

## Quasinilpotents in rings and their applications

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**Abstract:** An element  $a$  of an associative ring  $R$  is said to be quasinilpotent if  $1 - ax$  is invertible for every  $x \in R$  with  $xa = ax$ . Nilpotents and elements in the Jacobson radical of a ring are well-known examples of quasinilpotents. In this paper, properties and examples of quasinilpotents in a ring are provided, and the set of quasinilpotents is applied to characterize rings with some certain properties.

**Key words:** Quasinilpotent, nilpotent, idempotent, local ring, Boolean ring

### 1. Introduction

Rings are associative with identity. Let  $R$  be a ring. The symbols  $U(R)$ ,  $Id(R)$ , and  $R^{\text{nil}}$  stand for the sets of all units, all idempotents, and all nilpotents of  $R$ , respectively. The commutant of  $a \in R$  is defined by  $\text{comm}_R(a) = \{x \in R \mid ax = xa\}$  (if there is no ambiguity, we simply use  $\text{comm}(a)$  for short). For an integer  $n \geq 1$ , we write  $M_n(R)$  for the  $n \times n$  matrix ring over  $R$  whose identity element we write as  $I_n$  or  $I$ .

The intersection of all maximal left (right) ideals of  $R$  is said to be the *Jacobson radical* of  $R$ , which is denoted by  $J(R)$ . As is well known,  $J(R) = \{a \in R \mid 1 - ax \in U(R) \text{ for all } x \in R\}$ . Due to Harte [10], an element  $a \in R$  is called *quasinilpotent* if  $1 - ax \in U(R)$  for every  $x \in \text{comm}(a)$ ; the set of all quasinilpotents of  $R$  is denoted by  $R^{\text{qnil}}$ . It is clear that both  $R^{\text{nil}}$  and  $J(R)$  are contained in  $R^{\text{qnil}}$ . It is worth noting that quasinilpotents play an important role in a Banach algebra  $\mathcal{A}$ . According to [9],  $\mathcal{A}^{\text{qnil}} = \{a \in \mathcal{A} \mid \lim_{n \rightarrow \infty} \|a^n\|^{1/n} = 0\} = \{a \in \mathcal{A} \mid x - a \in U(\mathcal{A}) \text{ for all nonzero complex } x\}$ . By means of quasinilpotents, some interesting concepts are introduced, such as strongly  $J$ -clean rings [3], nil clean rings [7], generalized Drazin inverses [11], quasipolar rings [16], etc. However, there were few results concerning properties of quasinilpotents in a ring. Recall that a ring  $R$  is *local* [13] if  $R = U(R) \cup J(R)$ , and it was shown in [4] that  $R$  is a division ring or a Boolean ring if and only if  $R = U(R) \cup Id(R)$ . A natural question is: What can be said about a ring  $R$  for which  $R = U(R) \cup R^{\text{qnil}}$  (resp.,  $R = U(R) \cup R^{\text{nil}}$ ;  $R = U(R) \cup R^{\text{qnil}} \cup Id(R)$ )?

Motivated by the above, we study properties and structures of quasinilpotents in a ring and provide several illustrative examples. Jacobson's lemma for quasinilpotents is also considered. Furthermore, the sets  $Id(R)$ ,  $U(R)$ , and  $R^{\text{qnil}}$  are used to characterize rings. We prove that a ring  $R$  is local if and only if  $R = U(R) \cup R^{\text{qnil}}$ , a ring  $R$  is local with  $J(R)$  nil if and only if  $R = U(R) \cup R^{\text{nil}}$ , and if  $R = U(R) \cup R^{\text{qnil}} \cup Id(R)$ , then  $R$  is a local ring or a Boolean ring or a nonabelian directly finite ring with  $\text{char } R = 2$ .

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**2. Quasnilpotents in rings**

We begin with the following examples, which will reveal that quasnilpotents in a ring  $R$  are very different from elements in  $J(R)$  and nilpotents of  $R$ .

**Example 2.1** (1) Let  $R = M_2(\mathbb{Z}_{(2)})$  where  $\mathbb{Z}_{(2)} = \{\frac{b}{a} \mid a, b \in \mathbb{Z}, 2 \nmid a\}$ . Take  $A = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \in R$ . Then  $A^2 \in J(R)$ , and so  $A \in R^{\text{qnil}}$  (as for any  $X \in \text{comm}(A)$ ,  $(I_2 - AX)(I_2 + AX) = I_2 - A^2X^2 \in U(R)$  implies  $I_2 - AX \in U(R)$ ). Clearly,  $A$  is neither nilpotent nor in  $J(R)$ .

(2) Define an operator  $A$  on the Banach space  $l^1$  by the infinite matrix  $\begin{pmatrix} 0 & 0 & 0 & 0 & \dots \\ 1 & 0 & 0 & 0 & \dots \\ 0 & 1/2 & 0 & 0 & \dots \\ 0 & 0 & 1/3 & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$ . In view of [15,

Example 4.2],  $A$  is quasnilpotent in the Banach algebra  $L(l^1)$  of all bounded linear operators on  $l^1$ . However,  $A$  is not nilpotent and  $A \notin J(L(l^1))$  as  $J(L(l^1)) = 0$ .

**Example 2.2** Let  $R$  be a division ring and  $S = M_n(R)$ . Then  $S^{\text{qnil}} = S^{\text{nil}}$ .

**Proof** Let  $A \in S$ . Note that  $S$  can be viewed as the endomorphism ring of an  $n$ -dimensional vector space over  $R$ . Then  $S$  is a simple Artinian ring, and so the chain  $AS \supseteq A^2S \supseteq \dots$  must terminate. In view of [2, Lemma 1], there exist an integer  $k \geq 1$  and  $X \in S$  such that  $A^k = A^{k+1}X$  and  $AX = XA$ . Assume that  $A \in S^{\text{qnil}}$ . Then  $I_n - AX \in U(S)$ . From  $A^k(I_n - AX) = A^k - A^{k+1}X = 0$ , we have  $A^k = 0$ , so  $S^{\text{qnil}} \subseteq S^{\text{nil}}$ , and therefore  $S^{\text{qnil}} = S^{\text{nil}}$ . □

**Lemma 2.3** Let  $f : R \rightarrow S$  be an isomorphism of rings. Then  $a \in R^{\text{qnil}}$  if and only if  $f(a) \in S^{\text{qnil}}$ . In particular, if  $a \in R^{\text{qnil}}$ , then  $u^{-1}au \in R^{\text{qnil}}$  for any  $u \in U(R)$ .

**Proof** It suffices to show that if  $a \in R^{\text{qnil}}$  then  $f(a) \in S^{\text{qnil}}$ . Let  $s \in \text{comm}_S(f(a))$ . Then there exists  $b \in R$  such that  $s = f(b)$ , so we have  $f(ab) = f(a)s = sf(a) = f(ba)$ . Since  $f$  is an isomorphism,  $ab = ba$ . It follows that  $1 - ab \in U(R)$  as  $a \in R^{\text{qnil}}$ . Thus,  $1 - f(a)s = f(1 - ab) \in U(S)$ , from which  $f(a) \in S^{\text{qnil}}$ . □

The polynomial ring over a ring  $R$  in the indeterminate  $t$  is denoted by  $R[t]$ . For a monic polynomial  $f(t) = t^n - a_{n-1}t^{n-1} - \dots - a_1t - a_0 \in R[t]$ , the  $n \times n$  matrix  $C_f = \begin{pmatrix} 0 & a_0 \\ I & \alpha \end{pmatrix}$  is called the *companion matrix* of  $f(t)$ , where  $\alpha = (a_1, a_2, \dots, a_{n-1})^T$ . A matrix  $C \in M_n(R)$  is called a companion matrix if  $C = C_f$  for a monic polynomial  $f(t) \in R[t]$  of degree  $n$ . The following result is due to Diesl and Dorsey.

**Lemma 2.4** Let  $R$  be a commutative ring and  $C$  be a companion matrix of a monic polynomial of degree  $n$ . Then  $\text{comm}_{M_n(R)}(C) = \{h(C) \mid \text{for every } h(t) \in R[t]\}$ .

**Proof** It is enough to prove that  $\text{comm}_{M_n(R)}(C) \subseteq \{h(C) \mid \text{for every } h(t) \in R[t]\}$ . Let  $C = \begin{pmatrix} 0 & 0 & \dots & 0 & a_0 \\ 1 & 0 & \dots & 0 & a_1 \\ 0 & 1 & \dots & 0 & a_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & a_{n-1} \end{pmatrix}$ .

Write  $e_0 = (1, 0, \dots, 0)^T$ ,  $e_1 = (0, 1, \dots, 0)^T, \dots, e_{n-1} = (0, 0, \dots, 1)^T$ . Then  $Ce_i = e_{i+1}$  for every  $0 \leq i \leq n-2$  and  $Ce_{n-1} = a_0e_0 + a_1e_1 + \dots + a_{n-1}e_{n-1}$ .

Suppose that  $X \in M_n(R)$  and  $CX = XC$ . Set  $X = (X_0, X_1, \dots, X_{n-1})$  with column vectors  $X_i$ . Then, for every  $0 \leq i \leq n - 2$ , we have

$$CX_i = C(Xe_i) = X(Ce_i) = Xe_{i+1} = X_{i+1}$$

and

$$\begin{aligned} CX_{n-1} &= C(Xe_{n-1}) = XCe_{n-1} \\ &= X(a_0e_0 + a_1e_1 + \dots + a_{n-1}e_{n-1}) \\ &= a_0Xe_0 + a_1Xe_1 + \dots + a_{n-1}Xe_{n-1} \\ &= a_0X_0 + a_1X_1 + \dots + a_{n-1}X_{n-1}. \end{aligned}$$

Write  $X_0 = (b_0, b_1, \dots, b_{n-1})^T$ . Then all  $X_i$  (and thus  $X$ ) can be constructed by  $X_0$ . We claim that  $X = b_0I + b_1C + \dots + b_{n-1}C^{n-1}$ . Indeed, we only need to verify that it agrees on  $e_0, e_1, \dots, e_{n-1}$ . Note that

$$(b_0I + b_1C + \dots + b_{n-1}C^{n-1})e_0 = b_0e_0 + b_1e_1 + \dots + b_{n-1}e_{n-1} = X_0 = Xe_0,$$

and similarly, for each  $1 \leq i \leq n - 1$ ,

$$\begin{aligned} Xe_i &= X(C^i e_0) = C^i(Xe_0) \\ &= C^i(b_0I + b_1C + \dots + b_{n-1}C^{n-1})e_0 \\ &= (b_0I + b_1C + \dots + b_{n-1}C^{n-1})(C^i e_0) \\ &= (b_0I + b_1C + \dots + b_{n-1}C^{n-1})e_i. \end{aligned}$$

This completes the proof. □

For a ring  $R$ , let  $\sqrt{J(R)} = \{x \in R \mid x^n \in J(R) \text{ for some integer } n \geq 1\}$ . One may easily check that  $\sqrt{J(R)} \subseteq R^{\text{qnil}}$ . It is shown in [15] that  $S^{\text{qnil}} = \sqrt{J(S)}$  if  $S$  is a  $2 \times 2$  matrix ring over a commutative ring. We have the following result.

**Theorem 2.5** *If  $R$  is a commutative local ring and  $S = M_n(R)$ , then  $S^{\text{qnil}} = \sqrt{J(S)}$ .*

**Proof** It suffices to prove that  $S^{\text{qnil}} \subseteq \sqrt{J(S)}$ . Let  $A \in S^{\text{qnil}}$ . Then for any polynomial  $f(t) \in R[t]$ ,  $I - Af(A)$  is a unit of  $M_n(R)$ . Thus,  $\bar{I} + \bar{A}f(\bar{A})$  is invertible in  $M_n(R/J(R)) \cong S/J(S)$ . Note that  $R/J(R)$  is a field.

Thus,  $\bar{A}$  is similar to its rational canonical form  $C := \begin{pmatrix} C_{f_1} & & & \\ & C_{f_2} & & \\ & & \ddots & \\ & & & C_{f_l} \end{pmatrix}$  where  $C_{f_i}$  is the companion matrix

over  $R/J(R)$ . Since  $\bar{I} - \bar{A}f(\bar{A})$  is invertible, it follows that  $\bar{I} - C_{f_i}f(C_{f_i})$  is invertible for  $i = 1, 2, \dots, l$ . As  $\bar{f}(t) \in \bar{R}[t]$  is arbitrary, by Lemma 2.4 all  $C_{f_i}$  are quasinilpotent. In view of Example 2.2,  $C_{f_i}$  is nilpotent where  $1 \leq i \leq l$ . By Lemma 2.3, one has  $(\bar{A})^k = 0 \in S/J(S)$  for some integer  $k$ , which implies  $A^k \in J(S)$ . Therefore,  $S^{\text{qnil}} \subseteq \sqrt{J(S)}$ , as desired. □

For a ring  $R$ , the center of  $R$  is denoted by  $C(R)$ .

**Proposition 2.6** *Let  $R$  be a ring. Then  $R^{\text{qnil}} = J(R)$  if one of the following holds:*

- (1)  $R^{\text{qnil}} \subseteq C(R)$ .
- (2)  $R^{\text{qnil}}$  is an one-sided ideal of  $R$ .

**Proof** (1) Let  $a \in R^{\text{qnil}} \subseteq C(R)$ . Then for any  $x \in R$ ,  $ax = xa$ , so we have  $1 - ax \in U(R)$ , which implies  $a \in J(R)$ .

(2) Assume that  $R^{\text{qnil}}$  is a right ideal of  $R$ . Given any  $a \in R^{\text{qnil}}$ , then  $ax \in R^{\text{qnil}}$  for any  $x \in R$ . Thus,  $1 - ax \in U(R)$ , and hence  $a \in J(R)$ . □

Clearly,  $R^{\text{qnil}}$  coincides with  $J(R)$  if  $R$  is a commutative ring.

**Proposition 2.7** *Let  $R$  be a ring and  $a \in R$ ,  $c \in C(R)$ .*

- (1) *If  $a^n \in R^{\text{qnil}}$  for some integer  $n \geq 1$ , then  $a \in R^{\text{qnil}}$ .*
- (2) *If  $a \in R^{\text{qnil}}$ , then  $ac \in R^{\text{qnil}}$ . The converse holds if  $c \in U(R)$ .*

**Proof** (1) Take  $x \in \text{comm}(a)$ . Then we have  $x^n a^n = a^n x^n$ . Write  $b = 1 + ax + (ax)^2 + \dots + (ax)^{n-1}$ . It follows that  $b(1 - ax) = (1 - ax)b = 1 - (ax)^n = 1 - a^n x^n \in U(R)$  since  $a^n \in R^{\text{qnil}}$ , so  $1 - ax \in U(R)$ . This proves  $a \in R^{\text{qnil}}$ .

(2) Let  $x \in R$  with  $(ac)x = x(ac)$ . As  $c \in C(R)$ ,  $a(cx) = (cx)a$ , so  $a \in R^{\text{qnil}}$  implies that  $1 - acx \in U(R)$ , which yields  $ac \in R^{\text{qnil}}$ . Conversely, assume that  $c \in U(R)$  and  $y \in \text{comm}(a)$ . Then  $ac(yc^{-1}) = (yc^{-1})ac$ . Since  $ac \in R^{\text{qnil}}$ ,  $1 - ay = 1 - ac(yc^{-1}) \in U(R)$ , which implies that  $a \in R^{\text{qnil}}$ . □

**Lemma 2.8** *Let  $q \in R^{\text{qnil}}$  and  $e^2 = e \in R$ . If  $eq = qe$ , then  $eq \in R^{\text{qnil}} \cap eRe = (eRe)^{\text{qnil}}$ .*

**Proof** In view of [16, Lemma 3.5],  $R^{\text{qnil}} \cap eRe = (eRe)^{\text{qnil}}$ . We only need to show that  $eq \in R^{\text{qnil}}$ . Let  $t \in R$  with  $t(eq) = (eq)t$ . As  $eq = qe$ , we have  $q(qet^2e) = (qeqt^2e) = qet^2qe = (qet^2e)q$ . Since  $q \in R^{\text{qnil}}$ , it follows that  $(1 - teq)(1 + teq) = 1 - (teq)(teq) = 1 - (qet^2e)q \in U(R)$ . Thus,  $1 - teq \in U(R)$ , and hence  $eq \in R^{\text{qnil}}$ . □

The condition “ $eq = qe$ ” in Lemma 2.8 is not superfluous. Let  $E = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $Q = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$  be in  $M_2(\mathbb{Z}_2)$ , where  $\mathbb{Z}_2$  is the ring of integers  $\mathbb{Z}$  modulo 2. Then  $E^2 = E$  and  $Q$  is nilpotent, but  $EQ = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} = (EQ)^2$  is not quasinilpotent in  $M_2(\mathbb{Z}_2)$ .

**Proposition 2.9** *Let  $e^2 = e \in R$ , and  $a \in R$  with  $ae = ea$ . The following are equivalent:*

- (1)  *$ae$  is quasinilpotent in  $R$ .*
- (2) *For any  $y \in \text{comm}_R(ae)$ ,  $Re \subseteq R(1 - ay)$  and  $l(1 - ya) \subseteq l(e)$ .*
- (3) *For any  $y \in \text{comm}_R(ae)$ ,  $Re \subseteq (1 - ya)R$  and  $r(1 - ay) \subseteq r(e)$ .*

**Proof** By Lemma 2.8, the proof can be shown in a similar manner as [6, Corollary 3.2]. □

Let  $\mathcal{A}$  be a Banach algebra. It is well known that for any  $a \in \mathcal{A}^{\text{qnil}}$  and  $b \in \mathcal{A}$ , if  $ab = ba$  then  $ab \in \mathcal{A}^{\text{qnil}}$ , and in addition,  $a + b \in \mathcal{A}^{\text{qnil}}$  if  $b \in \mathcal{A}^{\text{qnil}}$  (see also [10]). However, it is still unknown whether the above results hold for a ring. For a ring  $R$ , let  $Q(R) = \{q \in R \mid 1 + q \in U(R)\}$ .

**Proposition 2.10** *Let  $R$  be a ring with  $Q(R) = R^{\text{qnil}}$  or  $U(R) = 1 + R^{\text{qnil}}$ .*

- (1) If  $a \in R^{\text{qnil}}$  and  $b \in \text{comm}(a)$ , then  $ab \in R^{\text{qnil}}$ .
- (2) If  $a, b \in R^{\text{qnil}}$  and  $ab = ba$ , then  $a + b \in R^{\text{qnil}}$ .

**Proof** We first show the following claim.

**Claim:**  $Q(R) = R^{\text{qnil}}$  if and only if  $U(R) = 1 + R^{\text{qnil}}$ .

**Proof of the Claim.** Assume that  $U(R) = 1 + R^{\text{qnil}}$ . Clearly,  $Q(R) \supseteq R^{\text{qnil}}$ . Take  $q \in Q(R)$ . Then  $1 + q \in U(R) = 1 + R^{\text{qnil}}$ . Therefore,  $q \in R^{\text{qnil}}$ , and thus  $Q(R) \subseteq R^{\text{qnil}}$ . Conversely, suppose that  $Q(R) = R^{\text{qnil}}$ . To show that  $U(R) = 1 + R^{\text{qnil}}$ , it suffices to prove that  $U(R) \subseteq 1 + R^{\text{qnil}}$ . Let  $u \in U(R)$ . Since  $1 + (u - 1) = u \in U(R)$ , we have  $u - 1 \in Q(R) = R^{\text{qnil}}$ , which implies that  $u \in 1 + R^{\text{qnil}}$ , as desired.

(1) Since  $a \in R^{\text{qnil}}$  and  $ab = ba$ , one has  $1 + ab \in U(R)$ . Thus,  $ab \in Q(R) \subseteq R^{\text{qnil}}$ .

(2) As  $a, b \in R^{\text{qnil}}$  and  $ab = ba$ ,  $1 + a \in U(R)$  and  $(1 + a)^{-1} \in \text{comm}(b)$ . It follows that  $1 + a + b = (1 + a)[1 + (1 + a)^{-1}b] \in U(R)$ , so  $a + b \in Q(R) \subseteq R^{\text{qnil}}$ . □

For a ring  $R$ , Jacobson's lemma states that for any  $a, b \in R$ , if  $1 - ab \in U(R)$  then  $1 - ba \in U(R)$  and  $(1 - ba)^{-1} = 1 + b(1 - ab)^{-1}a$ . We have the following result.

**Theorem 2.11** *Let  $a, b \in R$ . If  $1 - ab \in R^{\text{qnil}}$ , then  $1 - ba \in R^{\text{qnil}}$  if and only if  $a, b \in U(R)$ .*

**Proof** Assume that  $a, b \in U(R)$ . Let  $x \in \text{comm}(1 - ba)$ . Then multiplying  $(1 - ba)x = x(1 - ba)$  by  $a$  on the left and by  $b$  on the right yields  $(1 - ab)axb = axb(1 - ab)$ . Thus,  $(ab)axb = axb(ab)$ . It follows that  $[(ab)^{-1}axb](1 - ab) = (1 - ab)[(ab)^{-1}axb]$ . Since  $1 - ab \in R^{\text{qnil}}$ ,  $1 - [(ab)^{-1}axb](1 - ab) = 1 - (ab)^{-1}ax(1 - ba)b = 1 - b^{-1}x(1 - ba)b \in U(R)$ . By Jacobson's lemma,  $1 - x(1 - ba)bb^{-1} = 1 - x(1 - ba) \in U(R)$ . This proves  $1 - ba \in R^{\text{qnil}}$ .

Conversely,  $1 - ab \in R^{\text{qnil}}$  implies  $ab \in -1 + R^{\text{qnil}} \subseteq U(R)$ . Similarly, we can get  $ba \in U(R)$  from the assumption  $1 - ba \in R^{\text{qnil}}$ . Thus,  $a, b \in U(R)$ . □

Recall that a ring  $R$  is *directly finite* if  $ab = 1$  implies  $ba = 1$  for all  $a, b \in R$  (equivalently,  $aR = R$  implies  $Ra = R$ ). We have the following result immediately.

**Corollary 2.12** *Let  $R$  be a ring. Then for any  $a, b \in R$ ,  $1 - ab \in R^{\text{qnil}}$  implies  $1 - ba \in R^{\text{qnil}}$  if and only if  $R$  is a directly finite ring.*

**Corollary 2.13** *Let  $a, b \in R$ . If  $1 - ab \in R^{\text{nil}}$ , then  $1 - ba \in R^{\text{nil}}$  if and only if  $a, b \in U(R)$ .*

**Proof** One direction follows from Theorem 2.11. Now suppose that  $a, b \in U(R)$  and  $(1 - ab)^k = 0$  for some integer  $k$ . Then

$$(ab)^{-1} = [1 - (1 - ab)]^{-1} = 1 + (1 - ab) + (1 - ab)^2 + \dots + (1 - ab)^{k-1}.$$

Thus, we have

$$\begin{aligned}
 (1 - ba)^{k+1} &= \sum_{i=0}^{k+1} C_{k+1}^i (-1)^i (ba)^i \\
 &= 1 - C_{k+1}^1 (ba) + C_{k+1}^2 (ba)^2 + \cdots + (-1)^i C_{k+1}^i (ba)^i + \cdots + (-1)^{k+1} (ba)^{k+1} \\
 &= 1 - b[C_{k+1}^1 - C_{k+1}^2 (ba) + \cdots + (-1)^{i-1} C_{k+1}^i (ba)^{i-1} + \cdots + (-1)^k (ba)^k]a \\
 &= 1 - b[1 + (1 - ab) + (1 - ab)^2 + \cdots + (1 - ab)^{k-1}]a \\
 &= 1 - b(ab)^{-1}a = 1 - bb^{-1}a^{-1}a = 0.
 \end{aligned}$$

Thus,  $1 - ba \in R^{\text{nil}}$ , and the proof is completed. □

**Corollary 2.14** *Let  $a, b \in R$ . If  $1 - ab \in J(R)$ , then  $1 - ba \in J(R)$  if and only if  $a, b \in U(R)$ .*

**Proof** By Theorem 2.11, it suffices to show that if  $a, b \in U(R)$  then  $1 - ba \in J(R)$ . For any  $x \in R$ ,  $1 - (ba)^{-1}x(1 - ba)ba = 1 - (ba)^{-1}xb(1 - ab)a \in U(R)$  since  $1 - ab \in J(R)$ . By Jacobson’s lemma,  $1 - x(1 - ba)ba(ba)^{-1} = 1 - x(1 - ba) \in U(R)$ , so  $1 - ba \in J(R)$ . □

Cline proved in 1965 [5] that if  $ab$  is Drazin invertible then so is  $ba$ . Many authors generalized the above result to elements of rings with some kind of property. For example, similar results hold for strongly clean elements [8], strongly nil clean elements [12], etc.

**Lemma 2.15** [14, Lemma 2.2] *Let  $a, b \in R$ . If  $ab$  is quasinilpotent in  $R$ , then so is  $ba$ .*

For positive integers  $m, n$ , let  $R^{m \times n}$  be the set of all  $m \times n$  matrices over the ring  $R$ .

**Proposition 2.16** *Let  $A \in R^{m \times n}$  and  $B \in R^{n \times m}$ . Then  $AB$  is quasinilpotent in  $M_m(R)$  if and only if  $BA$  is quasinilpotent in  $M_n(R)$ .*

**Proof** If  $m = n$ , the result follows by Lemma 2.15. Assume that  $m > n$ . Let  $A_1 = (A, O)$ ,  $B_1 = \begin{pmatrix} B \\ O \end{pmatrix} \in M_m(R)$  where  $O$  is a matrix with all entries zeros. Clearly,  $A_1 B_1 = AB$  and  $B_1 A_1 = \begin{pmatrix} BA & O \\ O & O \end{pmatrix} \in M_m(R)$ . Since  $AB \in (M_m(R))^{\text{qnil}}$ , Lemma 2.15 implies that  $\begin{pmatrix} BA & O \\ O & O \end{pmatrix} \in (M_m(R))^{\text{qnil}}$ . Clearly,  $\begin{pmatrix} BA & O \\ O & O \end{pmatrix}$  is also quasinilpotent in  $\begin{pmatrix} M_n(R) & O \\ O & O \end{pmatrix}$ . We note that, as a subring of  $M_m(R)$ ,  $\begin{pmatrix} M_n(R) & O \\ O & O \end{pmatrix}$  is isomorphic to  $M_n(R)$ . By Lemma 2.3,  $BA \in (M_n(R))^{\text{qnil}}$ . If  $m < n$ , the result can be proved by a similar manner as above. □

### 3. Applications

This section focuses on the study of rings with some certain properties by means of  $U(R)$ ,  $Id(R)$ , and  $R^{\text{qnil}}$ . We first give the following lemma, which will be used freely.

**Lemma 3.1** *Let  $R$  be a ring. Then  $R^{\text{qnil}} \cap U(R) = \emptyset$  and  $R^{\text{qnil}} \cap Id(R) = 0$ .*

**Theorem 3.2** *Let  $R$  be a ring. The following are equivalent:*

- (1)  $R$  is a local ring.
- (2) For every  $a \in R$ ,  $a$  is invertible or  $a$  is quasinilpotent.
- (3)  $R = U(R) \cup R^{\text{qnil}}$ .
- (4)  $R = U(R) \cup J(R)$ .

**Proof** (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3) and (4)  $\Rightarrow$  (1) are clear.

(3)  $\Rightarrow$  (4). For any  $a \in R^{\text{qnil}}$ , we first show that  $ax \notin U(R)$  for all  $x \in R$ . Suppose that there exists  $y \in R$  such that  $ay \in U(R)$ . Then  $(ay)z = a(yz) = 1$  for some  $z \in U(R)$ . Clearly,  $((yz)a)^2 = (yz)a$ . As  $R = U(R) \cup R^{\text{qnil}}$ , we have  $(yz)a = 0$  or  $(yz)a = 1$ . If  $(yz)a = 0$  then  $yz = (yz)a(yz) = 0$ , which contradicts  $a(yz) = 1$ . Therefore,  $(yz)a = 1$ , and so  $a \in U(R)$ , which is impossible by Lemma 3.1. Thus,  $ax \in R^{\text{qnil}}$  for all  $x \in R$ . It follows that  $1 - ax \in U(R)$ . Therefore,  $a \in J(R)$ .  $\square$

**Corollary 3.3** *Let  $R$  be a ring. Then  $R = U(R) \cup R^{\text{nil}}$  if and only if  $R$  is a local ring and  $J(R)$  is nil.*

**Proof** Suppose that  $R = U(R) \cup R^{\text{nil}}$ . Since  $R^{\text{nil}} \subseteq R^{\text{qnil}}$ , we have  $R = (U(R) \cup R^{\text{nil}}) \subseteq (U(R) \cup R^{\text{qnil}}) \subseteq R$ . Thus,  $R = U(R) \cup R^{\text{qnil}}$  and  $R^{\text{qnil}} = R^{\text{nil}}$ . By Theorem 3.2,  $R$  is a local ring, and hence  $R^{\text{qnil}} = J(R)$ . It follows that  $J(R) = R^{\text{nil}}$ . This proves that  $J(R)$  is nil.

Conversely, assume that  $R$  is a local ring and  $J(R)$  is nil. In view of Theorem 3.2,  $R = U(R) \cup R^{\text{qnil}}$  and  $R^{\text{qnil}} = J(R)$ . As  $J(R)$  is nil, we have  $R^{\text{qnil}} = J(R) \subseteq R^{\text{nil}}$ . Thus,  $R^{\text{qnil}} = R^{\text{nil}}$  and  $R = U(R) \cup R^{\text{nil}}$ .  $\square$

Recall that a ring  $R$  is called *reduced* if it has no nonzero nilpotents, and  $R$  is said to be *abelian* if all idempotents of  $R$  are central. It is well known that reduced rings are abelian, and abelian rings are directly finite.

In [1, Theorem 14], the authors proved that a commutative ring  $R = U(R) \cup Id(R)$  if and only if  $R$  is a field or a Boolean ring. Chen and Cui [4] extended the above result to the case of noncommutative rings. We give a simpler proof here.

**Proposition 3.4** *Let  $R$  be a ring. Then  $R = U(R) \cup Id(R)$  if and only if  $R$  is a division ring or a Boolean ring.*

**Proof** One direction is obvious. Suppose that  $R = U(R) \cup Id(R)$ . If  $2 \in U(R)$ , then for any  $e \in Id(R) \setminus \{1\}$ , we have  $-e \in Id(R)$ , so  $(-e)^2 = e^2 = e = -e$ , and then  $2e = 0$ . Thus,  $e = 0$ , and this proves that  $R$  is a division ring with  $Id(R) = \{0, 1\}$ . If  $2 \in Id(R)$ , then  $2 = 0$  as  $2 = 2^2$ . Note that  $R$  is reduced and thus abelian. We may choose  $e \in Id(R) \setminus \{0, 1\}$ . For any  $u \in U(R)$ , then either  $(u + e)^2 = u + e$  or  $(u + e)v = 1$  for some  $v \in U(R)$ . As  $2 = 0$ ,  $(u + e)^2 = u + e$  yields  $u = 1$ . If  $(u + e)v = 1$  then  $uv = 1 - ev$ . Since  $e \notin U(R)$ , we have  $(ev)^2 = ev$ , which implies  $uv = 1 - ev \in U(R) \cap Id(R) = \{1\}$ , so  $e = 0$ , a contradiction. Thus, the only unit of  $R$  is 1, and so  $R$  is a Boolean ring.  $\square$

For a ring  $R$ , we say that  $Id(R)$  (resp.,  $U(R)$ ;  $R^{\text{qnil}}$ ) is trivial whenever  $Id(R) = \{0, 1\}$  (resp.,  $U(R) = \{1\}$ ;  $R^{\text{qnil}} = 0$ ).

**Theorem 3.5** *Let  $R$  be a ring. If  $R = U(R) \cup R^{\text{qnil}} \cup Id(R)$ , then exactly one of the following holds:*

- (1)  $R$  is a local ring.
- (2)  $R$  is a Boolean ring.
- (3)  $R$  is a nonabelian directly finite ring and  $\text{char} R = 2$ .

**Proof** The proof is divided into the following cases.

**Case 1.** If  $R^{\text{qnil}}$  is trivial, then  $R = U(R) \cup Id(R)$ . By Proposition 3.4,  $R$  is local as a division ring or  $R$  is a Boolean ring.

**Case 2.** Assume that  $R^{\text{qnil}} \neq 0$ . Then choose  $q \in R^{\text{qnil}} \setminus \{0\}$ . Thus,  $U(R)$  is nontrivial as  $1 + q \in U(R) \setminus \{1\}$ .

**Subcase 1.** If  $Id(R)$  is trivial, then by Theorem 3.2,  $R = U(R) \cup R^{\text{qnil}}$  is a local ring.

**Subcase 2.** Suppose that  $U(R)$ ,  $R^{\text{qnil}}$ , and  $Id(R)$  are all nontrivial. Set fixed elements  $e \in Id(R) \setminus \{0, 1\}$  and  $u = 1 + q \in U(R) \setminus \{1\}$ . We conclude that  $2 \notin U(R)$ . Otherwise,  $2 \in U(R)$ . Clearly,  $2e \notin U(R)$ . If  $2e \in Id(R)$ , then  $(2e)^2 = 2e$  implies  $e = 0$ , which contradicts the assumption  $e \in Id(R) \setminus \{0, 1\}$ . Thus,  $2e \in R^{\text{qnil}}$ . Notice that  $2 \in C(R)$ . By Proposition 2.7(2),  $e \in R^{\text{qnil}}$ , from which  $e = 0$ , and this causes the same contradiction as above. Hence,  $2 \in Id(R)$  or  $2 \in R^{\text{qnil}}$ .

If  $2^2 = 2$  then  $2 = 0$ . If  $2 \in R^{\text{qnil}}$ , then  $3 \in U(R)$ . Clearly,  $3e \notin U(R)$  as  $e \neq 1$ . If  $3e \in R^{\text{qnil}}$ , then by Proposition 2.7(2),  $e \in R^{\text{qnil}} \cap Id(R) = 0$ . Thus,  $3e \in Id(R)$ , and  $(3e)^2 = 3e$  yields  $6e = 0$ . One thus gets  $2e = 0$  as  $3 \in U(R)$ . Now replacing  $e$  by  $1 - e$ , a similar argument will reveal that  $2(1 - e) = 0$ . Therefore,  $2 = 2e + 2(1 - e) = 0$ . Thus,  $\text{char} R = 2$ . We now show that  $R$  is nonabelian. Assuming the contrary, then  $eu = ue$ . Clearly,  $ue \notin U(R)$  and  $ue \notin R^{\text{qnil}}$  (since  $1 - u^{-1}(ue) = 1 - (ue)u^{-1}$  is not a unit), so  $(ue)^2 = ue \in Id(R)$ , which gives  $ue = e$ . Similarly, we can obtain  $u(1 - e) = 1 - e$ . Combining  $ue = e$  with  $u(1 - e) = 1 - e$ , we have  $u = 1$ , a contradiction. Thus,  $R$  is a nonabelian ring and  $\text{char} R = 2$ .

We finish the proof by showing that  $R$  is directly finite. Let  $a, b \in R$  with  $ab = 1$ . Suppose that  $a \in R^{\text{qnil}}$ . Then  $b \in R^{\text{qnil}}$  (indeed,  $ab = 1$  implies  $b \notin U(R)$ , and if  $b \in Id(R)$  then  $1 - b = ab(1 - b) = 0$ , so  $b = 1$  and  $a = 1 \in U(R)$ ). Since  $\text{char} R = 2$ , it follows that  $a + b = (1 + a)(1 + b) := v \in U(R)$ . Multiplying the equation  $a + b = v$  by  $b$  on the left, we have  $b(a + b) = bv$ . Clearly,  $bv \notin U(R)$ . If  $bv \in Id(R)$ , then  $0 = (bv)^2 - bv = (bvb - b)v = b^3v$ , so  $b^3 = 0$ , but this contradicts  $1 = ab = a^3b^3$ . Thus,  $bv \in R^{\text{qnil}}$ . In view of Lemma 2.15, we have  $vb \in R^{\text{qnil}}$ . However,  $vb = (a + b)b = 1 + b^2 \in U(R)$  as  $b \in R^{\text{qnil}}$ . Therefore,  $a \notin R^{\text{qnil}}$ . If  $a \in U(R)$ , then we are done. If  $a \in Id(R)$ , then  $1 - a = (1 - a)(ab) = (a - a^2)b = 0$ , so  $a = 1$  and  $ba = 1$ . Hence,  $R$  is directly finite.  $\square$

There are plenty of rings that satisfy  $R = U(R) \cup R^{\text{qnil}} \cup Id(R)$ . For instance, let  $R = T_2(\mathbb{Z}_2)$  be the  $2 \times 2$  upper triangular matrix ring over  $\mathbb{Z}_2$ . Then  $U(R) = \{I_2, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}\}$ ,  $Id(R) = \{O, I_2, \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix}\}$ , and  $R^{\text{qnil}} = \{O, \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}\}$ . Clearly,  $R = U(R) \cup R^{\text{qnil}} \cup Id(R)$ . One may check that  $R = U(R) \cup R^{\text{qnil}} \cup Id(R)$  does also hold if  $R = M_2(\mathbb{Z}_2)$ .

**Remark 3.6** *There exists a nonabelian directly finite ring  $R$  with  $\text{char} R = 2$  but  $R \neq U(R) \cup R^{\text{qnil}} \cup Id(R)$ . Let  $R = M_2(\mathbb{Z}_2[[x]])$  where  $\mathbb{Z}_2[[x]]$  is the power series ring over  $\mathbb{Z}_2$ . Clearly,  $R$  is a nonabelian ring and  $\text{char} R = 2$ . As  $\mathbb{Z}_2[[x]]$  is commutative,  $R$  is directly finite. Take  $A = \begin{pmatrix} 1 & 0 \\ 0 & x \end{pmatrix} \in R$ . Then  $A \notin U(R)$  and  $A \notin Id(R)$ . Notice that  $I_2 - A \notin U(R)$ , so  $A \notin U(R) \cup R^{\text{qnil}} \cup Id(R)$ .*

Note that local rings and Boolean rings are abelian (and thus directly finite). We thus have the following result immediately.

**Corollary 3.7** *If  $R = U(R) \cup R^{\text{qnil}} \cup Id(R)$ , then  $R$  is a directly finite ring.*

**Corollary 3.8** *Let  $R$  be a commutative ring. The following are equivalent:*

- (1)  $R = U(R) \cup J(R) \cup Id(R)$ .



- (2)  $R$  is a local ring or a Boolean ring.  
 (3)  $R = U(R) \cup J(R)$  or  $R = U(R) \cup Id(R)$ .

**Proof** (1)  $\Rightarrow$  (2). Since  $R$  is a commutative ring,  $R^{\text{qnil}} = J(R)$ . Note that  $R$  is abelian. The result follows from Theorem 3.5.

(2)  $\Rightarrow$  (3) follows from Theorem 3.2 and Proposition 3.4.

(3)  $\Rightarrow$  (1). If  $R = U(R) \cup J(R)$ , take  $Id(R) = \{0, 1\}$  and then  $R = U(R) \cup J(R) \cup Id(R)$ . If  $R = U(R) \cup Id(R)$ , then the result follows by taking  $J(R) = 0$ .  $\square$

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