

## Optimal power flow by considering system security cost and small signal stability constraints

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**Abstract:** The main objective of optimal power flow is to find the proper operating point for the power system. In this paper, the optimal power flow by considering system security cost (OPFSC) and the small signal stability constraint is presented. For this purpose, the total profit of the system by considering the system constraints is optimized. The total profit of the system is equal to the combination of profit from the active power consumption, active power generation cost, and system security cost. System security cost includes the cost of load shedding, which is computed for all contingencies that may occur in the system. One of the system constraints is the small signal stability constraint. The small signal stability constraint causes increasing of the small signal stability margin of the system. In this paper, a hybrid genetic algorithm and PSO (HGAPSO)-based method for performing OPFSC is presented. The proposed method is then tested on the WSCC 9-bus system. The results of the proposed method are compared with the primal-dual interior point (PDIP) method. The total profit of the system obtained from HGAPSO is better than the results of PDIP and system constraints are not completely satisfied in the results obtained from PDIP.

**Key words:** Optimal power flow, small signal stability, power system security, hybrid genetic algorithm, particle swarm optimization

### 1. Introduction

In a power system, the generation must be enough for supplying the loads of the system and the system constraints must be satisfied. The system constraints should also be able to be satisfied after the occurrence of a contingency and the system must be stable against small disturbances. For this purpose, control variables of the system such as generator active power should be adjusted. Optimal power flow (OPF) can be used for adjusting the control variables of the system.

Several methods for performing OPF are reported in the literature. Linear programming [1,2], nonlinear programming [3–8], and the interior point method [9–11] were presented for performing OPF. These optimization methods start to search for an optimum solution from one point in the search space and continue searching from one point to another point. If the initial starting point is not suitable, these methods may diverge.

The GA [12–15], PSO [16–18], ant colony [19], gravitational search algorithm [20], and artificial bee colony [21,22] methods were presented in some studies. These methods start to search for an optimum solution with a set of points that are scattered in the search space. Therefore, the probability of finding a false optimum point is less than in point-to-point optimization methods. These methods are easier than numerical calculation methods. The small signal stability constraint was not considered in above papers.

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In [23,24], the primal-dual interior point (PDIP) method was presented for performing OPF by considering system security cost and the small signal stability constraint. This method is complex and does not completely satisfy the system constraints.

In this paper, a hybrid genetic algorithm and PSO (HGAPSO)-based method for OPF by considering system security cost (OPFSC) by considering the small signal stability constraint and maximizing the total profit of the system is proposed. The small signal stability constraint causes an increase in the stability of the system against small disturbances.

This paper is organized as follow: in Section 2 small signal stability calculations are detailed. In Section 3 the HGAPSO algorithm is described. In Section 4 the OPFSC problem is detailed. The proposed algorithm is described in Section 5. In Section 6 characteristics of the test system are presented. Simulation results are presented in Section 7. In Section 8 results of the proposed method are compared with the PDIP method. Conclusions of this paper are presented in Section 9.

## 2. Small signal stability

The power system is modeled as the set of differential and algebraic equations in small signal stability calculations.

$$\dot{x} = f(xy) \quad (1)$$

$$= g(xy) \quad (2)$$

Here,  $f$  is the differential equations,  $x$  is the state variables,  $g$  is the algebraic equations, and  $y$  is the algebraic variables. The differential and algebraic equations of the system must be linearized around the steady-state operating point.

$$\begin{bmatrix} \Delta \dot{x} \\ 0 \end{bmatrix} = \begin{bmatrix} f_x & f_y \\ g_x & g_y \end{bmatrix} \begin{bmatrix} \Delta x \\ \Delta y \end{bmatrix} \quad (3)$$

The state matrix is computed as follows.

$$A_s = f_x - f_y g_y^{-1} g_x \quad (4)$$

We can compute the right-most system eigenvalue (system critical eigenvalue) from the state matrix [25]. If the real part of the right-most system eigenvalue is negative, the system is stable against the small disturbances. The smaller the real parts of system eigenvalues, the more stable the system is.

## 3. Hybrid GA and PSO (HGAPSO)

HGAPSO is combination of the GA and PSO. PSO is used instead of mutation in the GA. The PSO algorithm changes the position of population members by Eqs. (5) and (6). A flowchart of minimizing the objective function by HGAPSO is shown in Figure 1.

$$X_j^n = X_j^{n-1} + vel_j^n \quad (5)$$

$$vel_j^n = w_n \times vel_j^{n-1} + c \times R \otimes (X_{Gbest}^{n-1} - X_j^{n-1}) \quad (6)$$

Here,  $w_n$  is the inertia of the  $n$ th generation, which is changed from 0.9 to 0.4;  $X_j^n$  is the position of the  $j$ th member of the  $n$ th generation;  $X_{Gbest}^{n-1}$  is the best member of the  $(n-1)$ th generation;  $c$  is a constant, which is considered as 2; and  $R$  is a random vector, the components of which are between 0 and 1.

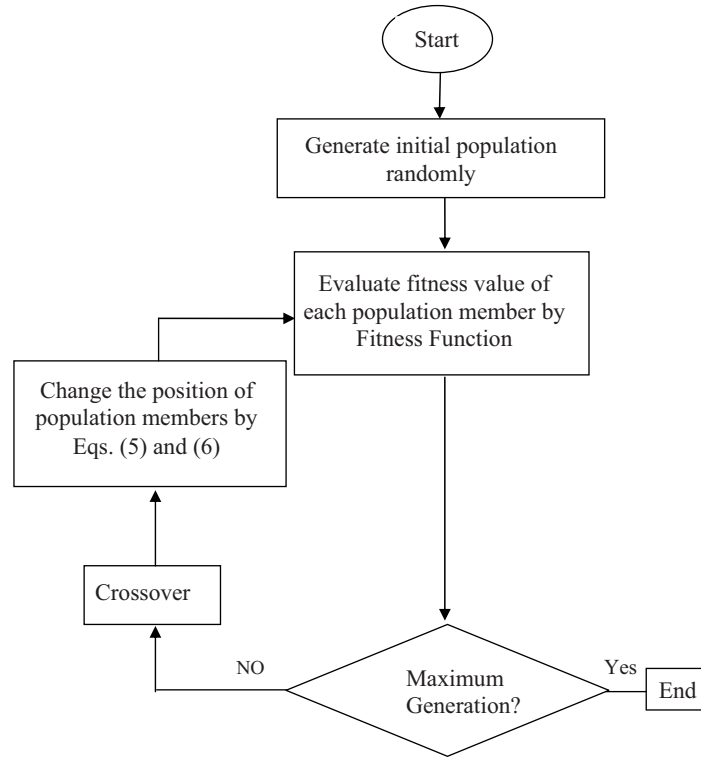


Figure 1. Flowchart of minimizing fitness function by HGAPSO

#### 4. Description of OPFSC problem and constraints

In order to perform OPF by considering the system security cost, the total profit (TP) of the system should be maximized. Total profit of the system is computed as:

$$TP = P^0 * PR^0 + \sum_{m=1}^M P^m * PR^m, \quad (7)$$

where  $P^0$  is:

$$P^0 = 1 - \sum_{m=1}^M P^m. \quad (8)$$

$M$  is the number of contingencies that may occur in the system,  $P^0$  is the probability of no occurring contingency in the system,  $P^m$  is the probability of the  $m$ th occurring contingency in the system,  $PR^0$  shows the profit of the system in the precontingency state, and  $PR^m$  shows the profit of the system in the  $m$ th postcontingency state.

The profit of the system in precontingency state is computed as follows.

$$PR^0 = \sum_{i \in B_G^0} L_{P_j}(P_{L_j}^0) - \sum_{i \in B_G^0} G_{c_i}(P_{G_i}^0) \quad (9)$$

$$G_{c_i}(P_{G_i}^0) = a_{G_i} * (P_{G_i}^0)^2 + b_{G_i} * (P_{G_i}^0) + c_{G_i} \quad i \in B_G^0 \quad (10)$$

$$L_{Pj}(P_{Lj}^0) = a_{Lj} * (P_{Lj}^0)^2 + b_{Lj} * (P_{Lj}^0) + c_{Lj} \quad j \in B_L^0 \quad (11)$$

Here,  $G_{c_i}(P_{G_i}^0)$  is the generator cost curve of bus  $i$ ,  $L_{Pj}(P_{Lj}^0)$  is the consumer profit curve of bus  $j$ ,  $B_G^0$  is the set of indices of buses that have generators in the precontingency state and  $B_L^0$  is the set of indices of buses that have loads in the precontingency state,  $P_{G_i}^0$  is the active power of bus  $i$  generator in the precontingency state, and  $P_{L_j}^0$  is the active power of bus  $j$  load in the precontingency state.  $a_{G_i}$ ,  $b_{G_i}$ , and  $c_{G_i}$  are the constant coefficients of the generator cost curve of bus  $i$  and  $a_{L_j}$ ,  $b_{L_j}$ , and  $c_{L_j}$  are the constant coefficients of the consumer profit curve of bus  $j$ .

The profit of the system in the postcontingency state is computed as follows.

$$\sum_{j \in B_L^m} \sum_{i \in B_G^m} \sum_{j \in B_L^m} PR^m = L_{Pj}(P_{Lj}^m) - G_{c_i}(P_{G_i}^m) - L_{c_j}(P_{L_j}^0, P_{L_j}^m) \quad \text{for } m = 1, \dots, M \quad (12)$$

$$L_{c_j}(P_{L_j}^0, P_{L_j}^m) = b_{c_j} * (P_{L_j}^0 - P_{L_j}^m) \quad j \in B_L^m \quad \text{for } m = 1, \dots, M \quad (13)$$

Here,  $P_{G_i}^m$  is the active power of bus  $i$  generator in the  $m$ th postcontingency state,  $P_{L_j}^m$  is the active power of the bus  $j$  load in the  $m$ th postcontingency state,  $L_{c_j}(P_{L_j}^0, P_{L_j}^m)$  is the cost of load shedding in bus  $j$ ,  $B_L^m$  is the set of indices of buses that have loads in the  $m$ th postcontingency state, and  $b_{c_j}$  is the constant coefficient of the cost of load shedding in bus  $j$ .

#### 4.1. Constraints

The constraints consist of equality and inequality constraints. Equality constraints consist of load flow equations and reactive power limits of loads. Inequality constraints consist of active power limits of generators, reactive power limits of generators, active power limits of loads, voltage limits of buses, transmission power limits of lines, and the small signal stability constraint. OPFSC variables consist of voltage of buses, active and reactive power of generators and loads, and system eigenvalues, which are obtained from optimization, load flow, and small signal stability calculations. These variables must be in the permitted range. Constraints of the OPFSC are as follows.

##### 4.1.1. Load flow equations

Load flow equations should be satisfied in precontingency and postcontingency states of the system. Thus, we have the following.

$$\sum_{k \in B_T^m} P_{G_i}^m - P_{L_i}^m = V_{B_i}^m V_{B_k}^m Y_{ik}^m \cos(\theta_i^m - \theta_k^m - \alpha_{ik}^m) \quad i \in B_T^m \quad \text{for } m = 0, \dots, M \quad (14)$$

$$\sum_{k \in B_T^m} Q_{G_i}^m - Q_{L_i}^m = V_{B_i}^m V_{B_k}^m Y_{ik}^m \sin(\theta_i^m - \theta_k^m - \alpha_{ik}^m), \quad i \in B_T^m \quad \text{for } m = 0, \dots, M \quad (15)$$

Here,  $V_{B_i}^m \angle \theta_i^m$  is the voltage of bus  $i$ ,  $B_T^m$  is the set of indices of buses,  $Q_{L_i}^m$  is the reactive power of the bus  $i$  generator,  $Y_{ii}^m \angle \alpha_{ii}^m$  is the sum of admittances connected to bus  $i$ , and  $Y_{ik}^m \angle \alpha_{ik}^m$  is the negative value of the sum of admittances connected between bus  $i$  and  $k$  ( $i \neq k$ ).

#### 4.1.2. Active power limits of generators

The active power of each generator must be in the allowed range in the precontingency state of the system. This limit is as follows.

$$P_{GMin_i}^0 \leq P_{G_i}^0 \leq P_{GMax_i}^0 \quad i \in B_G^0 \quad (16)$$

Here,  $P_{GMax_i}^0$  and  $P_{GMin_i}^0$  are the maximum and minimum allowed values for the active power of the bus  $i$  generator in the precontingency state, respectively.

The active power of each generator can be changed in the allowable range in the postcontingency state of the system. Thus, we have the following.

$$P_{GMin_i}^m \leq P_{G_i}^m \leq P_{GMax_i}^m \quad i \in B_G^m \quad \text{for } m = 1, \dots, M \quad (17)$$

$$P_{GMax_i}^m = \min(P_{G_i}^0 + \Delta_{UP}P_{G_i}, P_{GMax_i}^0) \quad i \in B_G^m \quad \text{for } m = 1, \dots, M \quad (18)$$

$$P_{GMin_i}^m = \max(P_{G_i}^0 - \Delta_{Down}P_{G_i}, P_{GMin_i}^0) \quad i \in B_G^m \quad \text{for } m = 1, \dots, M \quad (19)$$

Here,  $\Delta_{UP}P_{G_i}$  is the maximum permitted value for increasing the active power of the bus  $i$  generator in the postcontingency state, and  $\Delta_{Down}P_{G_i}$  is the maximum permitted value for decreasing the active power of the bus  $i$  generator in the postcontingency state.

#### 4.1.3. Reactive power limits of generators

The reactive power of each generator is variant and must be in the permitted range in precontingency and postcontingency states of the system.

$$Q_{GMin_i} \leq Q_{G_i}^m \leq Q_{GMax_i} \quad i \in B_G^m \quad \text{for } m = 0, \dots, M \quad (20)$$

Here,  $Q_{GMax_i}$  shows the maximum permitted value for the reactive power of the bus  $i$  generator, and  $Q_{GMin_i}$  shows the minimum permitted value for the reactive power of the bus  $i$  generator.

#### 4.1.4. Active power limits of loads

The active power of each load must be in the permitted range in the precontingency state of the system.

$$P_{LMin_j}^0 \leq P_{L_j}^0 \leq P_{LMax_j}^0 \quad j \in B_L^0 \quad (21)$$

Here,  $P_{LMax_j}^0$  is the maximum permitted value for the active power of the bus  $j$  load in the precontingency state, and  $P_{LMin_j}^0$  is the minimum permitted value for the active power of the bus  $j$  load in the precontingency state.

Active power of each load must be in the allowable range in the postcontingency state of the system.

$$P_{LMin_j}^m \leq P_{L_j}^m \leq P_{LMax_j}^m \quad j \in B_L^m \quad \text{for } m = 1, \dots, M \quad (22)$$

$$P_{LMax_j}^m = P_{L_j}^0 \quad j \in B_L^m \quad \text{for } m = 1, \dots, M \quad (23)$$

$$P_{LMin_j}^m = P_{LMin_j}^0 \quad j \in B_L^m \quad \text{for } m = 1, \dots, M \quad (24)$$

#### 4.1.5. Reactive power limits of loads

Reactive power of each load is a function of active power of that load in precontingency and postcontingency states of the system. This function is as follows:

$$Q_{L_j}^m = P_{L_j}^m * \frac{\sqrt{1 - pf_j^2}}{pf_j} \quad j \in B_L^m \quad \text{for} \quad m = 0, \dots, M, \quad (25)$$

where  $pf_j$  shows the power factor of the bus  $j$  load.

#### 4.1.6. Voltage limits of buses

The voltage magnitude of each bus must be in the allowable range in precontingency and postcontingency states of the system. This range is defined as follows:

$$V_{BMin_k} \leq V_{B_k}^m \leq V_{BMax_k} \quad k \in B_T^m \quad \text{for} \quad m = 0, \dots, M, \quad (26)$$

where  $V_{B_k}^m$  shows the voltage magnitude of bus  $k$ ,  $V_{BMin_k}$  shows the minimum permitted value for the voltage magnitude of bus  $k$ , and  $V_{BMax_k}$  shows the maximum permitted value for the voltage magnitude of bus  $k$ .

#### 4.1.7. Transmission power limits of lines

The transmission power of each line must be equal to or smaller than the maximum allowable value in precontingency and postcontingency states of the system.

$$S_{L_t}^m \leq S_{LMax_t} \quad t \in L_T^m \quad \text{for} \quad m = 0, \dots, M \quad (27)$$

Here,  $S_{L_t}^m$  shows the transmission power of line  $t$ ,  $L_T^m$  shows the set of indices of lines, and  $S_{LMax_t}$  shows the maximum permitted value for the transmission power of line  $t$ .

#### 4.1.8. Small signal stability constraint

The real part of the right-most eigenvalue of the system must be equal to or smaller than the maximum permitted value in precontingency and postcontingency states of the system.

$$p_{R_{right}}^m \leq p_{RMax} \quad m = 0, \dots, M \quad (28)$$

Here,  $p_{R_{right}}^m$  shows the real part of the right-most system eigenvalue, and  $p_{RMax}$  shows the maximum permitted value for the real part of the right-most system eigenvalue.

### 5. Proposed OPFSC method

In this paper OPFSC is performed by HGAPSO. For this purpose, a fitness function should be defined for the variables of the OPFSC problem. The control variables of the OPFSC problem are defined as:

$$X = [P_{G0}^0 P_{L0}^0 V_B^0, P_{G0}^1 P_{L0}^1 V_B^1, \dots, P_{G0}^M P_{L0}^M V_B^M], \quad (29)$$

where  $P_{G0}^0$  is a vector that contains the initial values of generator active power in the precontingency state ( $G$  symbolizes the initial values of generators' active power),  $P_{L0}^0$  is a vector that contains the initial values of load

active power in the precontingency state ( $L$  symbolizes the initial values of loads' active power), and  $V_B^0$  is a vector that contains the voltage magnitude of buses that have generators in the precontingency state.

The noncontrol variables consist of nongenerator buses' voltage, reactive power of generators, transmission power of lines, and system eigenvalues, which are obtained from load flow and small signal stability calculations.

The fitness value of vector  $X$  is computed by the following eight steps:

**Step 1:** set  $m = 0$ .

**Step 2:** if the loads' and generators' active powers exceed their constraints, change them.

$$P_{G1_i}^m = \begin{cases} P_{G0_i}^m & P_{GMin_i}^m \leq P_{G0_i}^m \leq P_{GMax_i}^m \\ P_{GMax_i}^m & P_{G0_i}^m > P_{GMax_i}^m \\ P_{GMin_i}^m & P_{G0_i}^m < P_{GMin_i}^m \end{cases} \quad \text{for } i \in B_G^m \quad (30)$$

$$P_{L1_j}^m = \begin{cases} P_{L0_j}^m & P_{LMin_j}^m \leq P_{L0_j}^m \leq P_{LMax_j}^m \\ P_{LMax_j}^m & P_{L0_j}^m > P_{LMax_j}^m \\ P_{LMin_j}^m & P_{L0_j}^m < P_{LMin_j}^m \end{cases} \quad \text{for } j \in B_L^m \quad (31)$$

**Step 3:** if  $\sum_{j \in B_L^m} \sum_{i \in B_G^m} \sum_{j \in B_L^m} (P_{LMin_j}^m \leq P_{G1_i}^m \leq P_{LMax_j}^m)$

$$P_{G2}^m = P_{G1}^m \quad (32)$$

$$P_{L2}^m = P_{L1}^m \quad (33)$$

elseif  $\sum_{i \in B_G^m} \sum_{j \in B_L^m} (P_{G1_i}^m > P_{LMax_j}^m)$

$$\sum_{i \in B_G^m} \sum_{i \in B_G^m} \sum_{j \in B_L^m} P_{G2_i}^m = P_{G1_i}^m - \frac{(P_{G1_i}^m - P_{GMin_i}^m)}{(P_{G1_i}^m - P_{GMin_i}^m)} * (P_{G1_i}^m - P_{LMax_j}^m) \quad \text{for } i \in B_G^m \quad (34)$$

$$P_{L2}^m = P_{L1}^m \quad (35)$$

elseif  $\sum_{i \in B_G^m} \sum_{j \in B_L^m} (P_{G1_i}^m < P_{LMin_j}^m)$

$$\sum_{i \in B_G^m} \sum_{j \in B_L^m} \sum_{i \in B_G^m} P_{G2_i}^m = P_{G1_i}^m + \frac{(P_{GMax_i}^m - P_{G1_i}^m)}{(P_{GMax_i}^m - P_{G1_i}^m)} * (P_{LMin_j}^m - P_{G1_i}^m) \quad \text{for } i \in B_G^m \quad (36)$$

$$P_{L2}^m = P_{L1}^m \quad (37)$$

**Step 4:** if  $\sum_{j \in B_L^m} \sum_{i \in B_G^m} (P_{L2_j}^m = P_{G2_i}^m)$

$$P_G^m = P_{G2}^m \quad (38)$$

$$P_L^m = P_{L2}^m \quad (39)$$

elseif  $\sum_{j \in B_L^m} \sum_{i \in B_G^m} (P_{L2j}^m > P_{G2i}^m)$

$$P_G^m = P_{G2}^m \quad (40)$$

$$\sum_{j \in B_L^m} \sum_{j \in B_L^m} \sum_{i \in B_G^m} P_{Lj}^m = P_{L2j}^m - \frac{(P_{L2j}^m - P_{LMinj}^m)}{(P_{L2j}^m - P_{LMinj}^m)} * (P_{L2j}^m - P_{G2i}^m) \quad \text{for } j \in B_L^m \quad (41)$$

elseif  $\sum_{j \in B_L^m} \sum_{i \in B_G^m} (P_{L2j}^m < P_{G2i}^m)$

$$P_G^m = P_{G2}^m \quad (42)$$

$$\sum_{j \in B_L^m} \sum_{i \in B_G^m} \sum_{j \in B_L^m} P_{Lj}^m = P_{L2j}^m + \frac{(P_{LMaxj}^m - P_{L2j}^m)}{(P_{LMaxj}^m - P_{L2j}^m)} * (P_{G2i}^m - P_{L2j}^m) \quad \text{for } j \in B_L^m \quad (43)$$

**Step 5:** if  $m = 0$ , perform load flow and small signal stability calculations for the precontingency state; otherwise, perform load flow and small signal stability calculations for the  $m$ th postcontingency state.

**Step 6:** compute the value of exceeding constraints by a penalty function (Eq. (44)).

$$PF^m = K_{PG} * \Delta_{limit} P_{Gslack}^m + K_{QG} * \sum_{i \in B_G^m} \Delta_{limit} Q_{Gi}^m + K_V * \sum_{k \in B_T^m} \Delta_{limit} V_{Bk}^m + K_S * \sum_{t \in B_T^m} \Delta_{limit} S_{Lt}^m + K_p * \Delta_{limit} P_{Rright}^m \quad (44)$$

$$\Delta_{limit} P_{Gslack}^m = \begin{cases} 0 & P_{GMinslack}^m \leq P_{Gslack}^m \leq P_{GMaxslack}^m \\ P_{Gslack}^m - P_{GMaxslack}^m & P_{Gslack}^m > P_{GMaxslack}^m \\ P_{GMinslack}^m - P_{Gslack}^m & P_{Gslack}^m < P_{GMinslack}^m \end{cases} \quad (45)$$

$$\Delta_{limit} Q_{Gi}^m = \begin{cases} 0 & Q_{GMin_i} \leq Q_{Gi}^m \leq Q_{GMax_i} \\ Q_{Gi}^m - Q_{GMax_i} & Q_{Gi}^m > Q_{GMax_i} \\ Q_{GMin_i} - Q_{Gi}^m & Q_{Gi}^m < Q_{GMin_i} \end{cases} \quad \text{for } i \in B_G^m \quad (46)$$

$$\Delta_{limit} V_{Bk}^m = \begin{cases} 0 & V_{BMin_k} \leq V_{Bk}^m \leq V_{BMax_k} \\ V_{Bk}^m - V_{BMax_k} & V_{Bk}^m > V_{BMax_k} \\ V_{BMin_k} - V_{Bk}^m & V_{Bk}^m < V_{BMin_k} \end{cases} \quad \text{for } k \in B_T^m \quad (47)$$

$$\Delta_{limit} S_{Lt}^m = \begin{cases} 0 & S_{LMax_t} \leq S_{Lt}^m \\ S_{Lt}^m - S_{LMax_t} & S_{Lt}^m > S_{LMax_t} \end{cases} \quad \text{for } t \in B_T^m \quad A_s = f_x - f_y g_y^{-1} g_x \quad (48)$$

$$\Delta_{limit} P_{Rright}^m = \begin{cases} 0 & p_{Rright}^m \leq p_{RMax} \\ p_{Rright}^m - p_{RMax} & p_{Rright}^m > p_{RMax} \end{cases} \quad (49)$$



Here,  $\Delta_{limit} P_{G_{slack}}^m$  shows the value of exceeding the permitted range of slack generator active power,  $\Delta_{limit} Q_{G_i}^m$  shows the value of exceeding the permitted range of generator reactive power,  $\Delta_{limit} V_{B_k}^m$  shows the value of exceeding the permitted range of bus voltage,  $\Delta_{limit} S_{L_t}^m$  shows the value of exceeding the permitted range of the transmission power of lines, and  $\Delta_{limit} P_{R_{right}}^m$  shows the value of exceeding the maximum permitted value for the real part of the right-most system eigenvalue.  $K_{PG}$  shows the penalty factor of exceeding the permitted range of slack generator active power,  $K_{QG}$  shows the penalty factor of exceeding the permitted range of generator reactive power,  $K_V$  shows the penalty factor of exceeding the permitted range of bus voltage,  $K_S$  shows the penalty factor of exceeding the permitted range of the transmission power of lines, and  $K_p$  shows the penalty factor of exceeding the maximum permitted value for the real part of the right-most system eigenvalue.

**Step 7:** if  $m = 0$ , compute the profit of the system ( $PR^0$ ) by Eq. (9), else compute the profit of the system ( $PR^m$ ) by Eq. (12).

**Step 8:** if  $m \leq M$ , set  $m = m + 1$  and go to step 2, else compute the fitness value of vector X by Eq. (50).

$$\text{Fitness value}(X) = PF - TP \quad (50)$$

$$\sum_{m=0}^M PF = PF^m \quad (51)$$

$$\sum_{m=0}^M TP = P^m * PR^m \quad (52)$$

## 6. Test system

The WSCC 9-bus system shown in Figure 2 is used as a test system in this paper. Some properties of the test system were presented in [23,26]. Six contingencies are defined in the WSCC 9-bus system. These contingencies consist of outages of lines 4-6, 4-5, 5-7, 6-9, 7-8, and 8-9. The probability of each contingency is 0.01 ( $P^m = 0.01$ ,  $m = 1, 2, \dots, 6$ ). Generator data, generator cost curve coefficients, voltage limits of buses, line and transformer data, load and generator constraint data, consumer profit curve coefficients, and load shedding cost coefficients are shown in Tables 1–7, respectively.  $S_{base}$  is 100 MVA ( $S_{base} = 100 \text{ MVA}$ ).

## 7. Simulation results

In order to investigate the accuracy and performance of the proposed method, a test WSCC 9-bus system is considered. The proposed method is tested on the WSCC 9-bus system for different conditions of the small signal stability constraint. The maximum permitted value for the real part of the right-most system eigenvalue is considered as 0, -0.15, -0.2, and -0.25. HGAPSO is coded by using the MATLAB Optimization Toolbox [27]. Population size, crossover fraction, and the maximum number of generations are considered as 60, 0.8, and 20, respectively.

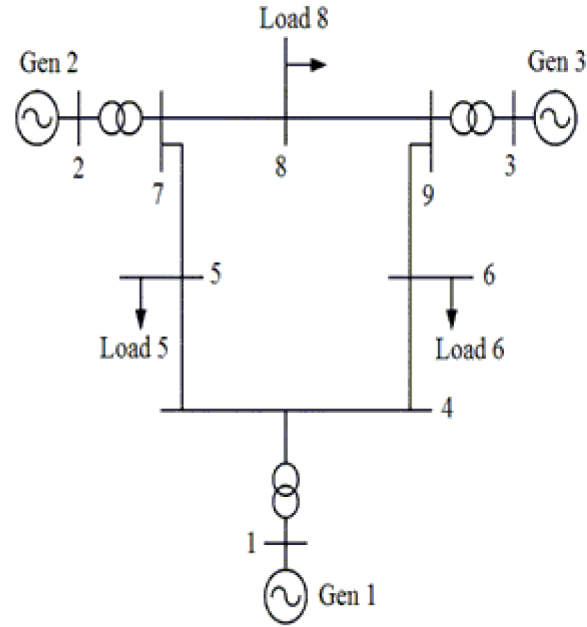


Figure 2. WSCC 9-bus system.

Table 1. Generator data.

Parameter \ Generator	Gen 1	Gen 2	Gen 3
$K_A$	20	20	20
$T_A (s)$	0.2	0.2	0.2
$K_E$	1.0	1.0	1.0
$T_E (s)$	0.314	0.314	0.314
$K_F$	0.063	0.063	0.063
$T_F (s)$	0.35	0.35	0.35
$X_d (p.u.)$	0.146	0.8958	1.3125
$X'_d (p.u.)$	0.0608	0.1198	0.1813
$X_q (p.u.)$	0.0969	0.8645	1.2547
$X'_q (p.u.)$	0.0969	0.1969	0.25
$T'_{do} (s)$	8.96	6.0	5.89
$T'_{qo} (s)$	0.31	0.535	0.6
$H (s)$	23.64	6.4	3.01
$D$	0.0	0.0	0.0
$S_{Ei}(E_{fdi}) = 0.0039e^{1.555E_{fdi}}$			

Table 2. Generator cost curve coefficients.

Bus no.	$a_G (\$/MW^2h)$	$b_G (\$/MWh)$	$c_G (\$/h)$
1	8.20e-4	12.712	0.00
2	8.76e-4	12.001	0.00
3	6.46e-4	12.290	0.00

**Table 3.** Voltage limits of buses.

Bus no. / Parameter	1	2	3	4	5	6	7	8	9
$V_{BMin}$ (p.u.)	0.950	0.955	0.955	0.955	0.955	0.955	0.955	0.950	0.955
$V_{BMax}$ (p.u.)	1.04	1.045	1.045	1.09	1.09	1.09	1.09	1.09	1.09

**Table 4.** Line and transformer data.

Bus no.	Bus no.	R (P.U.)	X (P.U.)	Y (P.U.)	$S_{LMAX}$ (MVA)
1	4	0.0000	0.0576	0.000	450
2	7	0.0000	0.0625	0.000	320
3	9	0.0000	0.0586	0.000	335
4	5	0.0100	0.0850	0.176	390
4	6	0.0170	0.0920	0.158	325
5	7	0.0320	0.1610	0.306	375
6	9	0.0390	0.1700	0.358	375
7	8	0.0085	0.0720	0.149	375
9	8	0.1190	0.1008	0.209	375

**Table 5.** Load and generator constraint data.

Bus no. / Parameter	1	2	3	4	5	6	7	8	9
$P_{GMax}$ (MW)	250	270	285	-	-	-	-	-	-
$P_{GMin}$ (MW)	25	25	35	-	-	-	-	-	-
$\Delta_{UP}P_G$ (MW)	50	35	35	-	-	-	-	-	-
$\Delta_{Down}P_G$ (MW)	50	35	35	-	-	-	-	-	-
$Q_{GMax}$ (MVAR)	100	100	100	-	-	-	-	-	-
$Q_{GMin}$ (MVAR)	-50	-50	-50	-	-	-	-	-	-
$P_{LMax}$ (MW)	-	-	-	-	125	90	-	100	-
$P_{LMin}$ (MW)	-	-	-	-	0	0	-	0	-
$pf$	-	-	-	-	0.928	0.948	-	0.943	-

**Table 6.** Consumer profit curve coefficients.

Bus no.	$a_L$ (\$/MW <sup>2</sup> h)	$b_L$ (\$/MWh)	$c_L$ (\$/h)
5	-0.1047	38.665	0.00
6	-0.0231	16.844	0.00
8	-0.0431	21.630	0.00

**Table 7.** Load shedding cost coefficients.

Bus no.	$b_c$ (\$/MWh)
5	100
6	100
8	100

## 7.1. The proposed method's results

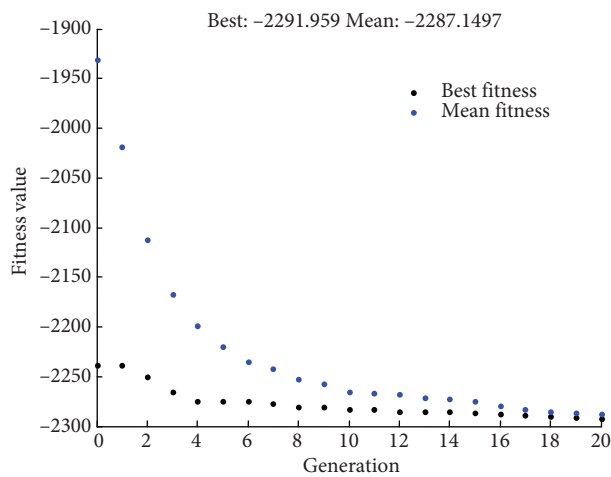
### 7.1.1. The proposed method's results with $p_{RMax}=0$

The minimum values of the fitness function in different generations of HGAPSO are shown in Figure 3. The minimum value of the fitness function at the end of the generations is  $-2291.95$ . The results obtained from the proposed method are presented in Table 8. Total profit of system is equal to  $2291.95$  ( $\$/h$ ).

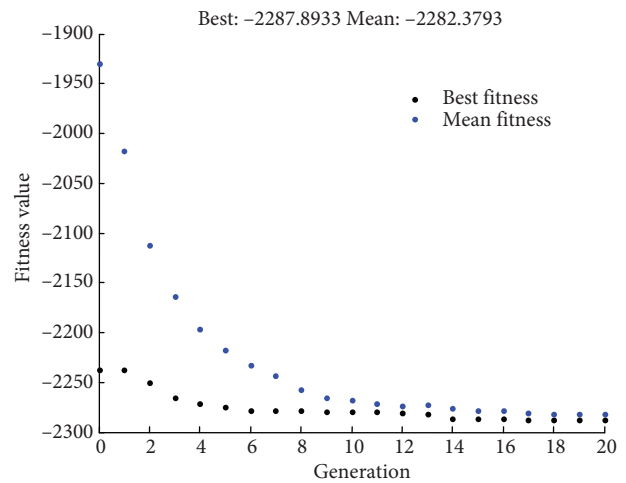
The real part of the most critical eigenvalue of the system is  $-0.152$ , created after removing line 6-9 and smaller than 0. System constraints are completely satisfied in the results obtained from the proposed method.

### 7.1.2. The proposed method's results with $p_{RMax}=-015$

The minimum values of the fitness function in different generations of HGAPSO are shown in Figure 4. The minimum value of the fitness function at the end of the generations is  $-2287.89$ . The results obtained from HGAPSO are presented in Table 9. Total profit of system is equal to  $2287.89$  ( $\$/h$ ).



**Figure 3.** The minimum values of fitness function obtained from the proposed method ( $p_{RMax} = 0$ ).



**Figure 4.** The minimum values of fitness function obtained from the proposed method ( $p_{RMax} = -0.15$ ).

The real part of the most critical eigenvalue of the system is  $-0.159$ , created after removing line 6-9 and smaller than  $-0.15$ . System constraints are completely satisfied in the results obtained from the proposed method.

### 7.1.3. The proposed method's results with $p_{RMax}=-02$

The minimum values of the fitness function in different generations of HGAPSO are shown in Figure 5. The minimum value of the fitness function at the end of the generations is  $-2281.13$ . The results obtained from the proposed method are presented in Table 10. Total profit of system is equal to  $2281.13$  ( $\$/h$ ).

The real part of the most critical eigenvalue of the system is  $-0.201$ , created after removing line 4-6 and smaller than  $-0.2$ . System constraints are completely satisfied in the results obtained from the proposed method.

**Table 8.** The results obtained from the proposed method for  $p_{RM_{ax}} = 0(TP = 2291.95 (\$/h))$ .

$m$	State	Critical eigenvalue	$P_{G_1}$ (MW)	$P_{C_2}$ (MW)	$P_{C_3}$ (MW)	$P_{L_5}$ (MW)	$P_{L_6}$ (MW)	$P_{L_8}$ (MW)	$V_{B_1}$ (P.U.)	$V_{B_2}$ (P.U.)	$V_{B_3}$ (P.U.)	$PR$ ( $\$/h$ )
0	Precont.	$-0.242 \pm 8.441i$	79.3971	136.951	98.4353	120.91	90	100	1.04	1.045	1.045	2314.84
1	Line 4-6	$-0.235 \pm 7.264i$	103.914	149.772	63.4353	120.91	90	100	1.04	1.03688	1.045	2275.44
2	Line 4-5	$-0.217 \pm 6.709i$	75.5754	130.202	91.6870	101.644	90	100	1.04	1.045	1.045	306.730
3	Line 5-7	$-0.171 \pm 6.232i$	89.01908	135.8253	97.30967	120.91	90	100	1.04	1.045	0.955	2218.411
4	Line 6-9	$-0.152 \pm 7.061i$	86.36294	135.8253	97.30967	120.91	90	100	1.04	1.045	0.955	2253.105
5	Line 7-8	$-0.189 \pm 7.742i$	39.67453	160.0195	121.5039	120.91	90	100	1.04	1.045	1.044991	2254.041
6	Line 8-9	$-0.297 \pm 7.953i$	83.2386	135.8253	97.30967	120.91	90	100	1.04	1.045	1.045	2292.71

**Table 9.** The results obtained from the proposed method for  $p_{RM_{ax}} = -0.15(TP = 2287.89 (\$/h))$ .

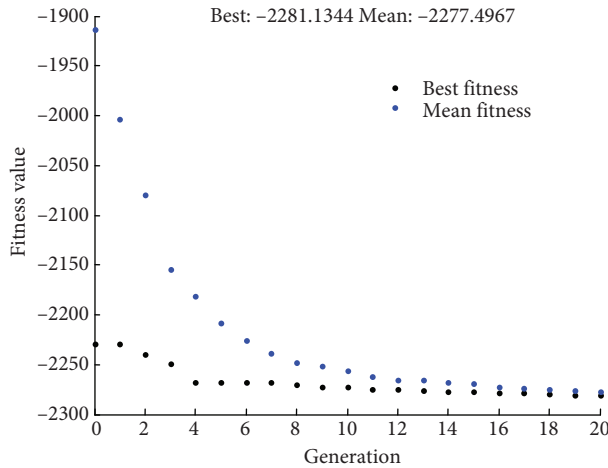
$m$	State	Critical eigenvalue	$P_{G_1}$ (MW)	$P_{C_2}$ (MW)	$P_{C_3}$ (MW)	$P_{L_5}$ (MW)	$P_{L_6}$ (MW)	$P_{L_8}$ (MW)	$V_{B_1}$ (P.U.)	$V_{B_2}$ (P.U.)	$V_{B_3}$ (P.U.)	$PR$ ( $\$/h$ )
0	Precont.	$-0.304 \pm 8.394i$	69.9905	112.0698	123.8468	119.57	82.47	100	1.04	1.045	1.045	2308.794
1	Line 4-6	$-0.208 \pm 7.333i$	74.42307	110.1772	121.9542	119.57	82.47	100	1.04	1.041155	1.045	2298.03
2	Line 4-5	$-0.243 \pm 6.705i$	50.40927	113.928	125.705	101.6821	82.47	100	1.04	1.045	1.045	447.2189
3	Line 5-7	$-0.165 \pm 6.563i$	79.21619	110.1772	121.9542	119.57	82.47	100	1.04	1.045	1.044546	2236.496
4	Line 6-9	$-0.159 \pm 7.125i$	69.33553	115.1136	126.8906	119.57	82.47	100	1.04	1.045	1.045	2242.159
5	Line 7-8	$-0.295 \pm 7.938i$	75.67539	110.1772	121.9542	119.57	82.47	100	1.039959	1.045	1.045	2281.956
6	Line 8-9	$-0.268 \pm 10.410i$	77.6741	110.1772	121.9542	119.57	82.47	100	1.04	1.038344	1.045	2256.832

**Table 10.** The results obtained from the proposed method for  $p_{RM_{ax}} = -0.2$  ( $TP = 2281.13$  (\$/h)).

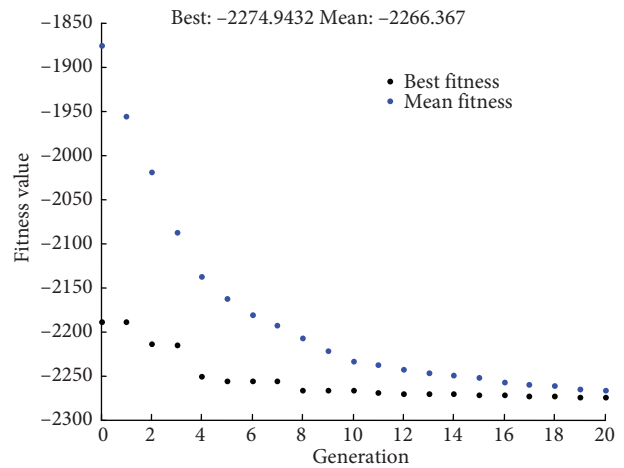
m	State	Critical eigenvalue	$P_{G_1}$ (MW)	$P_{G_2}$ (MW)	$P_{G_3}$ (MW)	$P_{L_5}$ (MW)	$P_{L_6}$ (MW)	$P_{L_8}$ (MW)	$V_{B_1}$ (P.U.)	$V_{B_2}$ (P.U.)	$V_{B_3}$ (P.U.)	$PR$ (\$/h)
0	Precont.	$-0.295 \pm 8.395i$	76.5899	115.404	127.249	125	90	100	1.04	1.045	1.045	2308.636
1	Line 4-6	$-0.201 \pm 7.301i$	80.28737	113.8953	126.1253	125	90	100	1.04	1.0289	1.045	2293.561
2	Line 4-5	$-0.274 \pm 6.649i$	71.16802	107.2981	119.1431	101.4493	90	100	1.04	1.045	1.045	-129.299
3	Line 5-7	$-0.243 \pm 6.590i$	102.4871	80.404	139.915	125	90	100	1.04	1.045	1.045	2243.049
4	Line 6-9	$-0.234 \pm 7.100i$	103.1926	80.404	139.915	125	90	100	1.04	1.044989	1.003803	2234.728
5	Line 7-8	$-0.284 \pm 7.820i$	81.22224	114.168	126.013	125	90	100	1.0115	1.045	1.045	2279.625
6	Line 8-9	$-0.328 \pm 7.907i$	99.88129	128.07	92.249	125	90	100	1.0386	1.045	1.045	2289.592

#### 7.1.4. The proposed method's results with $p_{RMax} = -0.25$

The minimum values of the fitness function in different generations of HGAPSO are shown in Figure 6. The minimum value of the fitness function at the end of the generations is  $-2274.94$ . The results obtained from HGAPSO are presented in Table 11. Total profit of system is equal to  $2274.94$  (\$/h).



**Figure 5.** The minimum values of fitness function obtained from the proposed method ( $p_{RMax} = -0.2$ )



**Figure 6.** The minimum values of fitness function obtained from the proposed method ( $p_{RMax} = -0.25$ ).

The real part of the most critical eigenvalue of the system is  $-0.251$ , created after removing line 6-9 and smaller than  $-0.25$ . System constraints are completely satisfied in the results obtained from the proposed method.

## 8. Comparison of results of the proposed method and PDIP methods

In [23,24], OPF by considering system security cost and the small signal stability constraint was performed on the WSCC 9-bus system by the PDIP method. The results of the PDIP method are shown in Tables 12–15. The profit of the system and total profit of the system ( $TP$ ) in Tables 12–15 are computed by considering load shedding cost. If we are computing the profit of the system by neglecting the load shedding cost, it becomes equal to 2192.76, 2192.33, 2170.18, and 2130.20 after removing line 4-5 in Tables 12–15, respectively. The total profit of the system ( $TP$ ) becomes equal to 2314.59, 2313.45, 2307.96, and 2300.80 in Tables 12–15, respectively. The total profits of the system by considering load shedding cost obtained from the proposed method and PDIP are presented in Table 16.

As shown in Table 16, the results obtained from the proposed method are better than the results obtained from PDIP. Also, some constraints are violated in the results obtained from PDIP. For example, voltage limits of buses and active power limits of loads are violated in the results presented in Tables 12–15. The voltage values of Table 14 were not mentioned in [23,24].

The maximum permitted values for  $P_{L_8}$  and  $V_{B_1}$  are 100 MW and 1.04 P.U., respectively, but as shown in Tables 12–15,  $P_{L_8}$  and  $V_{B_1}$  are greater than the maximum permitted values.

**Table 11.** The results obtained from the proposed method for  $p_{RM_{ax}} = -0.25$  ( $TP = 2274.94$  (\$/h)).

m	State	Critical eigenvalue	$P_{G_1}$ (MW)	$P_{G_2}$ (MW)	$P_{G_3}$ (MW)	$P_{L_5}$ (MW)	$P_{L_6}$ (MW)	$P_{L_8}$ (MW)	$V_{B_1}$ (P.U.)	$V_{B_2}$ (P.U.)	$V_{B_3}$ (P.U.)	PR (\$/h)
0	Precont.	$-0.443 \pm 0.522i$	104.293	80.47267	123.5109	119.88	85.29	100	1.0377	1.045	1.045	2297.30
1	Line 4-6	$-0.324 \pm 7.207i$	107.758	79.57128	122.6095	119.88	85.29	100	1.0381	1.045	1.045	2274.25
2	Line 4-5	$-0.309 \pm 6.621i$	60.4692	93.9769	137.0151	99.98	85.29	100	1.04	1.045	1.04322	226.899
3	Line 5-7	$-0.254 \pm 6.626i$	109.082	79.57128	122.6095	119.88	85.29	100	1.0336	1.045	1.045	2257.20
4	Line 6-9	$-0.251 \pm 7.149i$	109.409	79.57128	122.6095	119.88	85.29	100	1.0177	1.045	1.045	2252.98
5	Line 7-8	$-0.346 \pm 10.56i$	107.561	79.57128	122.6095	119.88	85.29	100	1.04	0.9811	1.0422	2276.80
6	Line 8-9	$-0.380 \pm 10.52i$	128.551	93.61105	88.5109	119.88	85.29	100	1.0265	1.045	1.045	2259.56

**Table 12.** The results obtained from PDIP for  $p_{RM_{ax}} = 0$  ( $TP = 2286.39$  (\$/h)).

m	State	Critical eigenvalue	$P_{G_1}$ (MW)	$P_{G_2}$ (MW)	$P_{G_3}$ (MW)	$P_{L_5}$ (MW)	$P_{L_6}$ (MW)	$P_{L_8}$ (MW)	$V_{B_1}$ (P.U.)	$V_{B_2}$ (P.U.)	$V_{B_3}$ (P.U.)	PR (\$/h)
0	Precont.	$-0.1784 \pm 9.5491i$	66.47	161.47	82.81	117.92	83.86	104.73	1.05	1.045	1.045	2317.23
1	Line 4-6	$-0.1302 \pm 8.2630i$	43.28	164.99	103.79	117.92	83.86	104.73	1.05	1.045	1.045	2308.03
2	Line 4-5	$-0.1448 \pm 7.5089i$	30.52	148.32	104.24	89.72	83.86	104.73	1.05	1.045	1.045	-627.24
3	Line 5-7	$-0.1266 \pm 7.4864i$	116.47	126.27	69.35	117.92	83.86	104.73	1.05	1.045	1.045	2266.01
4	Line 6-9	$-0.1888 \pm 8.0426i$	115.23	147.88	47.81	117.92	83.86	104.73	1.05	1.045	1.045	2287.55
5	Line 7-8	$-0.2053 \pm 8.9385i$	69.57	126.27	117.81	117.92	83.86	104.73	1.05	1.045	1.045	2271.64
6	Line 8-9	$-0.1703 \pm 9.0671i$	68.57	181.47	60.89	117.92	83.86	104.73	1.05	1.045	1.045	2313.55



**Table 13.** The results obtained from PDIP  $p_{RM_{ax}} = -0.15$  ( $TP = 2285.38$  (\$/h).

m	State	Critical eigenvalue	$P_{G_1}$ (MW)	$P_{G_2}$ (MW)	$P_{G_3}$ (MW)	$P_{L_5}$ (MW)	$P_{L_6}$ (MW)	$P_{L_8}$ (MW)	$V_{B_1}$ (P.U.)	$V_{B_2}$ (P.U.)	$V_{B_3}$ (P.U.)	PR (\$/h)
0	Precont.	$-0.1996 \pm 9.4823i$	82.08	146.93	80.84	117.77	83.84	104.81	1.05	1.045	1.045	2315.97
1	Line 4-6	$-0.1508 \pm 8.1927i$	58.22	159.66	93.71	117.77	83.84	104.81	1.05	1.045	1.045	2306.37
2	Line 4-5	$-0.1525 \pm 7.4783i$	38.96	146.28	97.65	89.70	83.84	104.81	1.05	1.045	1.045	-614.67
3	Line 5-7	$-0.1504 \pm 7.3542i$	132.08	111.93	67.10	117.77	83.84	104.81	1.03	1.045	1.045	2269.74
4	Line 6-9	$-0.1941 \pm 8.0361i$	115.34	149.62	45.84	117.77	83.84	104.81	1.05	1.045	1.045	2287.78
5	Line 7-8	$-0.2426 \pm 8.8572i$	84.77	111.93	115.84	117.77	83.84	104.81	1.05	1.045	1.045	2274.78
6	Line 8-9	$-0.1793 \pm 9.0672i$	68.47	181.49	60.87	117.77	83.84	104.81	1.05	1.045	1.045	2313.18

**Table 14.** The results obtained from PDIP  $p_{RM_{ax}} = -0.2$  ( $TP = 2279.75$  (\$/h).

m	State	Critical eigenvalue	$P_{G_1}$ (MW)	$P_{G_2}$ (MW)	$P_{G_3}$ (MW)	$P_{L_5}$ (MW)	$P_{L_6}$ (MW)	$P_{L_8}$ (MW)	PR (P.U.)
0	Precont.	$-0.2395 \pm j'9.3605$	101.85	128.17	79.15	117.55	83.86	104.90	2310.54
1	Line 4-6	$-0.2002 \pm j'8.0186$	83.91	150.62	76.85	117.55	83.86	104.90	2294.92
2	Line 4-5	$-0.2007 \pm j'7.2663$	80.75	135.91	66.02	89.34	83.86	104.90	-650.82
3	Line 5-7	$-0.2002 \pm j'7.2127$	151.85	93.17	64.92	117.55	83.86	104.90	2267.58
4	Line 6-9	$-0.2040 \pm j'8.0177$	120.91	145.34	44.15	117.55	83.86	104.90	2287.54
5	Line 7-8	$-0.2968 \pm j'8.7305$	100.08	97.36	114.15	117.55	83.86	104.90	2274.66
6	Line 8-9	$-0.2000 \pm j'9.9721$	90.35	161.99	57.66	117.55	83.86	104.90	2310.09

**Table 15.** The results obtained from PDIP  $p_{RM_{ax}} = -0.25 (TP = 2272.11(\$/h))$ .

m	State	Critical eigenvalue	$P_{G_1}$ (MW)	$P_{G_2}$ (MW)	$P_{G_3}$ (MW)	$P_{L_5}$ (MW)	$P_{L_6}$ (MW)	$P_{L_s}$ (MW)	$V_{B_1}$ (P.U.)	$V_{B_2}$ (P.U.)	$V_{B_3}$ (P.U.)	$PR$ ( $\$/h$ )
0	Precont.	-0.2809 ± j'9.2405	116.67	114.19	77.92	117.37	83.88	104.95	1.05	1.045	1.045	230(P.U.) <sub>.84</sub>
1	Line 4-6	-0.2503 ± j'7.7805	103.72	145.36	62.66	117.37	83.88	104.95	1.039	1.045	1.045	2278.57
2	Line 4-5	-0.2506 ± j'7.0335	114.23	126.28	42.92	88.68	83.88	104.95	1.05	1.045	1.045	-738.80
3	Line 5-7	-0.2503 ± j'7.0745	166.67	79.19	63.58	117.37	83.88	104.95	1.03	1.045	1.045	2260.13
4	Line 6-9	-0.2513 ± j'8.8616	155.18	111.13	42.92	117.37	83.88	104.95	1.05	1.045	1.045	2275.92
5	Line 7-8	-0.2963 ± j'8.7323	101.13	97.45	112.92	117.37	83.88	104.95	1.05	1.045	1.045	2273.69
6	Line 8-9	-0.2500 ± j'8.7992	114.44	140.67	54.36	117.37	83.88	104.95	1.05	1.045	1.045	2300.47

**Table 16.** Total profit of the system by considering the cost of load shedding.

$p_{RMax}$	$TP_{HGAPSO}$ (\$/h)	$TP_{PDIP}$ (\$/h)
0	2291.95	2286.39
-0.15	2287.89	2285.38
-0.20	2281.13	2279.75
-0.25	2274.94	2272.11

## 9. Conclusion

In this paper, a HGAPSO-based method has been presented for performing OPFSC. The presented method was tested on the WSCC 9-bus system for different conditions of the small signal stability constraint. System constraints were completely satisfied in the proposed method. Therefore, OPFSC with small signal stability constraints can be performed by the proposed method.

The results obtained from the proposed method and PDIP were compared with each other. The total profits of the system obtained from the proposed method are better than the results of PDIP. Also, system constraints are not completely satisfied in the results obtained from PDIP.

The proposed method was implemented by a DELL PC (2.66 GHz CPU). The computation time is about 30 min. For decreasing the computation time, we could perform OPF separately for each contingency and use a parallel processor, which is suitable for performing the HGAPSO algorithm.

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