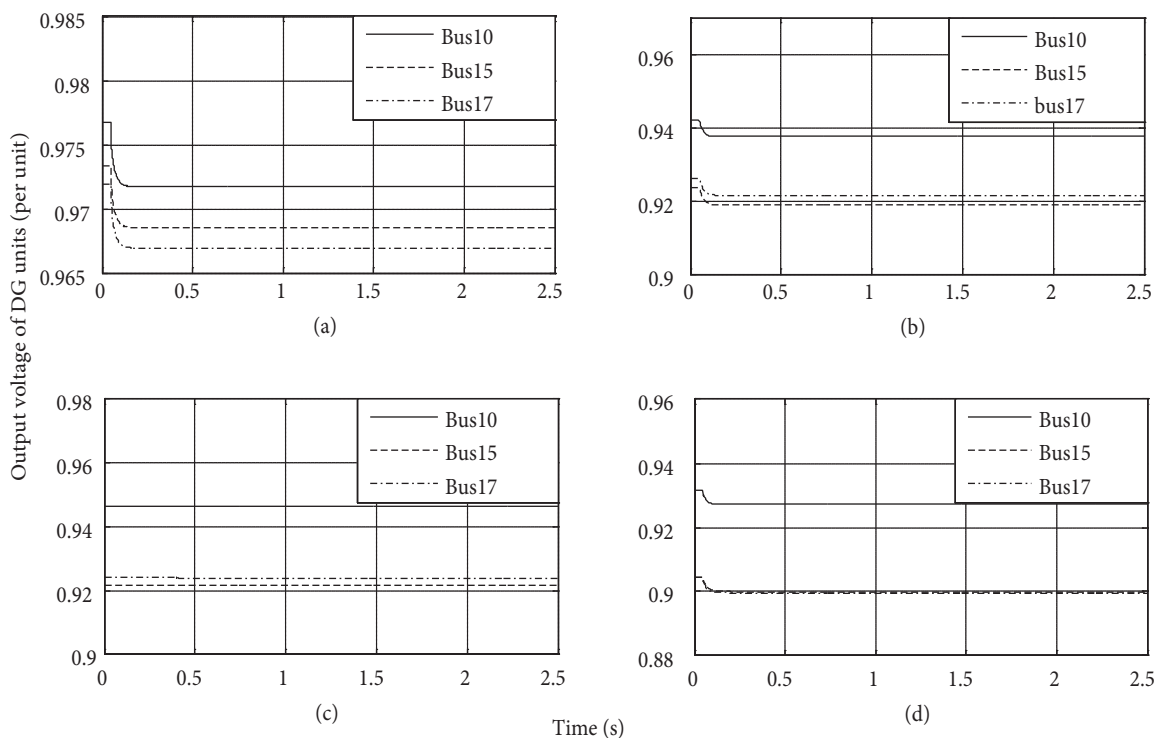
Bus no.	Active power (kW)	Reactive power (kVar)
2	210	105
5	28	14
8	28	14
9	14	7
10	46	23
11	42	21
13	14	7
14	28	14
16	28	14
18	31	15
19	14	7
20	14	7
21	14	70
22	28	14
24	14	7
26	28	14
27	28	14
28	12	6
29	28	14
31	14	7
32	53	26
34	14	7
35	28	14
36	14	7
37	42	21

**Table 9.** Droop coefficients of Case II in Mode<sub>1</sub>.

	DG1	DG2	DG3
$m_1$	$0.300 \times 10^{-8}$	$0.300 \times 10^{-8}$	$0.380 \times 10^{-8}$
$m_2$	$0.100 \times 10^{-9}$	$0.100 \times 10^{-9}$	$0.100 \times 10^{-9}$
$n_1$	$0.824 \times 10^{-8}$	$0.736 \times 10^{-8}$	$0.968 \times 10^{-8}$
$n_2$	$0.914931 \times 10^{-2}$	$0.914931 \times 10^{-2}$	$0.914931 \times 10^{-2}$
$n_3$	$0.914931 \times 10^{-2}$	$0.914931 \times 10^{-2}$	$0.914931 \times 10^{-2}$
$Q_0(pu)$	8	5	7
$P_0(pu)$	0	0	0
$V_n(pu)$	1.1006419	1.1004584	1.153112



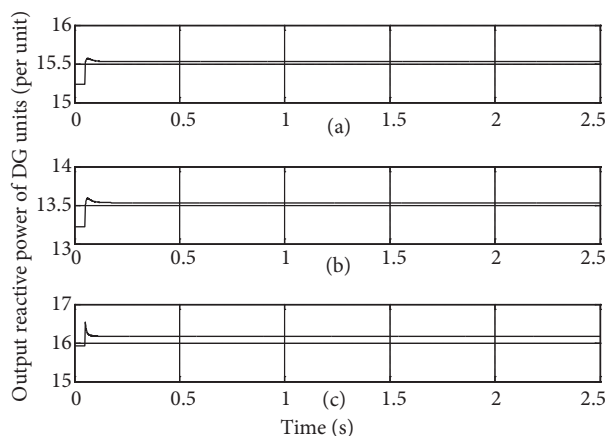
**Figure 12.** Five CEs of Case II with the biggest values of real parts (Mode<sub>1</sub>).



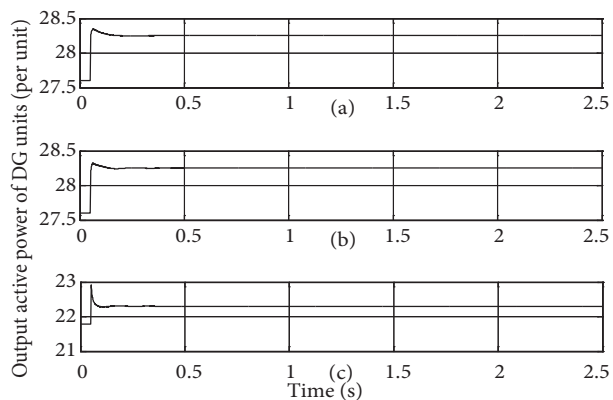
**Figure 13.** The output voltage of DG units of Case II: (a) Mode<sub>1</sub>, (b) Mode<sub>2</sub>, (c) Mode<sub>3</sub>, (d) Mode<sub>4</sub>.

Although Mode<sub>2</sub> gives a better value for the API than Mode<sub>1</sub> does, it cannot produce better results for the other indices (CEI, CRPI, VI, and GI) than Mode<sub>1</sub> does. On the other hand, the significant superiority of Mode<sub>1</sub> comes with the minimum value of GI and the frequency stability shown in Figure 16. According to the obtained results, the conclusion drawn about Case II is similar to the conclusion for Case I.

In conclusion, the ANLD method preserves the small-signal stability of the system, distributes the loads among the inverters without using the communication links, and maintains the voltage and frequency of the network at nominal values. This scheme can be successfully run on complicated microgrids as in Case II. However, in most previous studies, case test configurations were simple. The results prove the superiority of the proposed scheme over other methods proposed in the literature.



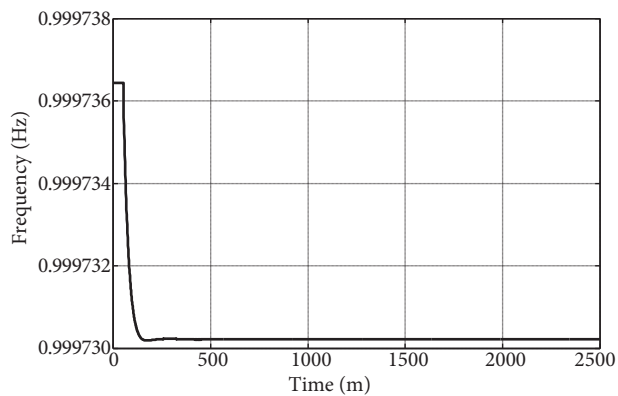
**Figure 14.** The output reactive power sharing of DG units of Case II (Mode<sub>1</sub>): (a) DG1, (b) DG2, (c) DG3.



**Figure 15.** The output active power sharing of DG units of Case II (Mode<sub>1</sub>): (a) DG1, (b) DG2, (c) DG3.

**Table 10.** The values of the individual and general indices of the optimization problem prior to disturbance for Case II.

INDICES_CASE II	Mode <sub>1</sub>	Mode <sub>2</sub>	Mode <sub>3</sub>	Mode <sub>4</sub>
RCE	-0.0435	-0.0339	-3.7E-7	-0.0146
CEI	<b>0.13</b>	0.322	0.99	0.708
P1	27.6	37.0093	43.374	45.3702
P2	27.6	9.964	8.4339	15.1234
P3	21.7895	23.5514	18.9763	7.8224
Pav	25.66	23.51	23.59	22.772
API	<b>0.837</b>	<b>0.6607</b>	1	1
Q1	15.2339	14.4897	14.3013	15.1141
Q2	13.2214	16.6805	16.8648	11.414
Q3	15.9241	9.4657	9.6065	12.8115
Qav	14.8298	13.5453	13.59	13.1132
CRPI	<b>0.8218</b>	1	1	1
VI	<b>0.124</b>	0.6645	0.6613	0.8747
GI	<b>0.837</b>	1	1	1



**Figure 16.** The system frequency of Case II (Mode<sub>1</sub>).

**6. Conclusion**

This research proposes a decentralized control method, voltage and frequency adaptive nonlinear droop, for controlling power sharing in autonomous VSI-based microgrids. This scheme increases the network stability margin, optimally and appropriately shares the loads, regulates the voltage, and maintains frequency stability without using communication links. Unlike the conventional power-flow method, the proposed method models and solves the power-flow equations of microgrids without the slack bus and the PV buses. When the demand changes, the output voltage of DG units and the frequency of the network vary depending on reactive and active power, respectively. The droop coefficients are obtained using an optimization problem where a global index is defined as the objective function based on four individual indices. The results demonstrate that the ANLD scheme has a better performance compared with other droop schemes. This algorithm can also be coded in the m-file of MATLAB, which is a perfect easy-to-use and high-speed software application compared to PSCAD and MATLAB/simulation.

**Nomenclature**

**Abbreviations**

- VSI Voltage source inverter
- DG Distributed generation
- ANLD Adaptive nonlinear droop
- Q/V Reactive power/voltage
- P/f Active power/frequency
- PC Power controller
- VC Voltage controller
- CC Current controller
- FS Filtering system

**Indices**

- CEI Critical eigenvalue index
- CRPI Circulating reactive power index
- VI Voltage index
- API Active power index
- GI General index
- RCE Real part of critical eigenvalue

**Parameters and variables**

- $\vartheta_i$  The difference in angle between the reference frame and the  $i$ th frame
- $f_{dqi}$  A parameter in the rotating frame of the  $i$ th DG unit
- $f_{DQ}$  A parameter in the rotating reference frame
- $TM_i$  Transfer matrix of the  $i$ th DG unit
- $e_{od}, e_{oq}$  The direct and quadratic component of measured output voltage of the DG unit
- $e_{od}^*, e_{oq}^*$  The reference magnitude of the direct and quadratic component of the output voltage of the DG unit
- $i_{od}, i_{oq}$  The direct and quadratic component of measured output current of the DG unit
- $\omega_c$  The cut-off frequency of the low-pass filter

- $\tilde{p}, \tilde{q}$  The instantaneous active and reactive power of the DG unit
- P, Q The average active and reactive power of the DG unit
- $\omega$  The rotating frequency of the nonreference DG unit
- $\omega_n$  The system nominal frequency
- $\omega_{com}$  The rotating frequency of the reference DG unit
- $m_i, n_i$  The coefficients of (P/f) and (Q/V) droops
- $I_j, \theta_j$  The output current amplitude and phase of the  $j$ th DG
- $E_j$  The output voltage amplitude of the  $j$ th DG unit
- $\delta_j$  The output voltage phase of the  $j$ th DG unit
- $Z_j$  The impedance of the  $j$ th line
- $r_j, x_j$  The resistance and reactance of the  $j$ th line
- $|V_j|, \alpha_j$  The output voltage amplitude and phase of the  $j$ th bus
- $S_j$  The power generated by the  $j$ th DG unit
- $|E_{0j}|$  The nominal magnitude of the output voltage of the DG unit
- $P_{0j}, Q_{0j}$  The nominal output active and reactive power of the DG unit
- $\lambda$  The eigenvalue of the DG unit
- F,  $k_{pv}, k_{iv}$  The control parameters of the VC
- $\varphi_d, \varphi_q$  The errors of the PI in the VC
- $k_{pc}, k_{ic}$  The control parameters of the CC
- $C_f, L_f$  The capacitance and inductance of the LC filter in the FS
- $r_{c0}, L_c$  The resistance and inductance of the coupling impedance in the FS
- $\gamma_d, \gamma_q$  The errors of the PI in the CC
- x The dynamic-state variable of the

	microgrid	$D_i$	The difference between the $i$ th bus voltage and the nominal voltage
y	The algebraic-state variable of the microgrid	$DP_i$	The difference between the output active power of the $i$ th DG unit and the average active power of the microgrid
z	The miscellaneous parameter of the microgrid	$DQ_i$	The difference between the output reactive power of the $i$ th DG unit and the average reactive power of the microgrid
$H(x, y, z)$	The dynamic equation vector of the microgrid	A	The system state matrix
$E(x, y, z)$	The algebraic equation vector of the microgrid	J	The system Jacobean matrix
NS	The number of source buses		
n	The number of buses in the microgrid		

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