

## Integral laminations on nonorientable surfaces

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Received: 21.08.2016

Accepted/Published Online: 16.03.2017

Final Version: 22.01.2018

**Abstract:** We describe triangle coordinates for integral laminations on a nonorientable surface  $N_{k,n}$  of genus  $k$  with  $n$  punctures and one boundary component, and we give an explicit bijection from the set of integral laminations on  $N_{k,n}$  to  $(\mathbb{Z}^{2(n+k-2)} \times \mathbb{Z}^k) \setminus \{0\}$ .

**Key words:** Nonorientable surfaces, triangle coordinates, Dynnikov coordinates

### 1. Introduction

Let  $N_{k,n}$  be a nonorientable surface of genus  $k$  with  $n$  punctures and one boundary component. In this paper we shall describe the generalized Dynnikov coordinate system for the set of integral laminations  $\mathcal{L}_{k,n}$  and give an explicit bijection between  $\mathcal{L}_{k,n}$  and  $(\mathbb{Z}^{2(n+k-2)} \times \mathbb{Z}^k) \setminus \{0\}$ . To be more specific, we shall first take a particular collection of  $3n + 2k - 4$  arcs and  $k$  curves embedded in  $N_{k,n}$  and describe each integral lamination by an element of  $\mathbb{Z}_{\geq 0}^{3n+2k-4} \times \mathbb{Z}^k$ , its geometric intersection numbers with these arcs and curves. *Generalized Dynnikov coordinates* are certain linear combinations of these integers that provide a one-to-one correspondence between  $\mathcal{L}_{k,n}$  and  $(\mathbb{Z}^{2(n+k-2)} \times \mathbb{Z}^k) \setminus \{0\}$ .

The motivation for this paper comes from the recent work of Papadopoulos and Penner [7] where they provided analogs for nonorientable surfaces of several results from the Thurston theory of surfaces, which were studied only for orientable surfaces before [4, 8]. Here we shall give the analogy of the Dynnikov coordinate system [1–3] on a finitely punctured disk that has several useful applications such as giving an efficient method for the solution of the word problem of the  $n$ -braid group [1], computing the geometric intersection number of integral laminations [9], and counting the number of components they contain [11].

Throughout the text we shall work on a standard model of  $N_{k,n}$  as illustrated in Figure 1, where a disk with a cross drawn within it represents a crosscap; that is, the interior of the disk is removed and the antipodal points on the resulting boundary component are identified (i.e. the boundary component bounds a Möbius band).

The structure of the paper is as follows. In Section 1.1 we give the necessary terminology and background. In Section 2 we describe and study the triangle coordinates for integral laminations on  $N_{k,n}$ , and we construct

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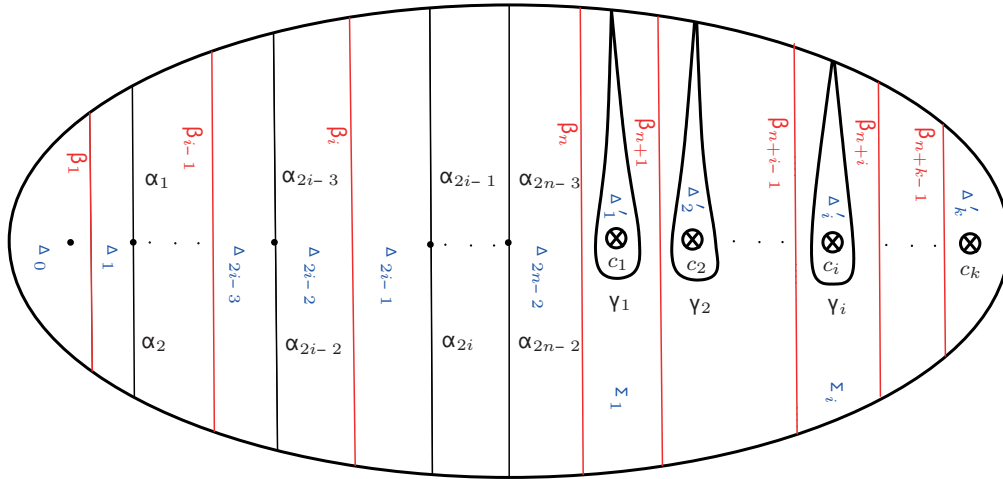
2010 AMS Mathematics Subject Classification: 57N05, 57N16, 57M50

the generalized Dynnikov coordinate system giving the bijection  $\rho: \mathcal{L}_{k,n} \rightarrow (\mathbb{Z}^{2(n+k-2)} \times \mathbb{Z}^k) \setminus \{0\}$ . An explicit formula for the inverse of this bijection is given in Theorem 2.14.

**1.1. Basic terminology and background**

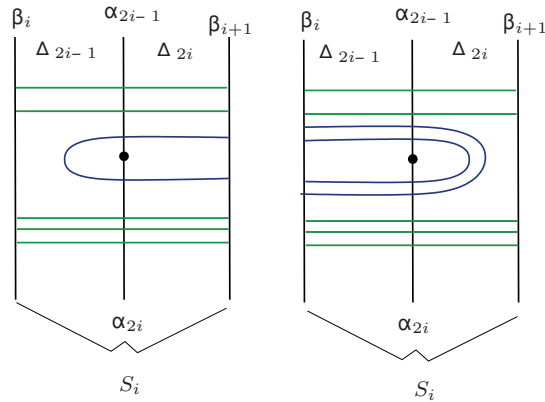
A simple closed curve in  $N_{k,n}$  is inessential if it bounds an unpunctured disk, a once punctured disk, or an unpunctured annulus. It is called essential otherwise. A simple closed curve is called *2-sided* (respectively *1-sided*) if a regular neighborhood of the curve is an annulus (respectively Möbius band). We say that a 2-sided curve is *nonprimitive* if it bounds a Möbius band [7], and a 1-sided curve is *nonprimitive* if it is a core curve of a Möbius band. They are called *primitive* otherwise.

An integral lamination  $\mathcal{L}$  on  $N_{k,n}$  is a disjoint union of finitely many essential simple closed curves in  $N_{k,n}$  modulo isotopy. Let  $\mathcal{A}_{k,n}$  be the set of arcs  $\alpha_i$  ( $1 \leq i \leq 2n-2$ ),  $\beta_i$  ( $1 \leq i \leq n+k-1$ ),  $\gamma_i$  ( $1 \leq i \leq k-1$ ), which have each endpoint either on the boundary or at a puncture, and the curves  $c_i$  ( $1 \leq i \leq k$ ), which are the core curves of Möbius bands in  $N_{k,n}$  as illustrated in Figure 1: the arcs  $\alpha_{2i-3}$  and  $\alpha_{2i-2}$  for  $2 \leq i \leq n$  join the  $i$ th puncture to  $\partial N_{k,n}$ , the arc  $\beta_i$  has both end points on  $\partial N_{k,n}$  and passes between the  $i$ th and  $(i+1)$ st punctures for  $1 \leq i \leq n-1$ , the  $n$ th puncture and the first crosscap for  $i = n$ , and the  $(i-n)$ th and  $(i+1-n)$ th crosscaps for  $n+1 \leq i \leq n+k-1$ . The arc  $\gamma_i$  ( $1 \leq i \leq k-1$ ) has both endpoints on  $\partial N_{k,n}$  and surrounds the  $i$ th crosscap.



**Figure 1.** The arcs  $\alpha_i$ ,  $\beta_i$ ,  $\gamma_i$ ; the 1-sided curves  $c_1, c_2, \dots, c_k$ ; and the regions  $\Delta_i$  and  $\Sigma_i$ .

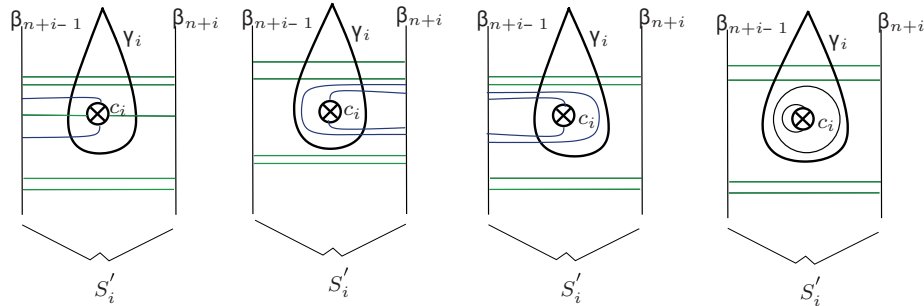
The surface is divided by these arcs into  $2n + 2k - 2$  regions;  $2n + k - 3$  of these are triangular since each  $\Delta_i$  ( $1 \leq i \leq 2n - 2$ ) and  $\Sigma_i$  ( $1 \leq i \leq k - 1$ ) is bounded by three arcs when the boundary of the surface is identified to a point. The two triangles  $\Delta_{2i-3}$  and  $\Delta_{2i-2}$  on the left-hand and right-hand side of the  $i$ th puncture are defined by the arcs  $\alpha_{2i-3}, \alpha_{2i-2}, \beta_{i-1}$  and  $\alpha_{2i-3}, \alpha_{2i-2}, \beta_i$ , respectively. The triangle  $\Sigma_i$  is defined by the arcs  $\gamma_i, \beta_{n+i-1}, \beta_{n+i}$ . Each  $\Delta'_i$  ( $1 \leq i \leq k - 1$ ) is bounded by  $\gamma_i$ , and the two end regions  $\Delta_0$  and  $\Delta'_k$  are bounded by  $\beta_1$  and  $\beta_{n+k-1}$ , respectively. Given  $\mathcal{L} \in \mathcal{L}_{k,n}$ , let  $L$  be a taut representative of  $\mathcal{L}$  with respect to the elements of  $\mathcal{A}_{k,n}$ . That is,  $L$  intersects each of the arcs and curves in  $\mathcal{A}_{k,n}$  minimally.



**Figure 2.** There is 1 left loop component in the first case and 2 right loop components in the second case. There are 2 above and 3 below components in each case.

**Definition 1.1** Set  $S_i = \Delta_{2i-1} \cup \Delta_{2i}$  for each  $i$  with  $1 \leq i \leq n - 1$ . A path component of  $L$  in  $S_i$  is a component of  $L \cap S_i$ . There are four types of path components in  $S_i$  as depicted in Figure 2:

- An above component has end points on  $\beta_i$  and  $\beta_{i+1}$ , passing across  $\alpha_{2i-1}$ ;
- A below component has end points on  $\beta_i$  and  $\beta_{i+1}$ , passing across  $\alpha_{2i}$ ;
- A left loop component has both end points on  $\beta_{i+1}$ ;
- A right loop component has both end points on  $\beta_i$ .



**Figure 3.** There is 1 right core loop and 1 straight core component in the first case; 1 left loop and 1 left core loop component in the second case; 1 right noncore loop and 1 right core loop component in the third case; and 1 1-sided and 1 2-sided nonprimitive curves in the fourth case. There are 2 above and 2 below components in each case.

**Definition 1.2** Set  $S'_i = \Delta'_i \cup \Sigma_i$  for each  $1 \leq i \leq k - 1$ . A path component of  $L$  in  $S'_i$  is a component of  $L \cap S'_i$ . There are 7 types of path components in  $S'_i$  as depicted in Figure 3.

- An above component has end points on  $\beta_{n+i-1}$  and  $\beta_{n+i}$ , and passes across  $\gamma_i$  without intersecting  $c_i$ ;
- A below component has end points on  $\beta_{n+i-1}$  and  $\beta_{n+i}$ , and does not pass across  $\gamma_i$ ;
- A left loop component has both end points on  $\beta_{n+i}$ ;

- A right loop component has both end points on  $\beta_{n+i-1}$ :

If a loop component intersects  $c_i$ , it is called core loop component, otherwise it is called noncore loop component;

- A straight core component has end points on  $\beta_{n+i-1}$  and  $\beta_{n+i}$ , and intersects  $c_i$ ;
- A non-primitive 1-sided curve:

If  $L$  contains a nonprimitive 1-sided curve  $c_i$  we depict it with a ring with end points on the  $i$ th crosscap as shown in the fourth case in Figure 3;

- A nonprimitive 2-sided curve.

## 2. Triangle coordinates

Let  $L$  be a taut representative of  $\mathcal{L}$ . Write  $\alpha_i, \beta_i, \gamma_i$ , and  $c_i$  for the geometric intersection number of  $L$  with the arc  $\alpha_i, \beta_i, \gamma_i$  and the core curve  $c_i$ , respectively. It will always be clear from the context whether we mean the arc or the geometric intersection number assigned on the arc.

**Definition 2.1** The triangle coordinate function  $\tau: \mathcal{L}_{k,n} \rightarrow (\mathbb{Z}_{\geq 0}^{3n+2k-4} \times \mathbb{Z}^k) \setminus \{0\}$  is defined by

$$\tau(\mathcal{L}) = (\alpha_1, \dots, \alpha_{2n-2}; \beta_1, \dots, \beta_{n+k-1}; \gamma_1, \dots, \gamma_{k-1}; c_1, \dots, c_k),$$

where  $c_i = -1$  if  $L$  contains the  $i$ th core curve,  $c_i = -2m$  if it contains  $m \in \mathbb{Z}^+$  disjoint copies 2-sided nonprimitive curves around the  $i$ th crosscap, and  $c_i = -2m - 1$  if it contains  $m$  disjoint copies of 2-sided nonprimitive curves around the  $i$ th crosscap plus the  $i$ th core curve.

**Remark 2.2** Let  $b_i = \frac{\beta_i - \beta_{i+1}}{2}$  for  $1 \leq i \leq n+k-2$ . Then in each  $S_i$  ( $1 \leq i \leq n-1$ ) and  $S'_i$  ( $n \leq i \leq n+k-2$ ) there are  $|b_i|$  loop components. Furthermore, if  $b_i < 0$ , these loop components are left, and if  $b_i > 0$  they are right.

The proof of the next lemma is obvious from Figure 2.

**Lemma 2.3** Let  $1 \leq i \leq n-1$ . The number of above and below components in  $S_i$  are given by  $a_{S_i} = \alpha_{2i-1} - |b_i|$  and  $b_{S_i} = \alpha_{2i} - |b_i|$ , respectively.

Let  $\lambda_i$  and  $\lambda_{c_i}$  denote the number of noncore and core loop components,  $\psi_i$  the number of straight core components, and  $a_{S'_i}$  and  $b_{S'_i}$  the number of above and below components in  $S'_i$ .

**Lemma 2.4** Let  $L$  be a taut representative of  $\mathcal{L} \in \mathcal{L}_{k,n}$ , and set  $c_i^+ = \max(c_i, 0)$ . Then, for each  $1 \leq i \leq k-1$ , we have

$$\begin{aligned} \lambda_i &= \max(|b_{n+i-1}| - c_i^+, 0), & \lambda_{c_i} &= \min(|b_{n+i-1}|, c_i^+), \\ \psi_i &= \max(c_i^+ - |b_{n+i-1}|, 0). \end{aligned}$$

**Proof** Assume that  $L$  does not contain any nonprimitive curve in  $S'_i$ . Since  $c_i$  gives the sum of straight core and core loop components and  $|b_{n+i-1}|$  gives the sum of noncore loop and core loop components in  $S'_i$  (see Figure 3), we have

$$c_i = \psi_i + \lambda_{c_i} \quad \text{and} \quad |b_{n+i-1}| = \lambda_i + \lambda_{c_i}. \quad (1)$$

If  $c_i > |b_{n+i-1}|$ , then clearly there exists a straight core component in  $S'_i$  and hence no noncore loop component in  $S'_i$ ; that is,  $\lambda_i = 0$ . Therefore, in this case,  $\lambda_{c_i} = |b_{n+i-1}|$  and hence  $\psi_i = c_i - |b_{n+i-1}|$  by Equation 1.

If  $c_i < |b_{n+i-1}|$ , there exists a noncore loop component in  $S'_i$  and hence no straight core components in  $S'_i$ ; that is,  $\psi_i = 0$ . Therefore,  $c_i = \lambda_{c_i}$  and hence  $\lambda_i = |b_{n+i-1}| - c_i$  by Equation 1. We get:

$$\begin{aligned} \lambda_i &= \max(|b_{n+i-1}| - c_i, 0) \\ \psi_i &= \max(c_i - |b_{n+i-1}|, 0). \end{aligned}$$

Also, if  $|b_{n+i-1}| < c_i$ ,  $\lambda_i = 0$  and hence  $\lambda_{c_i} = |b_{n+i-1}|$ ; if  $|b_{n+i-1}| > c_i$ ,  $\psi_i = 0$  and hence  $\lambda_{c_i} = c_i$  by Equation 1. Therefore, we get  $\lambda_{c_i} = \min(|b_{n+i-1}|, c_i)$ .

Finally, if  $L$  contains a nonprimitive curve in  $S'_i$ , there can be no straight core and core loop component in  $S'_i$ ; that is,  $\psi_i = \lambda_{c_i} = 0$ , and hence  $\lambda_i = |b_{n+i-1}|$ . Since  $c_i < 0$  by definition, setting  $c_i^+ = \max(c_i, 0)$ , we can write:

$$\begin{aligned} \lambda_i &= \max(|b_{n+i-1}| - c_i^+, 0), & \lambda_{c_i} &= \min(|b_{n+i-1}|, c_i^+), \\ \psi_i &= \max(c_i^+ - |b_{n+i-1}|, 0). \end{aligned}$$

□

**Lemma 2.5** *Let  $L$  be a taut representative of  $\mathcal{L} \in \mathcal{L}_{k,n}$ . For each  $1 \leq i \leq k-1$  we have:*

$$\begin{aligned} a_{S'_i} &= \frac{\gamma_i}{2} - |b_{n+i-1}| - \psi_i \\ b_{S'_i} &= \max(\beta_{n+i-1}, \beta_{n+i}) - |b_{n+i-1}| - \frac{\gamma_i}{2}. \end{aligned}$$

**Proof**

To compute the number of above and below components in  $S'_i$  we observe that each path component other than a below component in  $S'_i$  intersects  $\gamma_i$  twice; that is,  $\gamma_i = 2(a_{S'_i} + |b_{n+i-1}| + \psi_i)$ . Therefore, we get

$$a_{S'_i} = \frac{\gamma_i}{2} - |b_{n+i-1}| - \psi_i.$$

To compute the number of below components, we note that the sum of all path components in  $S'_i$  is given by  $\beta = \max(\beta_{n+i-1}, \beta_{n+i})$ . Then  $b_{S'_i}$  is  $\beta$  minus the number of above, straight core components and twice the number loop components in  $S'_i$  (each loop component intersects  $\beta$  twice). We get

$$\begin{aligned} b_{S'_i} &= \max(\beta_{n+i-1}, \beta_{n+i}) - a_{S'_i} - 2|b_{n+i-1}| - \psi_i \\ &= \max(\beta_{n+i-1}, \beta_{n+i}) - |b_{n+i-1}| - \frac{\gamma_i}{2}. \end{aligned}$$

□

Another way of expressing  $a_{S'_i}$  and  $b_{S'_i}$  is given in item P4. in Properties 2.12.

**Remark 2.6** Observe that the loop components in  $\Delta_0$  are always left and the number of them is given by  $\frac{\beta_1}{2}$ . Similarly, the loop components in  $\Delta'_k$  are always right and the numbers of core and noncore loop components in  $\Delta'_k$  are given by  $c_k$  and  $\lambda_k = \frac{\beta_{n+k-1}}{2} - c_k$ .

Lemma 2.7 and Lemma 2.8 are obvious from Figures 2 and 3.

**Lemma 2.7** There are equalities for each  $S_i$ :

- When there are left loop components ( $b_i < 0$ ),

$$\begin{aligned}\alpha_{2i} + \alpha_{2i-1} &= \beta_{i+1} \\ \alpha_{2i} + \alpha_{2i-1} - \beta_i &= 2|b_i|;\end{aligned}$$

- When there are right loop components ( $b_i > 0$ ),

$$\begin{aligned}\alpha_{2i} + \alpha_{2i-1} &= \beta_i \\ \alpha_{2i} + \alpha_{2i-1} - \beta_{i+1} &= 2|b_i|;\end{aligned}$$

- When there are no loop components ( $b_i = 0$ ),

$$\alpha_{2i} + \alpha_{2i-1} = \beta_i = \beta_{i+1}.$$

**Lemma 2.8** There are equalities for each  $S'_i$ :

- When there are left loop components ( $b_{n+i-1} < 0$ ),

$$\begin{aligned}a_{S'_i} + b_{S'_i} + \psi_i + 2|b_{n+i-1}| &= \beta_{n+i} \\ a_{S'_i} + b_{S'_i} + \psi_i &= \beta_{n+i-1};\end{aligned}$$

- When there are right loop components ( $b_{n+i-1} > 0$ )

$$\begin{aligned}a_{S'_i} + b_{S'_i} + \psi_i + 2|b_{n+i-1}| &= \beta_{n+i-1}. \\ a_{S'_i} + b_{S'_i} + \psi_i &= \beta_{n+i};\end{aligned}$$

- When there are no loop components  $b_{n+i-1} = 0$

$$a_{S'_i} + b_{S'_i} + \psi_i = \beta_{n+i} = \beta_{n+i-1}.$$

**Example 2.9** Let  $\tau(\mathcal{L}) = (4, 2, 2, 6; 2, 6, 8, 4; 8; 1, 1)$  be the triangle coordinates of an integral lamination  $\mathcal{L} \in \mathcal{L}_{2,3}$ . We shall show how we draw  $\mathcal{L}$  from its given triangle coordinates. First, we compute the loop components in the two end regions  $\Delta_0$  and  $\Delta'_2$  using Remark 2.6. Since  $\beta_1 = 2$  there is one loop component in  $\Delta_0$ . Similarly, since  $\beta_4 = 4$  and  $c_2 = 1$ , we get  $\lambda_2 = \frac{\beta_4}{2} - c_2 = 1$ .

Next we compute loop components in  $S_1$ ,  $S_2$ , and  $S'_1$ . Since  $b_i = \frac{\beta_i - \beta_{i+1}}{2}$  for each  $1 \leq i \leq 3$ , we have  $b_1 = -2, b_2 = -1$ . Hence, there are two left loop components in  $S_1$  and one left component in  $S_2$ . Similarly, since  $b_3 = 2$ , there are 2 right loop components in  $S'_1$ , and by Lemma 2.4,  $\lambda_1 = \max(|b_3| - c_1, 0) = 1$  (hence  $\psi_1 = 0$ ) and  $\lambda_{c_1} = \min(|b_3|, c_1) = 1$ . Using Lemma 2.3 and Lemma 2.5 we compute the number of above and below components. We get  $a_{S_1} = \alpha_1 - |b_1| = 2$ ,  $b_{S_1} = \alpha_2 - |b_1| = 0$ ,  $a_{S_2} = \alpha_3 - |b_2| = 1$ ,  $b_{S_2} = \alpha_4 - |b_2| = 5$ , and

$$a_{S'_1} = \frac{\gamma_1}{2} - |b_3| - \psi_1 = 2,$$

$$b_{S'_1} = \max(\beta_3, \beta_4) - |b_3| - \frac{\gamma_1}{2} = 2.$$

Connecting the path components in each  $\Delta_0$ ,  $\Delta'_2$ ,  $S_1$ ,  $S_2$ , and  $S'_1$  we draw the integral lamination as shown in Figure 4.

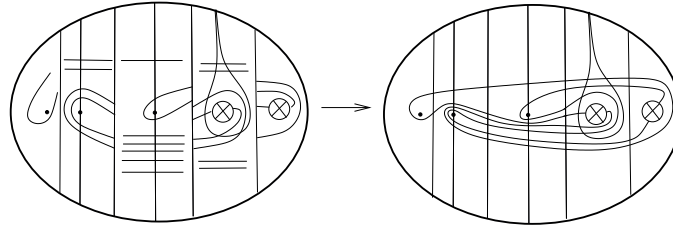


Figure 4.  $\tau(L) = (4, 2, 2, 6; 2, 6, 8, 4; 8; 1, 1)$ .

**Lemma 2.10** The triangle coordinate function  $\tau: \mathcal{L}_{k,n} \rightarrow (\mathbb{Z}_{\geq 0}^{3n+2k-4} \times \mathbb{Z}^k) \setminus \{0\}$  is injective.

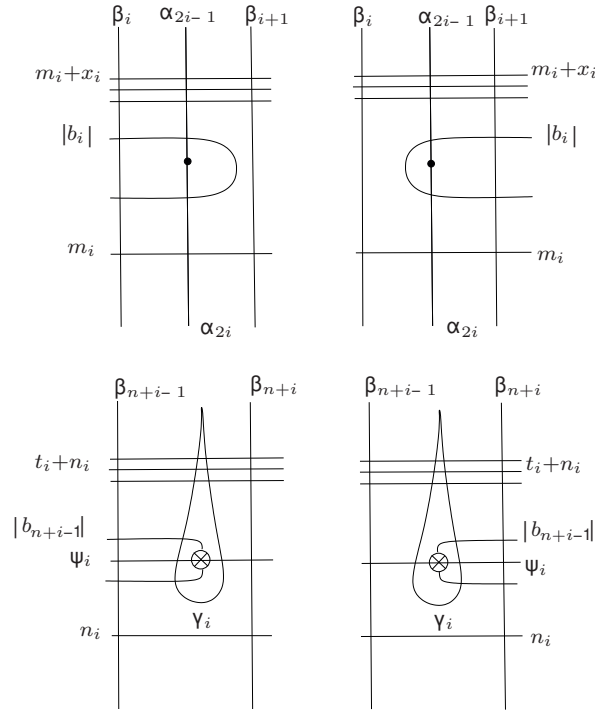
**Proof** We can determine the number of loop, above, and below components in each  $S_i$  by Remark 2.2 and Lemma 2.3 and core and noncore loop, straight core, above, and below components in each  $S'_i$  by Lemma 2.4 and Lemma 2.5 as illustrated in Example 2.9. The components in each  $S_i$  and  $S'_i$  are glued together in a unique way up to isotopy, and hence  $\mathcal{L}$  is constructed uniquely.  $\square$

**Remark 2.11** The triangle coordinate function  $\tau: \mathcal{L}_{k,n} \rightarrow (\mathbb{Z}_{\geq 0}^{3n+2k-4} \times \mathbb{Z}^k) \setminus \{0\}$  is not surjective: an integral lamination must satisfy the triangle inequality in each  $S_i$  and  $S'_i$ , and some additional conditions such as the equalities in Lemma 2.7 and Lemma 2.8.

Next we give a list of properties that an integral lamination  $\mathcal{L} \in \mathcal{L}_{k,n}$  satisfies in terms of its triangle coordinates as in [9], and then we construct a new coordinate system from the triangle coordinates that describes integral laminations in a unique way. In particular, we shall generalize the Dynnikov coordinate system [1–3, 5, 9–11] for  $N_{k,n}$ .

**Properties 2.12** Let  $L$  be a taut representative of  $\mathcal{L} \in \mathcal{L}_{k,n}$ .

- P1. Every component of  $L$  intersects each  $\beta_i$  an even number of times. Recall from Remark 2.2 that the number of loop components is given by  $|b_i|$  where  $b_i = \frac{\beta_i - \beta_{i+1}}{2}$ .



**Figure 5.**  $m_i$  and  $n_i$  denote the smaller of the above and below components in  $S_i$  and  $S'_i$ , respectively.

P2. Set  $x_i = |\alpha_{2i} - \alpha_{2i-1}|$  and  $t_i = |a_{S'_i} - b_{S'_i}|$ . Then  $x_i$  and  $t_i$  give the difference between the number of above and below components in  $S_i$  and  $S'_i$ , respectively. Set  $m_i = \min \{ \alpha_{2i} - |b_i|, \alpha_{2i-1} - |b_i| \}$ ;  $1 \leq i \leq n-1$  and  $n_i = \min \{ a_{S'_i}, b_{S'_i} \}$ ;  $1 \leq i \leq k-1$ . See Figure 5. Note that  $x_i$  is even since  $L$  intersects  $\alpha_{2i} \cup \alpha_{2i-1}$  an even number of times. Clearly, this may not hold for  $t_i$  since when  $\psi_i$  is odd the sum of above and below components (and hence their difference) is odd. See Lemma 2.8.

P3. Set  $2a_i = \alpha_{2i} - \alpha_{2i-1}$  ( $|a_i| = x_i/2$ ). Then, by Lemma 2.7, we get:

- If  $b_i \geq 0$ , then  $\beta_i = \alpha_{2i} + \alpha_{2i-1}$  and hence

$$\alpha_{2i} = a_i + \frac{\beta_i}{2} \text{ and } \alpha_{2i-1} = -a_i + \frac{\beta_i}{2};$$

- If  $b_i \leq 0$ , then  $\beta_{i+1} = \alpha_{2i} + \alpha_{2i-1}$  and hence

$$\alpha_{2i} = a_i + \frac{\beta_{i+1}}{2} \text{ and } \alpha_{2i-1} = -a_i + \frac{\beta_{i+1}}{2}.$$

That is,

$$\alpha_i = \begin{cases} (-1)^i a_{\lceil i/2 \rceil} + \frac{\beta_{\lceil i/2 \rceil}}{2} & \text{if } b_{\lceil i/2 \rceil} \geq 0, \\ (-1)^i a_{\lceil i/2 \rceil} + \frac{\beta_{1+\lceil i/2 \rceil}}{2} & \text{if } b_{\lceil i/2 \rceil} \leq 0, \end{cases}$$



where  $\lceil i/2 \rceil$  denotes the smallest integer that is not less than  $i/2$ .

P4. Since  $t_i = a_{S'_i} - b_{S'_i}$  for  $1 \leq i \leq k - 1$ , from Lemma 2.8 we get:

- If  $b_{n+i-1} \geq 0$  then  $a_{S'_i} + b_{S'_i} + \psi_i + 2b_{n+i-1} = \beta_{n+i-1}$ , and

$$a_{S'_i} = \frac{t_i - \psi_i + \beta_{n+i-1} - 2b_{n+i-1}}{2};$$

- If  $b_{n+i-1} \leq 0$  then  $a_{S'_i} + b_{S'_i} + \psi_i - 2b_{n+i-1} = \beta_{n+i}$ , and

$$a_{S'_i} = \frac{t_i - \psi_i + \beta_{n+i} + 2b_{n+i-1}}{2},$$

and hence

$$a_{S'_i} = \frac{t_i - \psi_i + \max(\beta_{n+i}, \beta_{n+i-1}) - 2|b_{n+i-1}|}{2}.$$

Similarly we compute

$$b_{S'_i} = \frac{-t_i - \psi_i + \max(\beta_{n+i}, \beta_{n+i-1}) - 2|b_{n+i-1}|}{2}.$$

P5. It is easy to observe from Figure 5 that

$$\begin{aligned} \beta_i &= 2[|a_i| + \max(b_i, 0) + m_i] \quad \text{for } 1 \leq i \leq n - 1 \\ \beta_{n+i} &= |t_i| + 2 \max(b_{n+i-1}, 0) + \psi_i + 2n_i \quad \text{for } 1 \leq i \leq k - 1. \end{aligned}$$

Therefore, since  $b_i = \frac{\beta_i - \beta_{i+1}}{2}$ ;  $1 \leq i \leq n + k - 2$  we can compute  $\beta_1$  using one of the two equations below:

$$\begin{aligned} \beta_1 &= 2 \left[ |a_i| + \max(b_i, 0) + m_i + \sum_{j=1}^{i-1} b_j \right] \quad \text{for } 1 \leq i \leq n - 1, \\ \beta_1 &= |t_i| + 2 \max(b_{n+i-1}, 0) + \psi_i + 2n_i + 2 \sum_{j=1}^{n+i-2} b_j \quad \text{for } 1 \leq i \leq k - 1. \end{aligned}$$

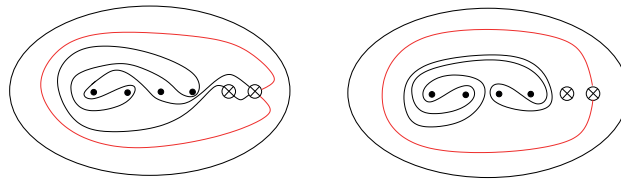


Figure 6.  $L^*$  is a simple closed curve on the right but it is not on the left.

P6. *Some integral laminations contain R-components: an R-component of L has geometric intersection numbers  $i(R, \alpha_j) = 1$  for each  $1 \leq j \leq 2n - 2$ ,  $i(R, \beta_j) = 2$  for each  $1 \leq j \leq n + k - 1$ , and  $i(R, \gamma_j) = 2$  for each  $1 \leq j \leq k - 1$ , which has its end points on the kth crosscap (denoted red in Figure 6). Set  $L^* = L \setminus R$ . Note that  $L^*$  is a component of L, which is not necessarily a simple closed curve (the two possible cases are depicted in Figure 6). Let  $\alpha_i^*, \beta_i^*$ , and  $\gamma_i^*$  denote the number of intersections of  $L^*$  with the arcs  $\alpha_i, \beta_i$ , and  $\gamma_i$ , respectively. Define  $a_i^*, b_i^*, t_i^*$  and  $\lambda_i^*, \lambda_{c_i}^*, a_{S_i}^*, b_{S_i}^*$ , and  $\psi_i^*$  similarly as above. We therefore have*

$$\beta_1^* = 2 \left[ |a_i^*| + \max(b_i^*, 0) + m_i^* + \sum_{j=1}^{i-1} b_j^* \right] \quad \text{for } 1 \leq i \leq n - 1,$$

$$\beta_1^* = |t_i^*| + 2 \max(b_{n+i-1}^*, 0) + \psi_i^* + 2n_i^* + 2 \sum_{j=1}^{n+i-2} b_j^* \quad \text{for } 1 \leq i \leq k - 1,$$

where  $m_i^* = \min \{ \alpha_{2i}^* - |b_i^*|, \alpha_{2i-1}^* - |b_i^*| \}$ ;  $1 \leq i \leq n - 1$  and  $n_i^* = \min \{ a_{S_i}^*, b_{S_i}^* \}$ ;  $1 \leq i \leq k - 1$ . Furthermore, there is some  $m_i^* = 0$ , or some  $n_i^* = 0$  since otherwise  $L^*$  would have above and below components in each  $S_i$  and  $S_i'$ , which would yield curves parallel to  $\partial N_{k,n}$ , or  $L^*$  would contain R-components, which is impossible by definition. Write  $a_i^* = a_i, b_i^* = b_i, t_i^* = t_i$  since deleting R-components does not change the a, b, t values. Set

$$X_i = 2 \left[ |a_i| + \max(b_i, 0) + \sum_{j=1}^{i-1} b_j \right] \quad \text{for } 1 \leq i \leq n - 1,$$

$$Y_i = |t_i| + 2 \max(b_{n+i-1}, 0) + \psi_i + 2 \sum_{j=1}^{n+i-2} b_j \quad \text{for } 1 \leq i \leq k - 1.$$

Then one of the three following cases hold for  $L^*$ :

- I. If  $m_i^* > 0$  for all  $1 \leq i \leq n - 1$ , then there is some  $j$  with  $1 \leq j \leq k - 1$  such that  $n_j^* = 0$ . Therefore,  $\beta_1^* > X_i$  and  $\beta_1^* = Y_j$ .
- II. If  $n_i^* > 0$  for all  $1 \leq i \leq k - 1$ , then there is some  $j$  with  $1 \leq j \leq n - 1$  such that  $m_j^* = 0$ . Therefore,  $\beta_1^* > Y_i$  and  $\beta_1^* = X_j$ .
- III. There is some  $i$  with  $1 \leq i \leq n - 1$  such that  $m_i^* = 0$  and some  $j$  with  $1 \leq j \leq k - 1$  such that  $n_j^* = 0$ . Therefore,  $\beta_1^* = X_i = Y_j$ .

We therefore have

$$\beta_i^* = \max(X, Y) - 2 \sum_{j=1}^{i-1} b_j$$

where

$$X = 2 \max_{1 \leq r \leq n-1} \left\{ |a_r| + \max(b_r, 0) + \sum_{j=1}^{r-1} b_j \right\}$$

and

$$Y = \max_{1 \leq s \leq k-1} \left\{ |t_s| + 2 \max(b_{n+s-1}, 0) + \psi_s + 2 \sum_{j=1}^{n+s-2} b_j \right\}.$$

P7. If  $L$  does not have an  $R$ -component, that is if  $L^* = L$ , then  $2c_k \leq \beta_{n+k-1}^* = \beta_{n+k-1}$  since  $\beta_{n+k-1} = 2c_k + 2\lambda_k$ . If  $L$  has an  $R$ -component then  $2c_k > \beta_{n+k-1}^*$  and  $\lambda_k = 0$ . See Figure 6. Hence, the number of  $R$ -components of  $L$  is given by

$$R = \max(0, 2c_k - \beta_{n+k-1}^*)/2.$$

For example, the integral laminations in Figure 6 (from left to right) have  $c_1 = 2, \beta_5^* = 2$ , and hence  $R = 1$ ; and  $c_1 = 1, \beta_5^* = 0$ , and hence  $R = 1$ . Then  $L$  is constructed by identifying the two end points of an  $R$  component with the pieces of  $L^*$  on the  $k$ th crosscap. Since  $R$ -components intersect each  $\beta_i$  twice, we get

$$\beta_i = \beta_i^* + 2R; 1 \leq i \leq n + k - 1.$$

Then

$$\beta_i = \max(X, Y) - 2 \sum_{j=1}^{i-1} b_j + 2R.$$

Also, from item P3., we have

$$\alpha_i = \begin{cases} (-1)^i a_{\lceil i/2 \rceil} + \frac{\beta_{\lceil i/2 \rceil}}{2} & \text{if } b_{\lceil i/2 \rceil} \geq 0, \\ (-1)^i a_{\lceil i/2 \rceil} + \frac{\beta_{1+\lceil i/2 \rceil}}{2} & \text{if } b_{\lceil i/2 \rceil} \leq 0, \end{cases}$$

Finally, it is easy to observe from Figure 3 that

$$\gamma_i = 2(a_{S'_i} + |b_{n+i-1}| + \psi_i).$$

Making use of the properties above, we shall define the generalized Dynnikov coordinate system, which coordinatizes  $\mathcal{L}_{k,n}$  bijectively and with the least number of coordinates.

**Definition 2.13** *The generalized Dynnikov coordinate function*

$$\rho: \mathcal{L}_{k,n} \rightarrow (\mathbb{Z}^{2(n+k-2)} \times \mathbb{Z}^k) \setminus \{0\}$$

is defined by

$$\rho(\mathcal{L}) = (a; b; t; c) := (a_1, \dots, a_{n-1}; b_1, \dots, b_{n+k-2}; t_1, \dots, t_{k-1}; c_1, \dots, c_k)$$

where

$$\begin{aligned} a_i &= \frac{\alpha_{2i} - \alpha_{2i-1}}{2} && \text{for } 1 \leq i \leq n-1, \\ b_i &= \frac{\beta_i - \beta_{i+1}}{2} && \text{for } 1 \leq i \leq n+k-2, \\ t_i &= a_{S'_i} - b_{S'_i} && \text{for } 1 \leq i \leq k-1, \end{aligned}$$

where  $a_{S'_i}$  and  $b_{S'_i}$  are as given in Lemma 2.5.

Theorem 2.14 gives the inverse of  $\rho: \mathcal{L}_{k,n} \rightarrow (\mathbb{Z}^{2(n+k-2)} \times \mathbb{Z}^k) \setminus \{0\}$ .

**Theorem 2.14** Let  $(a; b; t; c) \in (\mathbb{Z}^{2(n+k-2)} \times \mathbb{Z}^k) \setminus \{0\}$ . Set

$$\begin{aligned} X &= 2 \max_{1 \leq r \leq n-1} \left\{ |a_r| + \max(b_r, 0) + \sum_{j=1}^{r-1} b_j \right\} \\ Y &= \max_{1 \leq s \leq k-1} \left\{ |t_s| + 2 \max(b_{n+s-1}, 0) + \psi_s + 2 \sum_{j=1}^{n+s-2} b_j \right\}. \end{aligned}$$

Then  $(a; b; t; c)$  is the Dynnikov coordinate of exactly one element  $\mathcal{L} \in \mathcal{L}_{k,n}$ , which has

$$\beta_i = \max(X, Y) - 2 \sum_{j=1}^{i-1} b_j + 2R, \tag{2}$$

$$\alpha_i = \begin{cases} (-1)^i a_{\lceil i/2 \rceil} + \frac{\beta_{\lceil i/2 \rceil}}{2} & \text{if } b_{\lceil i/2 \rceil} \geq 0, \\ (-1)^i a_{\lceil i/2 \rceil} + \frac{\beta_{1+\lceil i/2 \rceil}}{2} & \text{if } b_{\lceil i/2 \rceil} \leq 0, \end{cases} \tag{3}$$

$$\gamma_i = 2(a_{S'_i} + |b_{n+i-1}| + \psi_i), \tag{4}$$

where  $a_{S'_i}$  is defined as in item P4. in Properties 2.12.

**Proof**

Given  $L \in \mathcal{L}_{k,n}$  with  $\tau(L) = (\alpha, \beta, \gamma, c)$  and  $\rho(L) = (a, b, t, c)$ , Properties 2.12 show that  $\alpha, \beta$ , and  $\gamma$  must be given by (2), (3), and (4), respectively, and hence  $L$  is unique by Lemma 2.10. Therefore,  $\rho$  is injective. By Properties 2.12 we can draw nonintersecting path components in each  $S_i$  ( $1 \leq i \leq n-1$ ),  $S'_i$  ( $1 \leq i \leq k-1$ ),  $\Delta_0$ , and  $\Delta'_k$ , which intersect each element of  $\mathcal{A}_{k,n}$  the number of times given by  $(\alpha, \beta, \gamma, c)$ . Gluing together these path components gives a disjoint union of simple closed curves in  $N_{k,n}$ . There are no curves that bound a puncture or parallel to the boundary by construction, and hence  $(\alpha, \beta, \gamma, c)$  where  $\alpha, \beta$ , and  $\gamma$  are defined by (2), (3), and (4), respectively, correspond to some  $L$  with  $\rho(L) = (a, b, t, c)$ . Therefore,  $\rho$  is surjective.  $\square$

**Example 2.15** Let  $\rho(\mathcal{L}) = (a_1; b_1, b_2; t_1; c_1, c_2) = (-1; 2, 0; 1; 1, 0)$  be the generalized Dynnikov coordinates of an integral lamination  $\mathcal{L}$  on  $N_{2,2}$ . We shall use Theorem 2.14 to compute the triangle coordinates of  $\mathcal{L}$  from

which we determine the number of path components in  $S_1$  and  $S'_1$ , and hence draw  $\mathcal{L}$  as illustrated in Example 2.9. By Lemma 2.4,  $\psi_1 = \max(c_1^+ - |b_2|, 0) = 1$ , and so we have

$$X = 2(|a_1| + \max(b_1, 0)) = 6 \quad \text{and} \quad Y = |t_1| + 2\max(b_2, 0) + \psi_1 + 2b_1 = 6.$$

Therefore,

$$\beta_1 = \max(6, 6) = 6, \quad \beta_2 = \max(6, 6) - 2b_1 = 2, \quad \beta_3 = \max(6, 6) - 2(b_1 + b_2) = 2,$$

$$\alpha_1 = -a_1 + \frac{\beta_1}{2} = 4, \quad \alpha_2 = a_1 + \frac{\beta_1}{2} = 2.$$

Since  $0 = 2c_2 < \beta_3^* = 2$ , there are no  $R$ -components by item P8. of Properties 2.12. Since  $\beta_1 = 6$  there are 3 loop components in  $\Delta_0$ , and since  $\beta_3 = 2$  and  $c_2 = 0$ , there is one noncore loop component in  $\Delta'_2$ , i.e.  $\lambda_2 = 1$ . By Remarks 2.2,  $b_1 = 2$  and  $b_2 = 0$ , and hence there are 2 right loop components in  $S_1$  and no loop components in  $S'_1$ . By Lemma 2.3 we compute that  $a_{S_1} = \alpha_1 - |b_1| = 2$  and  $b_{S_1} = \alpha_2 - |b_1| = 0$ . Finally, by item P4. of Properties 2.12,

$$a_{S'_1} = \frac{t_1 - \psi_1 + \max(\beta_2, \beta_3) - 2|b_2|}{2} = 1,$$

$$b_{S'_1} = \frac{-t_1 - \psi_1 + \max(\beta_2, \beta_3) - 2|b_2|}{2} = 0.$$

Gluing together the path components in  $S_1$  and  $S'_1$ , we construct the integral lamination depicted in Figure 7.

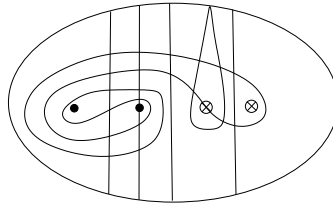


Figure 7.  $\rho(L) = (-1; 2, 0; 1; 1, 0)$ .

**Remark 2.16** Generalized Dynnikov coordinates for integral laminations can be extended in a natural way to generalized Dynnikov coordinates of measured foliations [5]: the transverse measure on the foliation [4, 7, 8] assigns to each element in  $\mathcal{A}_{k,n}$  a nonnegative real number, and hence each measured foliation is described by an element of  $(\mathbb{R}_{\geq 0}^{3n+2k-4} \times \mathbb{R}^k) \setminus \{0\}$ , the associated measures of the arcs and curves of  $\mathcal{A}_{k,n}$ . Therefore, the generalized Dynnikov coordinate system for measured foliations is defined similarly (see Definition 2.13) and provides a one-to-one correspondence between the set of measured foliations (up to isotopy and Whitehead equivalence) on  $N_{k,n}$  and  $(\mathbb{R}^{2(n+k-2)} \times \mathbb{R}^k) \setminus \{0\}$ .

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