tübitak

Turkish Journal of Electrical Engineering \& Computer Sciences
http: / /journals.tubitak.gov.tr/elektrik/
Research Article

Turk J Elec Eng \& Comp Sci
(2016) 24: 1993-2009
© TÜBİTAK
doi:10.3906/elk-1307-201

# Three-phase multilevel inverter with high value of resolution per switch employing a space vector modulation control scheme 

Md Mubashwar HASAN ${ }^{1}$, Saad MEKHILEF ${ }^{2, *}$, Tarek MESSIKH ${ }^{2}$, Mahrous AHMED ${ }^{3}$<br>${ }^{1}$ Department of Electrical and Computer Engineering, Curtin University, Perth, Australia<br>${ }^{2}$ Power Electronics and Renewable Energy Research Laboratory (PEARL), Department of Electrical Engineering, Faculty of Engineering, University of Malaya, Kuala Lumpur, Malaysia<br>${ }^{3}$ Aswan Faculty of Engineering, Aswan University, Egypt

Received: 25.07.2013 • Accepted/Published Online: 08.10.2013 $\quad$ Final Version: 15.04.2016


#### Abstract

A special cascaded configuration of asymmetric multilevel inverter is presented in this paper. This configuration cancels the redundancy of output voltage steps and maximizes the number of levels in the output voltage. This structure is built by using series connected stages, which minimize the number of semiconductor switches, gate drive arrangements, occupying area, and cost. It is a modular type inverter model and the number of voltage levels can be increased by adding new stages without changing the previous connection. Space vector modulation has been applied as the control strategy. A prototype of the proposed inverter topology has been built in the laboratory. The attached hardware results indicate the accuracy of the proposed model, which include the topology as well as the control technique.


Key words: Cascaded multilevel inverter, space vector control, core unit, THD, modular type

## 1. Introduction

Neutral point clamped topology was the first multilevel inverter (MLI), proposed by Nabae et al. [1]. The MLI topologies can be categorized in three basic groups: the neutral point clamped (NPC) MLI, flying capacitor (FC) MLI, and cascaded H-bridge (CHB) MLI [2-4].

The CHB MLI consists of a number of H-bridge units. The final output voltage is synthesized by the combination of the input dc voltages of all series connected units [5,6]. H-bridge units may have low voltage components. This topology has a modular characteristic, since all the units are similar and the control strategy is the same for all the units. Therefore, it is very easy to replace if any unit becomes faulty. Even without discontinuing the load, it is possible to bypass the faulty module by applying an efficient control technique [7].

The CHB topology can be divided into two groups: symmetric structure and asymmetric structure. In the case of the symmetric structure, there are equal dc sources and N-numbers of H -bridge cells per phase arm. The number of levels in the output voltage should be $2 \mathrm{~N}+1$. All the units are similar. Each unit consist of four switches and one isolated input dc source, which can be obtained by single-phase or three-phase diodebased rectifier arrangement [8,9]. Transformers are used to ensure proper electrical isolation. Nowadays, high frequency link transformer (HFL) based modules have been used for efficient design [9,10]. During high power applications the conventional diode rectifier based dc-dc converters become bulky, but a high frequency link dc-dc converter reduces the size of the transformer [11]. These HFLs are also well suited for regenerative applications.

[^0]Unequal input dc supplies are used in asymmetrical cascaded H-bridge (ACHB) inverters [12]. This configuration provides the best option to increase the number of levels in the output voltage, with remarkable reduction in total harmonic distortion (THD) and semiconductor switching losses. It shows high efficiency (up to $80 \%$ ) at the fundamental frequency $[13,14]$.

On the other hand, the number of levels in the output voltage is maximized in ACHB topology, when the input dc voltages are arranged in a ratio of three geometric sequences [15]. This ratio is very useful to design high number of levels generation and therefore it shows a small voltage distortion [16,17]. Research shows that the conventional high frequency PWM control technique is not enough for this ratio of three dc source configuration, since the high voltage stage faces high switching loss for high switching frequency [18-20].

Nowadays, the CHB inverter is developing by multilevel dc-link and it gives a high number of levels in the final output AC voltage [21]. Actually, the multilevel dc-link voltage can be generated using cascaded NPC or FC topologies [22]. The multilevel dc link voltage is supplied to a full bridge inverter and the full bridge inverter generates the bipolar AC voltage. These configurations are applicable for high power applications. The inverter topology based on the multilevel dc link topology and a full bridge inverter configuration has been presented in [23]. However, the dc-link voltage topology becomes inefficient if the symmetrical cascaded method is applied, when the input dc supplies are equal to each other [22,24]. A new family of ACHB inverter topology has been presented in [25], where a higher number of voltage levels is possible without using the full bridge inverter [24]. An algorithm to choose the dc sources has been presented. However, the given algorithm does not serve in creating all the output voltage levels (odd and even). A large number of switches are required to produce a certain number of levels in the output voltage. The aforementioned problems have been overcome in [26], where it is possible to generate all the even and odd levels [27]. It is important to note that both of the configurations in [28] focus on reducing the number of semiconductor switches and gate driver circuits. However, the topology was not extended for three-phase configuration. Even the inverter model does not explain any control technique.

This paper proposes a special topology for a three-phase asymmetrical cascaded multilevel inverter with maximum number of odd and even levels. The proposed topology emphasizes the reduction of semiconductor switches, gate drive circuits, voltage stress on the switches, installation area, cost, and semiconductor losses. The input dc supplies are selected in such a way that the output gives the maximum voltage level.

The first section of this paper shows the research advances in present cascaded multilevel inverter topologies. In section 2, the proposed topology has been explained properly in terms of working principles, voltage levels, and selection of input dc voltages. Section 3 explains the modulation technique in detail. In Section 4, the performances of the inverter have been analyzed with respect to different modulation indexes and the inverter has been verified for n-number of voltage levels. Section 5 presents a brief comparison between the proposed topology and the existing common multilevel inverter topologies. Lastly, the semiconductor losses have been presented in section 6 .

## 2. Operational principle of the proposed MLI

Figure 1a shows the core unit of the proposed inverter. Here, two switches are used with one input dc voltage to get output two dc voltage levels 0 and $\mathrm{V} . \mathrm{S}_{1}$ and $\mathrm{S}_{2}$ are always in complementary mode. When the switch $S_{1}$ is $O N$ it gives $V_{o}=V$ and when $S_{2}$ is $O N V_{0}=0$. However, $S_{1}$ and $S_{2}$ are never switched on at the same time to avoid short circuit. Table 1 shows the different switching sequences of the basic core unit.

Figure 1b shows a complete three-phase configuration of the proposed asymmetrical cascaded inverter topology for ' $n$ '-level. Each of the phase arms consists of a number of core units and all the core units are
connected in series. A certain number of core units are chosen in each phase arm to the get desired number of levels in the output voltage. In Figure 1b, it works under asymmetrical topology since the input dc sources are unequal to each other.


Figure 1. a. The core unit, b. the proposed 3-phase n-level asymmetrical cascade inverter.
Table 1. Switching states of the basic auxiliary cell.

| State | Switching states |  | $\mathrm{V}_{0}$ |
| :--- | :--- | :--- | :--- |
|  | $\mathrm{~S}_{1}$ | $\mathrm{~S}_{2}$ |  |
| 1 | ON | OFF | V |
| 2 | OFF | ON | 0 |

To get a very high number of output voltage levels requires a high number of isolated input dc supplies. In such case, the dc supplies can be replaced by renewable energy sources like photovoltaic or fuel cells. Even high frequency toroid transformer link supply may be applied for managing all the input dc supply [9]. The value of each core unit input dc supply for any phase arm can be presented as follows (according to Figure 1b):

$$
\begin{equation*}
V_{1}=V ; V_{2}=2 V ; V_{3}=3 V ; \ldots \ldots ; V_{n}=n V \tag{1}
\end{equation*}
$$

where $\mathrm{n}=$ number of stages $=1,2,3,4 \ldots$
The node voltage ( $\mathrm{T}=v_{A n}$ orv $_{B n}$ orv $_{C n}$ ) from phase arm top ( A or B or C ) to ground ( $n$ ) is synthesized by summing the output voltages of all the core units for a certain phase arm. This can be expressed in Eq. (2).

$$
\begin{equation*}
T=V_{1}+V_{2}+V_{3}+\ldots+V_{n} \tag{2}
\end{equation*}
$$

The maximum output multilevel node voltage ( T ) can be obtained by combining (1) and (2)

$$
\begin{gather*}
T=V+2 V+3 V+\ldots+n V  \tag{3}\\
T=(1+2+3+\ldots+n) V=V \sum_{i=1}^{n} i \tag{4}
\end{gather*}
$$

where $\mathrm{n}=$ number of stages or number of core units per phase arm $=1,2,3 \ldots$.
The number of generated levels in the output AC line voltages can be written by Eq. (5).

$$
\begin{equation*}
l=\left(2 \sum_{i=1}^{n} i\right)+1 \tag{5}
\end{equation*}
$$

Here n is the number of stages.
The line-to-line voltages can be calculated from the pole inverter voltages $v_{A n}, v_{B n}, a n d v_{C n}$ are given by Eq. (6):

$$
\begin{equation*}
v_{A B}=v_{A n}-v_{B n}, \quad v_{B C}=v_{B n}-v_{C n}, v_{C A}=v_{C n}-v_{A n} \tag{6}
\end{equation*}
$$

The phase voltages $v_{A N}, v_{B N}$, and $v_{C N}$ of Y-connected balanced three-phase loads are related to the pole voltages and that can be calculated by Eq. (7):

$$
\left[\begin{array}{l}
v_{A N}  \tag{7}\\
v_{B N} \\
v_{C N}
\end{array}\right]=\frac{1}{3}\left[\begin{array}{lll}
2 & -1 & -1 \\
-1 & 2 & -1 \\
-1 & -1 & 2
\end{array}\right]\left[\begin{array}{l}
v_{A n} \\
v_{B n} \\
v_{C n}
\end{array}\right]
$$

Using Park's transformation, the voltage vector of any inverter state can be identified by Eq. (8).

$$
\left[\begin{array}{l}
v_{D}  \tag{8}\\
v_{Q}
\end{array}\right]=\frac{1}{3}\left[\begin{array}{ccc}
1 & -0.5 & -0.5 \\
0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2}
\end{array}\right]\left[\begin{array}{l}
v_{A N} \\
v_{B N} \\
v_{C N}
\end{array}\right]
$$

The voltage vector approximation method is well suited for inverters with a large number of levels. The $l$-level inverter has $[3 \times l \times(l-1)+1]$ equally spaced voltage vectors in its voltage space diagram.

## 3. Operational principle of the proposed MLI

Space vector modulation has been applied successfully as a control strategy. According to Figure 1b, the number of levels in the output line voltage can be expressed as $N_{\text {level }}=\left(2 \sum_{i=1}^{n} i\right)+1$. Actually each switching state generates specific node voltages $\left(v_{A n}, v_{A n}, v_{C n}\right)$ with respect to the reference neutral point ( n ) of the dc bus voltage. This can be presented by Eq. (9).

$$
\begin{equation*}
v_{A n}=k_{A} V_{d c}, v_{B n}=k_{B} V_{d c} v_{C n}=k_{C} V_{d c} \tag{9}
\end{equation*}
$$

Here $k_{A}, k_{B}$, and $k_{C} \in[0,1,2, \ldots, n]$ and the switching states of the inverter line voltages $\left(v_{A B}, v_{B C}, a n d v_{C A}\right)$ follow Eq. (10).

$$
\begin{equation*}
v_{A B}=\left(k_{A}-k_{B}\right) V_{d c} v_{B C}=\left(k_{B}-k_{C}\right) V_{d c}, v_{C A}=\left(k_{C}-k_{A}\right) V_{d c} \tag{10}
\end{equation*}
$$

Eq. (11) presents the matrix form of Eq. (10).

$$
\begin{equation*}
V_{l-l\left(k_{A}, k_{B}, k_{C}\right)}=V_{d c}\left[\left(k_{A}-k_{B}\right)\left(k_{B}-k_{C}\right)\left(k_{C}-k_{A}\right)\right]^{T} \tag{11}
\end{equation*}
$$

The reference line to line voltage vector can be shown by Eq. (12) in steady-state condition.

$$
\begin{equation*}
V_{R E F}=V_{l-l}[\cos (\omega t) \cos (\omega t-2 \pi / 3) \cos (\omega t+2 \pi / 3)]^{T} \tag{12}
\end{equation*}
$$

Now Eqs. (11) and (12) can be presented in d-q stationary plane by Eq. (13):

$$
\begin{equation*}
\left[V_{(l-l) d} V_{(l-l) q}\right]^{T}=V_{l-l}^{*}=\left[1 e^{j \frac{2 \pi}{3}} e^{j \frac{4 \pi}{3}}\right] V_{l-l\left(k_{a b}, k_{b c}, k_{c a}\right)} \tag{13}
\end{equation*}
$$

Eq. (14) shows the reference voltage vector $V_{R E F}^{*}$.

$$
\begin{equation*}
V_{R E F}^{*}=\left[V_{(R E F) d} V_{(R E F) q}\right]^{T}=\left[1 e^{j \frac{2 \pi}{3}} e^{j \frac{4 \pi}{3}}\right] V_{R E F} \tag{14}
\end{equation*}
$$

Using the definition of vector normalization, the length of the reference vector $\left|V_{R E F}^{*}\right|$ is given by Eq. (15).

$$
\begin{equation*}
\left|V_{R E F}^{*}\right|=\sqrt{V_{R E F} \cdot V_{R E F}}=V_{l-l} \sqrt{\frac{3}{2}} \tag{15}
\end{equation*}
$$

Again, the length of the largest voltage space vector can be expressed by Eq. (16) according to the above definition.

$$
\begin{equation*}
\left|V_{l-l\left(k_{a b}, k_{b c}, k_{c a}\right)}\right|_{\max }=\sqrt{2}(q-1) V_{d c} \tag{16}
\end{equation*}
$$

The radius of the largest circle gives the maximum length of the reference vector. Hence, the maximum length of the reference vector is given by Eq. (17).

$$
\begin{equation*}
\left|V_{R E F}\right|_{\max }=\left|V_{l-l\left(k_{a b}, k_{b c}, k_{c a}\right)}\right|_{\max } \cos \left(\frac{\pi}{6}\right) \tag{17}
\end{equation*}
$$

Figure 2 shows the switching vectors for a three-level inverter and the reference vector position of the equivalent phase switches are fixed by Eq. (14). The length of the largest space vector is found from Eq. (17), which is $\left|V_{l-l\left(k_{a b}, k_{b c}, k_{c a}\right)}\right|_{m a x}$. The largest space vector also represents the limit of the linear modulation index $\left(\mathrm{M}_{a}\right)$. In Figure 2, the largest reference vector has been represented by the radius of a circle, which touches the outer hexagon. The length of the maximum length of the reference vector $\left(\left|V_{R E F}\right|_{\max }\right)$ is equal to $2 \sqrt{2} V_{d c} \cos \left(\frac{\pi}{6}\right)$. The term modulation index is the ratio of reference voltage vector length $\left(\left|V_{R E F}\right|\right)$ to the length of largest space vector $\left|V_{l-l\left(k_{a b}, k_{b c}, k_{c a}\right)}\right|_{\text {max }}$.


Figure 2. Switching-state vectors of three-level converter in the complex $\mathrm{d}-\mathrm{q}$ plane and modulation range.

Hence the modulation index, $M_{a}=\frac{\left|V_{R E F}\right|}{2 \sqrt{2} V_{d c}}$.
The radius of the circle in Figure 2 indicates the largest reference voltage vector $\left|V_{R E F} \max \right|$. It also represents the limit of operation. At the periphery of that circle the modulation index is $M_{a}=\cos \left(\frac{\pi}{6}\right)=$ $0.866 . m_{a}=\cos (\pi / 6)=0.866$

The reference voltage vector $V_{R E F}^{*}$, which has already been derived from Eq. (12), is sampled at low frequency rate $\left(f_{s}\right)$. The sampling interval $\left(T_{s}=\frac{1}{f_{s}}\right)$ is accomplished over three subcycles $t_{1}, t_{2}, a n d t_{3}$. The $V_{R E F}^{*}$ is an arbitrary complex quantity and it is approximated by the suitable space vectors, given in Eq. (13). A different switching sequence is generated during each subcycle $\left(t_{1}, t_{2}, a n d t_{3}\right)$.

There is another approach to normalize the reference voltage vector and locate its sector [29,30]. The following steps summarize the procedure.

Step 1: The normalization of the reference space vector can be presented by Eq. (18). Hence the normalized voltage vector is

$$
\begin{equation*}
V_{R E F}^{*}=(l-1) \frac{V_{R E F}}{V_{d c}} \tag{18}
\end{equation*}
$$

Here $l=$ the number of voltage levels $=3,7,13, .$.
Step 2: The normalized voltage vector $V_{R E F}^{*}$ is transformed into $V_{n}$, where the imaginary part of $V_{R E F}^{*}$ is multiplied by $\frac{1}{\sqrt{3}}$, which flattens the normalized hexagon as shown in Figures 3a and 3b. The transformation of $V_{R E F}^{*}$ into $V_{n}$ makes it possible to avoid online computation time of the switching states. Zone 1 , zone 2 , and zone 3 can be obtained from the complex d-q plane, which depends on the angle $\vartheta$ of $V_{n}$.
$\vartheta=\left(\frac{180}{\pi}\right) \tan ^{-1}\left(\frac{V_{q n}}{V_{d n}}\right)$, where $V_{d n} a n d V_{q n}$ are the d-q components (real and imaginary parts) of the $V_{R E F}^{*}$.

$$
\vartheta=\left\{\begin{array}{l}
0^{\circ} \text { to } 135^{\circ} ; \text { for zone } 1 \\
135^{\circ} \text { to } 225^{\circ} ; \text { for zone } 2 \\
225^{\circ} \text { to } 360^{\circ} ; \text { for zone } 3
\end{array}\right.
$$

Step 3: After calculating the three vectors $\left(V_{1}, V_{2}\right.$, and $\left.V_{3}\right)$ of the triangle where the vector $V_{n}$ is located, the nearest three switching states and their corresponding switching times ( $t_{1}, t_{2}$, andt $t_{3}$ ) are calculated; then calculation starts for the next step.


Figure 3. a. The normal hexagon, b. the flat hexagon.
Step 4: Replacing $k_{A B}, k_{B C}$, and $k_{C A}$ in Eq. (10), the values of $k_{A}, k_{B}$, and $k_{C}$ can be evaluated.

$$
\left[\begin{array}{c}
1-10  \tag{19}\\
01-1 \\
-101
\end{array}\right]\left[\begin{array}{l}
k_{A} \\
k_{B} \\
k_{C}
\end{array}\right]=\frac{1}{V_{d c}}\left[\begin{array}{c}
v_{A B} \\
v_{B C} \\
v_{C A}
\end{array}\right]=\left[\begin{array}{c}
k_{A B} \\
k_{B C} \\
k_{C A}
\end{array}\right]
$$

It should be noted that the third row can be derived from the summation of the first two rows of Eq. (19). It means that Eq. (13) gives two equations with the unknowns $k_{A}, k_{B}$ and $k_{C}$. The solutions of these set of equations are not unique. To find proper solutions for these equations, one of the techniques is to assume a solution for one of the three unknowns. Assuming the solution for $k_{c}$ is $k$, and substituting it into Eq. (13), another two variables can be expressed by Eq. (20).

$$
\begin{equation*}
k_{C}=k, k_{A}=k-k_{C A}, k_{B}=k+k_{B C} \tag{20}
\end{equation*}
$$

To ensure that the results of the variables $\left(k_{A}, k_{B}\right.$ and $\left.k_{C}\right)$ are located inside their boundary limits [for seven levels the limit is $0,1,2,3$ ], the values of k can be limited by Eq. (21).

$$
\begin{align*}
& 0 \leq k_{A} \leq 3 \Longrightarrow 0 \leq-\frac{v_{C A}}{V_{d c}}+k \leq 3 \\
& 0 \leq k_{B} \leq 3 \Longrightarrow 0 \leq-\frac{v_{B C}}{V_{d c}}+k \leq 3  \tag{21}\\
& 0 \leq k_{C} \leq 3 \Longrightarrow 0 \leq-\frac{v_{B C}}{V_{d c}}+k \leq 3
\end{align*}
$$

To satisfy Eqs. (20) and (21), the condition for choosing a proper value of $k$ is derived and it has been found as follows:

$$
k=\max \left(0, \max \left(-\frac{v_{B C}}{V_{d c}}, \frac{v_{C A}}{V_{d c}}\right)\right)
$$

## 4. Experimental results and discussion

To verify the proposed inverter topology, it has been simulated in MATLAB/Simulink software. The inverter concept has been implemented and a laboratory prototype has been built. Insulated-gate bipolar transistors
(IGBTs) with antiparallel diode (IRG4PH50UDPBF, $24 \mathrm{~A}, 1200 \mathrm{~V}$ ) have been chosen as switching device. A digital signal processor (DSP, TMS320F2812) has been used to generate the switching gate signal for the inverter.

The performance of the space vector control technique has been clearly examined by using the simulation and hardware results. As described earlier, for every phase arm the input dc supply voltage of each core unit is different from that of the other unit. This phenomenon has been described by Eq. (1).

During hardware implementation, the input dc supply voltage of the core units has been adjusted for V $=50$ volts and $2 \mathrm{~V}=100$ volts in each phase arm. The modulation index has been considered as $\mathrm{M}_{a}=0.8$, with switching frequency $f_{c}=2000 \mathrm{~Hz}$. Figure 4 shows the two-stage configuration of the proposed inverter, which consists of six dc supplies, 12 switching devices (IGBTs), DSP, and three-phase Y-connected RL load ( $\mathrm{R}=120$ $\Omega$ and $\mathrm{L}=90 \mathrm{mH}$ per phase). Figures $5(\mathrm{a})$ and $5(\mathrm{~b})$ show the complete control block diagram and experimental setup of the proposed inverter for 7 -level two-stage $(n=2)$ configuration, respectively. Experimental results have been obtained for a single stage $(n=1)$ and two stages $(n=2)$ per phase structure.


Figure 4. Proposed three-phase hybrid seven-level inverter, when the total number of stages is two ( $n=2$ ).


Figure 5. a. Complete control block diagram of the proposed inverter when number of stages is two ( $n=2$ ), 5 b. prototype of the proposed multilevel inverter.

Figures $6 \mathrm{a}-6 \mathrm{c}$ and $7 \mathrm{a}-7 \mathrm{c}$ show several hardware results for single stage $(\mathrm{n}=1)$ and double stage $(n=2)$, respectively. Similarly, it can be checked for n-number of stages. Figures 6a and 7a show the switching gate signals for single stage $(n=1)$ and double stage $(n=2)$, respectively. Figures 6 b and 7 b show the phase voltages $\left(v_{A n}, v_{B n}\right.$, and $\left.v_{C n}\right)$ from the phase $\operatorname{arm}(\mathrm{A}, \mathrm{B}, \mathrm{C})$ top to the ground for single and double stage, respectively. During the single stage the node voltages contain two levels ( 0 and V ). In the case of two-stage configuration the phase voltages have four voltage levels $(0, \mathrm{~V}, 2 \mathrm{~V}$, and 3 V$)$. The three-phase line to line voltages are formed by using these multilevel phase voltages, who have been expressed by Eq. (6). Table 2 shows the switching states of the seven-level two-stage inverter for the phase arm-A.


Figure 6. a. Switching signal for S 1 and S 2 , respectively, b. phase voltages $\left(\mathrm{V}_{A n}, \mathrm{~V}_{B n}, \mathrm{~V}_{C n}\right)$, 6c. three-phase line voltages $\left(\mathrm{V}_{A B}, \mathrm{~V}_{B C}, \mathrm{~V}_{C A}\right)$.

Table 2. Switching states of phase arm $V_{A n}$.

| Node voltage | Switches of arm 'A' |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $v_{A n}$ | $S_{1}$ | $S_{2}$ | $S_{3}$ | $S_{4}$ |
| $0 V_{d c}$ | 0 | 1 | 0 | 1 |
| $1 V_{d c}$ | 1 | 0 | 0 | 1 |
| $2 V_{d c}$ | 0 | 1 | 1 | 0 |
| $3 V_{d c}$ | 1 | 0 | 1 | 0 |

This is also one superiority of the proposed three-phase configuration, i.e. there is no necessity to use any extra switches for creating a negative half-cycle of the AC voltage. Figures 6 c and 7 c show the three-phase AC line voltages $\left(\mathrm{V}_{A B}, \mathrm{~V}_{B C}, \mathrm{~V}_{C A}\right)$ of the single-stage $(n=1)$ and double-stage ( $n=2$ ) configuration, respectively. According to Figure 1b and Eq. (5), the number of voltage levels in the line voltage for the n-stage inverter can be written by the following equation:


Figure 7. a. Switching signal for $\mathrm{S} 1, \mathrm{~S} 2, \mathrm{~S} 3$, and S 4 , respectively, b. phase voltages ( $\mathrm{V}_{A n}, \mathrm{~V}_{B n}, \mathrm{~V}_{C n}$ ), respectively, c. three-phase line voltages $\left(\mathrm{V}_{A B}, \mathrm{~V}_{B C}, \mathrm{~V}_{C A}\right)$, respectively.

$$
l=\left(2 \sum_{i=1}^{n} i\right)+1, \text { where } \mathrm{n}=\text { number of stages }=1,2,3 \ldots
$$

This includes positive, zero (0), and negative voltage levels. Hence, the number of levels in the line voltages of the single stage $(n=1)$ and double stage $(n=2)$ inverter should have three and seven levels, respectively. The amplitudes of these three and seven levels can be written as $\mathrm{V}, 0,-\mathrm{V}$ and $3 \mathrm{~V}, 2 \mathrm{~V}, \mathrm{~V}, 0,-\mathrm{V},-2 \mathrm{~V},-3 \mathrm{~V}$, respectively.

In the method proposed in [23], six basic units (half bridge cell) and one full bridge inverter have been used to generate thirteen voltage levels per phase (shown in experimental results). Here each unit contains two switches and one dc input source. Hence, for thirteen voltage levels, it needs a total of 18 switches and six isolated input dc supplies. Therefore, for three-phase configuration, the total number of required switches should be $18 \times 3=54$. On the other hand, it is possible to generate 13 -level three-phase line voltage using the proposed three-stage $(n=3)$ configuration. In the case of 13 levels, each of the phase arms consist of six switches and three input dc supplies. Therefore, 18 switches and nine input dc supplies are required for generating three-phase line voltages.

The proposed two-stage ( $n=2$ ) inverter topology has been tested under different modulation indexes. According to Eq. (17), the reference of the space vector is calculated by the modulation index and the switching region. For two-stage configuration, when the modulation index is below 0.5 the low voltage stage works only and shows three-level line voltages. When the modulation index is 0.7 , the line voltage shows five levels. When the modulation index is 0.8 , the inverter line voltage shows seven-level output. Figure 8 shows the three-, five-, and seven-level line voltages for modulation index $0.3,0.7$, and 0.8 , respectively.

## HASAN et al./Turk J Elec Eng \& Comp Sci



Figure 8. Line voltages at different modulation index.
The THD of the line current can be obtained by the following equation:

$$
T H D=\frac{\sqrt{\sum_{n=3,5,7, \ldots \ldots}^{\infty} I_{n}^{2}}}{I_{1}}
$$

where $I_{1}$ and $n$ are the fundamental component and harmonic order, respectively. Figure 9a shows the variation in line current THD with the modulation index for the line current in the case of two-stage ( $n=2$ ) configuration. This figure indicates that the THD is inversely related to the modulation index $\left(\mathrm{M}_{a}\right)$. It should be clearly noted that the lower THD content in the output line current is available at higher modulation index. A "YOKOGAWAWT 1800" precision power analyzer has been used to capture the frequency spectrum of the line current. Figures 9 b and 9 c show the line current and line current spectra for two-stage configuration $(n=2)$ during modulation index $=0.8$. A FLUKE 435 series power analyzer has been used to capture the frequency spectrum of the line voltage. Figures $9 \mathrm{~d}-9 \mathrm{f}$ show line voltage spectra during modulation index $=0.3,0.7$, and 0.8.

Figure 10 shows the THD of the line current during modulation index $\mathrm{M}_{a}=0.8$ for different levels in the output voltages. It shows the relation between the number of voltage levels and the THD of the line current. The lower THD content in the output line current is available at higher number levels in the line voltage. Hence, the number of inverter stages should be increased to get better output.

There is another way to obtain a higher number of output voltage steps in this proposed topology. To do so, the inverter stages and the input dc supplies should have a relation in binary form (power of two) [31]. If ' $n$ ' is the number of stages, then each core unit per phase arm input voltage should be ' $2{ }^{n} \times \mathrm{V}$ ', where $\mathrm{n}=$ $1,2,3,4 \ldots$ The number of levels in the line voltages can be written as $\left(2^{n+1}-1\right)$.

According to Figure 1b for three-stage configuration ( $\mathrm{n}=3$ ), there should be three core units per phase arm. If the input dc supply voltage of the first core unit is $\mathrm{V}=200$ volts, then the second and third unit input supply voltages should be 600 volts and 800 volts, respectively. It means two and four 200 v dc supplies will be connected in series for the second and third units, respectively. Table 3 compares the required components and the output voltage levels between two input dc supply voltage determining methods.

According to Table 3, it is clear that the binary related technique generates higher voltage with a larger number of levels in the output voltage. However, extra dc supplies are required in the third unit and the switching devices are subjected to high voltage. Therefore, it is mandatory for this method to use different types of switches for high voltage blockage. Since the voltage standing on the switches is lower, the applied method is used to generate the maximum number of voltage levels using similar numbers of switches. Therefore, better modularity is achieved compared to other asymmetrical cascaded topologies.


Figure 9. a. Modulation index $\left(\mathrm{M}_{a}\right)$ against line current THD, b. line current during two-stage configuration $(n=2)$, c. harmonic spectra of the above line current using "YOKOGAWA WT 1800" precision power analyzer, d. harmonic spectra of the line voltage at $\mathrm{M}_{a}=0.3$, e. harmonic spectra of the line voltage at $\mathrm{M}_{a}=0.7$, f . harmonic spectra of the line voltage at $\mathrm{M}_{a}=0.8$.


Figure 10. Relation between the number of voltage levels and the THD of the line current.

Another vital issue in inverters is the ratings of the semiconductor switches, as the current and voltage ratings of switches are responsible for the cost and efficiency. Since all the inverter cells are connected in series, the maximum current rating of any switch equals the load peak current. On the other hand, blocking voltage or the voltage stress of any switch equals the input dc voltage of its cell circuit. Thus the maximum blocking
voltage or the voltage stress in this proposed topology should be on the first cell switches' $(n=1)$ voltage and is equal to $n V_{d c}$.

Table 3. Comparison between the two methods followed to determine the values of dc supplies for the introduced inverter.

|  | Binary [31] | Proposed <br> method |
| :--- | :--- | :--- |
| Number of switches | 18 | 18 |
| Number of utilized dc sources <br> $(200$ V units $)$ | 4 | 3 |
| Number of levels | 15 | 13 |
| Voltage of the third stage units | 800 volts | 600 volts |
| Similarity of the switches | No | yes |

## 5. Comparison of the proposed topology with other topologies

Table 4 presents a clear comparison between the conventional cascaded MLI and the proposed inverter topology for seven-level output voltage. The diode clamped is very attractive for high-voltage topology. The deviating voltage at the neutral point remains a remarkable feature of this topology. Therefore, voltage balancing is one of the difficulties in this topology. The flying capacitor (FC) topology uses capacitors for voltage balancing. For some specific applications these topologies are very advantageous. Less operation is performed by the transformer and redundant phase arm states, which makes the semiconductor switches share equal voltage stress. However, for the high voltage level the model becomes bulky, since it needs an excessive number of storage capacitors. Table 5 presents a basic comparison between the proposed inverter and the conventional well-known MLI topologies, NPC and FC, based on the same number of switches. Table 6 represents a comparison among the conventional topologies according to the number of levels $(\boldsymbol{l})$ in the line voltage and components. According to Table 6, the number of components of the proposed topology is lower than that of other topologies.

Table 4. Comparison of the proposed three-phase seven-level inverter with the well-known seven-level inverters.

|  | Diode <br> clamp | Flying <br> capacitor | New MLI <br> topology [32] | Half-bridge modules <br> topology [33] | Proposed <br> topology |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Switching devices <br> and gate drivers | 36 | 36 | 30 | 18 | 12 |
| Main diodes | 36 | 36 | 30 | 18 | 12 |
| Clamping diodes | 90 | 0 | 0 | 0 | 0 |
| Dc buses/ <br> isolated supply | 6 | 3 | 3 | 7 | 6 |
| Balancing capacitor | 0 | 9 | 0 | 0 | 0 |

Table 5. Comparison of the implemented 12 -switch inverter with some other well-known 12 switch inverters.

|  | Diode clamp | Flying <br> capacitor | H-bridge <br> inverter | Proposed <br> topology |
| :--- | :--- | :--- | :--- | :--- |
| Number of levels | 3 | 3 | 3 | 7 |
| Level/switch ratio (RLS) | 0.25 | 0.25 | 0.25 | 0.58 |
| Number of dc bus/ <br> isolated supply | 1 | 1 | 3 | 6 |
| No. of diodes | 18 | 12 | 12 | 12 |

Table 6. Number of components for three-phase inverters.

| Inverter type | Diode clamped | Flying capacitor | Cascaded <br> H-bridge | Proposed topology |
| :--- | :--- | :--- | :--- | :--- |
| Main switches | $6(l-1)$ | $6(l-1)$ | $6(l-1)$ | $3\{\sqrt{(4 l-3)-1}\}$ |
| Main diodes | $6(l-1)$ | $6(l-1)$ | $6(l-1)$ | $3\{\sqrt{(4 l-3)-1}\}$ |
| Clamping diodes | $3(l-1)(l-2)$ | 0 | 0 | 0 |
| Dc bus capacitors <br> isolated supplies | $(l-1)$ | $(l-1)$ | $\frac{3(l-1)}{2}$ | $\frac{3}{2}\{\sqrt{(4 l-3)-1}\}$ |
| Flying capacitors | 0 | $\frac{3(l-1)(l-2)}{2}$ | 0 | 0 |
| Total numbers | $(l-1) \times(3 l+7)$ | $\frac{3(l-1)(3 l+20)}{2}$ | $\frac{27(l-1)}{2}$ | $\frac{15}{2}\{\sqrt{(4 l-3)-1}\}$ |

## 6. Semiconductor losses

Generally, semiconductor losses in a MLI can be divided into two types: the conduction loss and the switching loss. The voltage drop across the active semiconductor device due to the current conduction is responsible for the conduction loss. The switching loss is closely related to the switching frequency. To analyze the semiconductor losses, we have tested a 250 KW MATLAB/Simulink model of the proposed seven-level two-stage ( $n=2$ ) structure. We have selected IGBT (5SNE0800M170100) as a switching device, since it conducts negligibly small leakage current while in turn off state. The maximum voltage and current ratings are 1700 V and 800 A , respectively. The DC supplies are selected as $\mathrm{V} 1=\mathrm{V}=800$ volts and $\mathrm{V} 2=2 \mathrm{~V}=1600$ per arm. The conduction loss of an IGBT mainly depends on the forward voltage drop $V_{o n, I G B T}$ and the instantaneous current $I(\mathrm{t})$.

$$
P_{c o n d, I G B T}=\frac{1}{T} \int_{0}^{T} V_{o n, I G B T} I(t) d t
$$

Similarly, the conduction loss of a diode can be expressed as

$$
P_{\text {cond-d }}(t)=i_{F} *\left(V_{F O}+R_{F} \cdot I_{F}(t)\right),
$$

where $V_{F O}$ is the forward voltage drop during forward current $\left(I_{F}\right)$ passes over the diode. $R_{F}$ is the diode resistance, which is available is the on state resistance slope of the datasheet.

The switching loss occurs at each switching action. Two types of switching losses are available during switching actions: turn on energy loss $\left(\mathrm{E}_{\text {on }}\right)$ and turn off energy loss ( $\mathrm{E}_{o f f}$ ). The values of $\mathrm{E}_{\text {on }}$ and $\mathrm{E}_{o f f}$ depend on the collector current, which is available in the product data sheet. The average switching power losses (turn on and off) in an IGBT and freewheeling diode can be written as

$$
\begin{gathered}
\overline{P_{o n}}=\frac{1}{T} \cdot \int_{0}^{T} E_{o n}(t) d t \\
P_{o f f}^{-}=\frac{1}{T} \cdot \int_{0}^{T} E_{o f f}(t) d t
\end{gathered}
$$

$$
P_{o f f, D}^{-}=\frac{1}{T} \cdot \int_{0}^{T} E_{o f f, D}(t) d t
$$

Figure 11 shows the semiconductor power loss distribution during 2 KHz switching frequency for phase A of the proposed two-stage $(n=2)$ MLI configuration. $\mathrm{D}_{1}, \mathrm{D}_{2}, \mathrm{D}_{3}, \mathrm{D}_{4}$ are the built-in freewheeling diodes of the switches $\mathrm{S}_{1}, \mathrm{~S}_{2}, \mathrm{~S}_{3}, \mathrm{~S}_{4}$, respectively.


Figure 11. Loss distribution among the semiconductor devices in phase (A).

## 7. Conclusion

An efficient three-phase cascaded multilevel inverter topology has been proposed with successful experimental verification. The configuration needs reduced number of switches, gate drive circuits, and other circuit arrangements. It needs reduced installation area and cost. The voltage standing on the switches has been minimized by optimizing the voltage levels and applying special switching control using SVM.

This topology has been extended for ' $n$ ' number of levels. In order to verify the performance of the proposed MLI, three-level single-stage $(n=1)$ and seven-level two-stage $(n=2)$ configuration have been implemented in the laboratory. The desired hardware results ensure a highly efficient inverter for three-phase Y-connected applications. This work will be extended for grid-connected supply in future.

## Acknowledgment

The authors would like to acknowledge the HIR-MOHE project. The research has been carried out under the Project no. UM.C/HIR/MOHE/ENG/ D000017-16001.

## References

[1] Nabae A, Takahashi I, Akagi H. A new neutral-point-clamped PWM inverter. IEEE T Ind Appl 1981; IA-17: 518-523.
[2] Colak I, Kabalci E, Bayindir R. Review of multilevel voltage source inverter topologies and control schemes. Energ Convers Manage 2011; 52: 1114-1128.
[3] Lai JS, Peng FZ. Multilevel converters-a new breed of power converters. IEEE T Ind Appl 1996; 32: 509-517.
[4] Rodriguez J, Lai JS, Peng FZ. Multilevel inverters: a survey of topologies, controls, and applications. IEEE T Ind Electron 2002; 49: 724-738.
[5] Hasan M, Mekhilef S, Ahmed M. Three-phase hybrid multilevel inverter with less power electronic components using space vector modulation. IET Power Electron 2014; 7: 1256-1265.
[6] Wen J, Smedley KM. Synthesis of multilevel converters based on single-and/or three-phase converter building blocks. IEEE T Power Electr 2008; 23: 1247-1256.
[7] Lezana P, Ortiz G. Extended operation of cascade multi cell converters under fault condition. IEEE T Ind Electron 2009; 56: 2697-2703.
[8] Baier CR, Guzman JI, Espinoza JR, Pérez MA, Rodriguez JR. Performance evaluation of a multi-cell topology implemented with single-phase non-regenerative cells under unbalanced supply voltages. IEEE T Ind Electron 2007; 54: 2969-2978.
[9] Pereda J, Dixon J. High-frequency link: a solution for using only one DC source in asymmetric cascaded multilevel inverters. IEEE T Ind Electron 2011; 58: 3884-3892.
[10] Inoue S , Akagi H. A bi-directional isolated $\mathrm{DC} / \mathrm{DC}$ converter as a core circuit of the next-generation medium-voltage power conversion system. In: IEEE 2006 Power Electronics Specialists Conference; 18-22 June 2006; Jeju, South Korea: IEEE. pp. 1-7.
[11] Mariethoz S, Rufer A. Multisource DC-DC converter for the supply of hybrid multilevel converter. In: IEEE 2006 Industry Applications Conference; 8-12 Oct. 2006; Tampa Florida: IEEE. pp. 982-987.
[12] Wheeler P, Empringham L, Gerry D. Improved output waveform quality for multi-level H-bridge chain converters using unequal cell voltages. In: IEE 2000 Eighth International Conference on Power Electronics and Variable Speed Drives; 2000; London: IEE. pp. 536-540.
[13] Khoucha F, Lagoun SM, Marouani K, Kheloui A, HachemiBenbouzid M El. Hybrid cascaded H-bridge multilevelinverter induction-motor-drive direct torque control for automotive applications. IEEE T Ind Electron 2010; 57: 892-899.
[14] Khoucha F, Lagoun MS, Kheloui A, HachemiBenbouzid M. El. A comparison of symmetrical and asymmetrical three-phase H-bridge multilevel inverter for DTC induction motor drives. IEEE T Energy Conver 2011; 26: 64-72.
[15] Mekhilef S, Kadir MNA. Novel vector control method for three-stage hybrid cascaded multilevel inverter. IEEE T Ind Electron 2011; 58: 1339-1349.
[16] Mekhilef S, Abdul Kadir MN, Salam Z. Digital control of three phase three-stage hybrid multilevel inverter. IEEE T Ind Electron 2013; 9: 719-727.
[17] Kadir MNA, Mekhilef S, Ping HW. Dual vector control strategy for a three-stage hybrid cascaded multilevel inverter. J Power Electron 2010; 10: 155-164.
[18] Mariethoz S, Rufer A. Design and control of asymmetrical multi-level inverters. In: IEEE 2002 Annual Conference of the Industrial Electronics Society; 5-8 Nov 2002; Meliá Lebreros Hotel, Sevilla, Spain: IEEE. pp. 840-845.
[19] Song-Manguelle J, Rufer A. Multilevel inverter for power system applications highlighting asymmetric design effects from a supply network point of view. In: IEEE 2003 Canadian Conference on Electrical and Computer Engineering; 4-7 May 2003, Montreal, Que., Canada: IEEE. pp. 435-440.
[20] Rotella M, Peñailillo G, Pereda J, Dixon J. PWM method to eliminate power sources in a non-redundant 27-level inverter for machine drive applications. IEEE T Ind Electron 2009; 56: 194-201.
[21] Gonzalez S, Valla M, Christiansen C. Five-level cascade asymmetric multilevel converter. IET Power Electron 2010; 3: 120-128.
[22] Babaei E, Kangarlu MF, Mazgar FN. Symmetric and asymmetric multilevel inverter topologies with reduced switching devices. Electr Pow Syst Res 2012; 86: 122-130.
[23] Su GJ. Multilevel DC-link inverter. IEEE T Ind Appl 2005; 41: 848-854.
[24] Babaei E, Hosseini SH. New cascaded multilevel inverter topology with minimum number of switches. Energ Convers Manage 2009; 50: 2761-2767.
[25] Babaei E, Hosseini S, Gharehpetian G, Haque MT, Sabahi M. Reduction of dc voltage sources and switches in asymmetrical multilevel converters using a novel topology. Electr Pow Syst Res 2007; 77: 1073-1085.
[26] Tolbert L, Peng FZ, Cunnyngham T, Chiasson JN. Charge balance control schemes for cascade multilevel converter in hybrid electric vehicles. IEEE T Ind Electron 2002; 49: 1058-1064.
[27] Mekhilef S, Masaoud A. Xilinx FPGA based multilevel PWM single phase inverter. In: IEEE 2006 IEEE International Conference on Industrial Technology; 15-17 December 2006; Mumbai, India: IEEE. pp. 259-264.
[28] Rodriguez J, Bernet S, Steimer PK, Lizama IE. A survey on neutral-point-clamped inverters. IEEE T Ind Electron 2010; 57: 2219-2230.
[29] Celanovic N, Boroyevich D. A fast space-vector modulation algorithm for multilevel three-phase converters. IEEE T Ind Appl 2001; 37: 637-641.
[30] Prats M, Portillo R, Carrasco J, Franquelo L. New fast space-vector modulation for multilevel converters based on geometrical considerations. In: IEEE 2002 Annual Conference of the Industrial Electronics Society; 5-8 Nov 2002; Meliá Lebreros Hotel, Sevilla, Spain: IEEE pp. 3134-3139.
[31] Hasan M, Ahmed M, Mekhilef S. Analyses and simulation of three-phase MLI with high value of resolution per switch employing SVM control scheme. In: IEEE 2012 International Conference on Power and Energy; 2-5 December 2012; Kota Kinabalu, Malaysia: IEEE pp. 7-12.
[32] Najafi E, Yatim AHM. Design and implementation of a new multilevel inverter topology. IEEE T Ind Electron 2012; 59: 4148-4154.
[33] Batschauer AL, Mussa SA, Heldwein ML. Three-phase hybrid multilevel inverter based on half-bridge modules. IEEE T Ind Electron 2012; 59: 668-678.


[^0]:    * Correspondence: saad@um.edu.my

