

## Bound for the cocharacters of the identities of irreducible representations of $\mathfrak{sl}_2(\mathbb{C})$

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Dedicated to Vesselin Drensky on his 70th birthday

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**Abstract:** For each irreducible finite dimensional representation of the Lie algebra  $\mathfrak{sl}_2(\mathbb{C})$  of  $2 \times 2$  traceless matrices, an explicit uniform upper bound is given for the multiplicities in the cocharacter sequence of the polynomial identities satisfied by the given representation.

**Key words:** Weak polynomial identities, simple Lie algebra, irreducible representation, cocharacter sequence

### 1. Introduction

Let  $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  be a finite dimensional representation of the Lie algebra  $\mathfrak{g}$  over a field  $K$  of characteristic zero; that is,  $\mathfrak{gl}(V) = \text{End}_K(V)$ , the space of all  $K$ -linear transformations of the finite dimensional  $K$ -vector space  $V$ , viewed as a Lie algebra with Lie product  $[A, B] := A \circ B - B \circ A$  for  $A, B \in \text{End}_K(V)$ , and  $\rho$  is a homomorphism of Lie algebras. Denote by  $F_m := K\langle x_1, \dots, x_m \rangle$  the free associative  $K$ -algebra with  $m$  generators. Consider  $F_m$  as a subalgebra of  $F_{m+1}$  in the obvious way, and write  $F := \bigcup_{m=1}^{\infty} F_m$  for the free associative algebra of countable rank. We say that  $f = 0$  is an identity of the representation  $\rho$  of  $\mathfrak{g}$  (or briefly, of the pair  $(\mathfrak{g}, \rho)$ ) for some  $f \in F_m$  if for any elements  $A_1, \dots, A_m \in \mathfrak{g}$  we have the following equality in the associative  $K$ -algebra  $\text{End}_K(V)$ :

$$f(\rho(A_1), \dots, \rho(A_m)) = 0 \in \text{End}_K(V).$$

Note that an identity of the representation  $\rho$  of the Lie algebra  $\mathfrak{g}$  is also called in the literature a *weak polynomial identity* for the pair  $(\text{End}_K(V), \rho(\mathfrak{g}))$ . This notion was introduced and powerfully applied first by Razmyslov [13–16] (see Drensky [8] for a recent survey on weak polynomial identities). Set

$$I(\mathfrak{g}, \rho) := \{f \in F \mid f = 0 \text{ is an identity of } (\mathfrak{g}, \rho)\}.$$

Clearly  $I(\mathfrak{g}, \rho)$  is an ideal in  $F$  stable with respect to all  $K$ -algebra endomorphisms of  $F$  of the form  $x_i \mapsto u_i$ , where  $u_i$  for  $i = 1, 2, \dots$  is an element of the Lie subalgebra of  $F$  generated by  $x_1, x_2, \dots$ . In particular, the general linear group  $\text{GL}_m(K)$  acts on  $F_m$  via  $K$ -algebra automorphisms: for  $g = (g_{ij})_{i,j=1}^m$  we have  $g \cdot x_j = \sum_{i=1}^m g_{ij} x_i$ , and  $I(\mathfrak{g}, \rho) \cap F_m$  is a  $\text{GL}_m(K)$ -invariant subspace of  $F_m$ . The *multilinear component* of

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$F_m$  is

$$P_m := \text{Span}_K \{x_{\pi(1)} \cdots x_{\pi(m)} \mid \pi \in S_m\},$$

where  $S_m$  is the symmetric group of degree  $m$ . It is well known that when  $\text{char}(K) = 0$ , the ideal  $I(\mathfrak{g}, \rho)$  is determined by the multilinear components  $I(\mathfrak{g}, \rho) \cap P_m$ ,  $m = 1, 2, \dots$ . Identifying  $S_m$  with the subgroup of permutation matrices in  $\text{GL}_m(K)$  we get its action on  $F_m$  via  $K$ -algebra automorphisms (more explicitly,  $\pi \in S_m$  is the automorphism of  $F_m$  given by  $x_i \mapsto x_{\pi(i)}$ ), and the subspaces  $P_m$  and  $I(\mathfrak{g}, \rho) \cap P_m$  are  $S_m$ -invariant. Define the  $m$ th cocharacter of  $(\mathfrak{g}, \rho)$  as

$$\chi_m(\mathfrak{g}, \rho) := \text{the character of the } S_m\text{-module } P_m / (I(\mathfrak{g}, \rho) \cap P_m).$$

We call

$$\chi(\mathfrak{g}, \rho) := (\chi_m(\mathfrak{g}, \rho) \mid m = 1, 2, \dots)$$

the *cocharacter sequence* of  $(\mathfrak{g}, \rho)$ . The irreducible  $S_m$ -modules are labeled by partitions of  $m$ ; let  $\chi^\lambda$  denote the character of the irreducible  $S_m$ -module associated to the partition  $\lambda = (\lambda_1, \dots, \lambda_m) \vdash m$ . We have

$$\chi_m(\mathfrak{g}, \rho) = \sum_{\lambda \vdash m} \text{mult}_\lambda(\mathfrak{g}, \rho) \chi^\lambda,$$

and we are interested in the multiplicities  $\text{mult}_\lambda(\mathfrak{g}, \rho)$  of the irreducible  $S_m$ -characters in the cocharacter sequence. Note that the value of  $\chi_m(\mathfrak{g}, \rho)$  on the identity element of  $S_m$  is

$$c_m(\mathfrak{g}, \rho) := \dim_K(P_m / (I(\mathfrak{g}, \rho) \cap P_m)),$$

and

$$(c_m(\mathfrak{g}, \rho) \mid m = 1, 2, \dots)$$

is called the *codimension sequence* of  $(\mathfrak{g}, \rho)$ . It was proved by Gordienko [10] that  $\lim_{m \rightarrow \infty} \sqrt[m]{c_m(\mathfrak{g}, \rho)}$  exists and is an integer. As is observed in [10, Example 3], an obvious upper bound for  $c_m(\mathfrak{g}, \rho)$  can be obtained from the fact that there is a natural  $K$ -linear embedding

$$P_m / (I(\mathfrak{g}, \rho) \cap P_m) \hookrightarrow \text{Hom}_K(\rho(\mathfrak{g})^{\otimes m}, \text{End}_K(V)). \tag{1.1}$$

Our starting observation is that the adjoint representation of  $\mathfrak{g}$  on itself induces a natural representation of  $\mathfrak{g}$  on  $\rho(\mathfrak{g})^{\otimes m}$  (the  $m$ th tensor power of  $\rho(\mathfrak{g})$ ) and on  $\text{End}_K(V)$ , such that the image of the embedding (1.1) is contained in the subspace of  $\mathfrak{g}$ -module homomorphisms from  $\rho(\mathfrak{g})^{\otimes m}$  to  $\text{End}_K(V)$ . So (1.1) can be refined as

$$P_m / (I(\mathfrak{g}, \rho) \cap P_m) \hookrightarrow \text{Hom}_{\mathfrak{g}}(\rho(\mathfrak{g})^{\otimes m}, \text{End}_K(V)). \tag{1.2}$$

This will be used to give an upper bound for the multiplicities in the cocharacter sequence  $\chi(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)})$  of the  $d$ -dimensional irreducible representation

$$\rho^{(d)} : \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{gl}(\mathbb{C}^d) = \mathbb{C}^{d \times d}$$

of  $\mathfrak{sl}_2(\mathbb{C})$  for  $d = 1, 2, \dots$ . Note that throughout the paper we shall identify  $\mathfrak{gl}(\mathbb{C}^d)$  with the associative algebra  $\mathbb{C}^{d \times d}$  of  $d \times d$  complex matrices, viewed as a Lie algebra with Lie bracket  $[A, B] = AB - BA$ .

**Theorem 1.1** *The multiplicity  $\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)})$  in  $\chi(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)})$  is nonzero only if  $\lambda = (\lambda_1, \lambda_2, \lambda_3)$  (i.e.  $\lambda$  has at most 3 nonzero parts), and in this case we have the inequality*

$$\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)}) \leq 3^{d-2}.$$

**Remark 1.2** (i) *The exact values of  $\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)})$  are known for  $d \leq 3$ . For  $d = 1$  all the multiplicities are obviously zero. It was proved in [12] (see also [7, Exercise 12.6.12]) that*

$$\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(2)}) = 1 \text{ for all } \lambda = (\lambda_1, \lambda_2, \lambda_3).$$

*The multiplicities  $\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(3)})$  are computed in [5, Theorem 3.7, Proposition 3.8]. It turns out that  $\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(3)}) \in \{1, 2, 3\}$  for each  $\lambda = (\lambda_1, \lambda_2, \lambda_3)$ .*

(ii) *Theorem 1.1 shows in particular that for each dimension  $d$ , there is a uniform bound (depending on  $d$  only) for the multiplicities  $\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)})$ . For comparison we mention that the multiplicities in the cocharacter sequence of the ordinary polynomial identities of  $2 \times 2$  matrices are unbounded: see [6] and [9]. For example, for any partition  $\lambda = (\lambda_1, \lambda_2)$  with  $\lambda_2 > 0$ , the multiplicity is  $(\lambda_1 - \lambda_2 + 1)\lambda_2$ . On the other hand, the cocharacter multiplicities of any PI algebra are polynomially bounded by [2].*

(iii) *There is no uniform upper bound independent of  $d$  for the multiplicities  $\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)})$ , because by Proposition 4.1,  $\max\{\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)}) \mid m = 1, 2, \dots, \lambda \vdash m\} \geq d - 1$  for  $d \geq 2$ .*

(iv) *The irreducible representations of  $\mathfrak{sl}_2(\mathbb{C})$  are defined over  $\mathbb{Q}$ . For any field  $K$  of characteristic zero and any positive integer  $d$ , the Lie algebra  $\mathfrak{sl}_2(K)$  has a unique (up to isomorphism)  $d$ -dimensional irreducible representation  $\rho_K^{(d)}$  over  $K$ . By well-known general arguments, the multiplicities  $\text{mult}_\lambda(\mathfrak{sl}_2(K), \rho_K^{(d)})$  do not depend on  $K$ . Therefore Theorem 1.1 implies that  $\text{mult}_\lambda(\mathfrak{sl}_2(K), \rho_K^{(d)}) \leq 3^{d-2}$  for any field  $K$  of characteristic zero.*

(v) *A different interpretation and approach to the study of  $\text{Hom}_{\mathfrak{g}}(\rho(\mathfrak{g})^{\otimes m}, \text{End}_K(V))$  for  $\mathfrak{g} = \mathfrak{sl}_2(\mathbb{C})$  and  $\rho = \rho^{(d)}$  is given in our parallel preprint [4], using classical invariant theory.*

We close the introduction by mentioning the recent paper of da Silva Macedo and Koshlukov [3, Theorem 3.7], where the codimension growth of polynomial identities of representations of Lie algebras is studied. In particular, in [3, Theorem 3.7] the identities of representations of  $\mathfrak{sl}_2(\mathbb{C})$  play a decisive role.

## 2. Matrix computations

Denote by  $\tilde{\rho}^{(d)} : \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{gl}(\mathbb{C}^{d \times d})$  the representation given by

$$\tilde{\rho}^{(d)}(A)(L) = \rho^{(d)}(A)L - L\rho^{(d)}(A) \text{ for } A \in \mathfrak{sl}_2(\mathbb{C}), L \in \mathbb{C}^{d \times d}. \quad (2.1)$$

We have  $\tilde{\rho}^{(d)} \cong \rho^{(d)} \otimes \rho^{(d)*}$ . The representations of  $\mathfrak{sl}_2(\mathbb{C})$  are self-dual, and so by the Clebsch-Gordan rules we have

$$\tilde{\rho}^{(d)} \cong \rho^{(d)} \otimes \rho^{(d)} \cong \bigoplus_{n=1}^d \rho^{(2n-1)}. \quad (2.2)$$

We shall need an explicit decomposition of  $\mathbb{C}^{d \times d}$  as a direct sum of minimal  $\tilde{\rho}^{(d)}$ -invariant subspaces.

Set

$$e := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad f := \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad h := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

so  $e, f, h$  is a  $\mathbb{C}$ -vector space basis of  $\mathfrak{sl}_2(\mathbb{C})$ , with  $[h, e] = 2e$ ,  $[h, f] = -2f$ , and  $[e, f] = h$ .

Recall that given a representation  $\psi : \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{gl}(V)$ , by a *highest weight vector* we mean a nonzero element  $w \in V$  such that  $\psi(e)(w) = 0 \in V$  and  $\psi(h)(w) = nw$  for some nonnegative integer  $n$  (the nonnegative integer  $n$  is called the *weight* of  $w$ ); in this case  $w$  generates a minimal  $\mathfrak{sl}_2(\mathbb{C})$ -invariant subspace in  $V$ , on which the representation of  $\mathfrak{sl}_2(\mathbb{C})$  is isomorphic to  $\rho^{(n+1)}$ . Moreover, any finite dimensional irreducible  $\mathfrak{sl}_2(\mathbb{C})$ -module contains a unique (up to nonzero scalar multiples) highest weight vector.

**Lemma 2.1** *Consider the  $\mathfrak{sl}_2(\mathbb{C})$ -module  $\mathbb{C}^{d \times d}$  via the representation  $\tilde{\rho}^{(d)}$ . To simplify notation set  $\rho := \rho^{(d)}$  and  $\tilde{\rho} := \tilde{\rho}^{(d)}$ .*

- (i)  $\rho(e)^n$  is a highest weight vector in  $\mathbb{C}^{d \times d}$  of weight  $2n$  for  $n = 0, 1, \dots, d-1$ .
- (ii)  $\rho(e)^{n-1}$  generates a minimal  $\tilde{\rho}$ -invariant subspace  $V_n$  on which  $\mathfrak{sl}_2(\mathbb{C})$  acts via  $\rho^{(2n-1)}$  for  $n = 1, \dots, d$ .
- (iii)  $\mathbb{C}^{d \times d} = \bigoplus_{n=1}^d V_n$ .
- (iv) For  $L_1 \in V_{n_1}$  and  $L_2 \in V_{n_2}$  with  $1 \leq n_1 \neq n_2 \leq d$  we have  $\text{Tr}(L_1 L_2) = 0$ .

**Proof** (i) We have  $\tilde{\rho}(e)(\rho(e)^n) = \rho(e)\rho(e)^n - \rho(e)^n\rho(e) = 0$  and

$$\tilde{\rho}(h)(\rho(e)^n) = \rho([h, e])\rho(e)^{n-1} + \rho(e)\rho([h, e])\rho(e)^{n-2} + \dots + \rho(e)^{n-1}\rho([h, e]) = 2n\rho(e)^n.$$

This shows that  $\rho(e)^n$  is the highest weight vector of weight  $2n$  for the representation  $\tilde{\rho}$ .

(ii) Statement (i) implies that  $\rho(e)^{n-1}$  generates an irreducible  $\mathfrak{sl}_2(\mathbb{C})$ -submodule of  $\tilde{\rho}$  isomorphic to  $\rho^{(2n-1)}$  for  $n = 1, \dots, d$ .

(iii) follows from (ii) and (2.2).

(iv) Consider the symmetric nondegenerate bilinear form

$$\beta : \mathbb{C}^{d \times d} \times \mathbb{C}^{d \times d} \rightarrow \mathbb{C}, \quad (L, M) \mapsto \text{Tr}(LM).$$

Note that  $\beta$  is  $\tilde{\rho}$ -invariant:

$$\begin{aligned} \beta([\rho(A), L], M) + \beta(L, [\rho(A), M]) &= \text{Tr}([\rho(A), L]M) + \text{Tr}(L[\rho(A), M]) \\ &= \text{Tr}([\rho(A), LM]) = 0 \quad \text{for any } A \in \mathfrak{sl}_2(\mathbb{C}). \end{aligned}$$

The radical of the bilinear form  $\beta_{V_n} : V_n \times V_n \mapsto \mathbb{C}$  (the restriction of  $\beta$  to  $V_n \times V_n$ ) is a  $\tilde{\rho}$ -invariant subspace in  $V_n$ , so it is either  $V_n$  or  $\{0\}$ . We claim that it is not  $V_n$ . Indeed,  $V_n$  contains a nonzero diagonal matrix  $D$  with real entries, since the zero weight subspace in  $\mathbb{C}^{d \times d}$  (with respect to  $\tilde{\rho}(h)$ ) is the subspace of diagonal matrices, and  $V_n$  intersects the zero-weight space in a 1-dimensional subspace (defined over the reals). Now being a sum of squares of nonzero real numbers,  $0 \neq \text{Tr}(D^2) = \beta(D, D)$ . Thus  $\beta_{V_n}$  is nondegenerate. The representation  $\tilde{\rho}$  is multiplicity free by (2.2), and by (ii) and (iii), every  $\tilde{\rho}$ -invariant subspace is of the form  $\sum_{j \in J} V_j$  for some

subset  $J \subseteq \{1, 2, \dots, d\}$ . As we showed above, the orthogonal complement of  $V_n$  (with respect to  $\beta$ ) is disjoint from  $V_n$ , so it is the sum of the other minimal invariant subspaces  $V_j$ ,  $j \in \{1, \dots, d\} \setminus \{n\}$ .  $\square$

The representation  $\rho^{(2)}$  is the defining representation of  $\mathfrak{sl}_2(\mathbb{C})$  on  $\mathbb{C}^2$ , and  $\rho^{(d)}$  is the  $(d-1)$ th symmetric tensor power of  $\rho^{(2)}$ . Denote by  $x, y$  the standard basis vectors in  $\mathbb{C}^2$ , and take the basis  $x^{d-1}, x^{d-1}y, \dots, y^{d-1}$  in the  $(d-1)$ th symmetric tensor power of  $\mathbb{C}^2$ . Then denoting by  $E_{i,j}$  the matrix unit with entry 1 in the  $(i, j)$  position and zeros in all other positions, the representation  $\rho^{(d)}$  as a matrix representation  $\mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathbb{C}^{d \times d}$  is given as follows:

$$\rho^{(d)}(e) = \sum_{i=1}^{d-1} iE_{i,i+1}, \quad \rho^{(d)}(f) = \sum_{i=1}^{d-1} (d-i)E_{i+1,i}, \quad \rho^{(d)}(h) = \sum_{i=1}^d (d+1-2i)E_{i,i}$$

**Lemma 2.2** For  $d \geq 3$  the  $\mathbb{C}$ -vector space  $\mathbb{C}^{d \times d}$  is spanned by

$$\{\rho^{(d)}(A_1) \cdots \rho^{(d)}(A_{d-1}) \mid A_1, \dots, A_{d-1} \in \mathfrak{sl}_2(\mathbb{C})\}.$$

**Proof** To simplify the notation write  $\rho := \rho^{(d)}$  and  $\tilde{\rho} := \tilde{\rho}^{(d)}$ . Let  $\mathcal{L}$  be the subspace of  $\mathbb{C}^{d \times d}$  spanned by the products  $\rho(A_1) \cdots \rho(A_{d-1})$ , where  $A_1, \dots, A_{d-1} \in \mathfrak{sl}_2(\mathbb{C})$ . Clearly  $\mathcal{L}$  is a  $\tilde{\rho}$ -invariant subspace of  $\mathbb{C}^{d \times d}$ . Since the representation  $\tilde{\rho}$  is multiplicity free by (2.2), we have  $\mathcal{L} = \sum_{j \in J} V_j$  for some subset  $J \subseteq \{1, 2, \dots, d\}$  by Lemma 2.1 (ii) and (iii). Therefore to prove the equality  $\mathcal{L} = \mathbb{C}^{d \times d}$  it is sufficient to show that  $\mathcal{L} \cap V_n \neq \{0\}$  for each  $n = 1, \dots, d$ , or equivalently, that  $\mathcal{L}$  is not contained in  $\sum_{j \in \{1, \dots, d\} \setminus \{n\}} V_j$ . Since  $V_d$  is generated by  $\rho(e)^{d-1} \in \mathcal{L}$ , we have  $V_d \subseteq \mathcal{L}$ . Moreover, to prove  $\mathcal{L} \not\subseteq \sum_{j \in \{1, \dots, d\} \setminus \{n+1\}} V_j$  for  $n \in \{0, 1, \dots, d-2\}$ , it is sufficient to present an element  $L_n \in \mathcal{L}$  with  $\text{Tr}(\rho(e)^n L_n) \neq 0$  by Lemma 2.1 (ii) and (iv). We shall give below such elements  $L_n \in \mathcal{L}$  for  $n = 0, 1, \dots, d-2$ .

For  $n = 1, \dots, d-1$  we have

$$\rho(e)^n = \sum_{j=1}^{d-n} j \cdot (j+1) \cdots (j+n-1) E_{j,j+n}$$

$$\rho(f)^n = \sum_{j=1}^{d-n} (d-j) \cdot (d-j-1) \cdots (d-j-n+1) E_{j+n,j}$$

and  $\rho(e)^0 = I_d = \rho(f)^0$ , where  $I_d$  is the  $d \times d$  identity matrix. It follows that for  $n = 1, \dots, d-1$ ,

$$\rho(e)^n \rho(f)^n = \sum_{j=1}^{d-n} j(j+1) \cdots (j+n-1) \cdot (d-j)(d-j-1) \cdots (d-j-n+1) E_{j,j}$$

is a diagonal matrix with nonnegative integer entries, and the  $(1, 1)$ -entry is positive. The same holds for  $\rho(e)^0 \rho(f)^0 = I_d$ . For  $n$  with  $d-1-n$  even,  $\rho(h)^{d-1-n}$  is the square of a diagonal matrix with integer entries, and its  $(1, 1)$ -entry is positive. Hence  $\text{Tr}(\rho(e)^n \rho(f)^n \rho(h)^{d-1-n}) \neq 0$ , being a positive integer. So in this case we may take  $L_n := \rho(f)^n \rho(h)^{d-1-n}$ . For  $n < d-2$  with  $d-1-n$  odd, note that  $\rho(e)\rho(f) - \rho(f)\rho(e) = \rho([e, f]) = \rho(h)$ , and thus

$$\rho(f)^n \rho(h)^{d-2-n} = \rho(f)^n \rho(h)^{d-3-n} (\rho(e)\rho(f) - \rho(f)\rho(e))$$

also belongs to  $\mathcal{L}$ . Since  $\rho(h)^{d-2-n}$  is a diagonal matrix with nonnegative integer entries, and with a positive  $(1, 1)$ -entry, we may take  $L_n := \rho(f)^n \rho(h)^{d-2-n}$  in this case. It remains to deal with the case  $n = d - 2$ . Then

$$\rho(e)^{d-2} \rho(f)^{d-2} = (d - 1)((d - 2)!)^2 \cdot (E_{1,1} + E_{2,2}),$$

hence taking  $L_{d-2} := \rho(f)^{d-2} \rho(h)$  we get

$$\begin{aligned} \text{Tr}(\rho(e)^{d-2} L_{d-2}) &= \text{Tr}((d - 1)((d - 2)!)^2 \cdot ((d - 1)E_{11} + (d - 3)E_{22})) \\ &= (2d - 4)(d - 1)((d - 2)!)^2, \end{aligned}$$

which is nonzero for  $d \geq 3$ . This finishes the proof of the equality  $\mathcal{L} = \mathbb{C}^{d \times d}$  for  $d \geq 3$ . □

### 3. Adjoint invariants

Denote by  $\text{ad} : \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{gl}(\mathfrak{sl}_2(\mathbb{C}))$  the *adjoint representation* of  $\mathfrak{sl}_2(\mathbb{C})$  on itself, so  $\text{ad}(A)(B) = [A, B]$  for  $A, B \in \mathfrak{sl}_2(\mathbb{C})$ . Take the  $n$ -fold direct sum  $\text{ad}^{\oplus n} : \mathfrak{sl}_2(\mathbb{C}) \rightarrow \mathfrak{gl}(\mathfrak{sl}_2(\mathbb{C})^{\oplus n})$  of the adjoint representation, and write  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]^{\mathfrak{sl}_2(\mathbb{C})}$  for the algebra of  $\text{ad}^{\oplus n}$ -invariant polynomial functions on  $\mathfrak{sl}_2(\mathbb{C})^{\oplus n}$ . There is a right action of  $\text{GL}_n(\mathbb{C})$  on  $\mathfrak{sl}_2(\mathbb{C})^n$  that commutes with  $\text{ad}^{\oplus n}$ : for  $g = (g_{ij})_{i,j=1}^n$  and  $(A_1, \dots, A_n) \in \mathfrak{sl}_2(\mathbb{C})^n$  we have

$$(A_1, \dots, A_n) \cdot g := \left( \sum_{i=1}^n g_{i1} A_i, \dots, \sum_{i=1}^n g_{in} A_i \right).$$

This induces a left  $\text{GL}_n(\mathbb{C})$ -action on the coordinate ring  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]$ : for  $g \in \text{GL}_n(\mathbb{C})$ ,  $f \in \mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]$  and  $(A_1, \dots, A_n) \in \mathfrak{sl}_2(\mathbb{C})^n$  we have  $(g \cdot f)(A_1, \dots, A_n) = f((A_1, \dots, A_n) \cdot g)$ .

**Lemma 3.1** *Consider the linear map  $\iota : F_m = \mathbb{C}\langle x_1, \dots, x_m \rangle \rightarrow \mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^{m+d-1}]$  given by*

$$\iota(f)(A_1, \dots, A_{m+d-1}) = \text{Tr}(f(\rho^{(d)}(A_1), \dots, \rho^{(d)}(A_m)) \cdot \rho^{(d)}(A_{m+1}) \cdots \rho^{(d)}(A_{m+d-1}))$$

for  $f \in F_m$  and  $(A_1, \dots, A_{m+d-1}) \in \mathfrak{sl}_2(\mathbb{C})^{m+d-1}$ . It has the following properties:

- (i) *The image of  $\iota$  is contained in the subalgebra  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^{m+d-1}]^{\mathfrak{sl}_2(\mathbb{C})}$  of  $\mathfrak{sl}_2(\mathbb{C})$ -invariants.*
- (ii) *For  $d \geq 3$  the kernel of  $\iota$  is the ideal  $I(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)}) \cap F_m$ .*
- (iii) *The map  $\iota$  is  $\text{GL}_m(\mathbb{C})$ -equivariant, where we restrict the  $\text{GL}_{m+d-1}(\mathbb{C})$ -action on  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^{m+d-1}]$  to the*

$$\text{subgroup } \text{GL}_m(\mathbb{C}) \cong \left\{ \begin{pmatrix} g & 0 \\ 0 & I_{d-1} \end{pmatrix} \mid g \in \text{GL}_m(\mathbb{C}) \right\} \text{ in } \text{GL}_{m+d-1}(\mathbb{C}).$$

**Proof** For notational simplicity we shall write  $\rho$  instead of  $\rho^{(d)}$ .

(i) By linearity of  $\iota$  it is sufficient to show that  $\iota(x_{i_1} \cdots x_{i_k})$  is an  $\mathfrak{sl}_2(\mathbb{C})$ -invariant for any  $i_1, \dots, i_k \in \{1, \dots, m\}$ . Setting  $n = k + d - 1$ ,  $B_1 = A_{i_1}, \dots, B_k = A_{i_k}, B_{k+1} = A_{m+1}, \dots, B_n = A_{m+d-1}$  we have

$$\iota(x_{i_1} \cdots x_{i_k})(A_1, \dots, A_{m+d-1}) = \text{Tr}(\rho(B_1) \cdots \rho(B_n)). \tag{3.1}$$

For any  $X \in \mathfrak{sl}_2(\mathbb{C})$  we have

$$\begin{aligned} 0 &= \text{Tr}([\rho(X), \rho(B_1) \cdots \rho(B_n)]) \\ &= \text{Tr}\left(\sum_{j=1}^n \rho(B_1) \cdots \rho(B_{j-1})[\rho(X), \rho(B_j)]\rho(B_{j+1}) \cdots \rho(B_n)\right) \\ &= \text{Tr}\left(\sum_{j=1}^n \rho(B_1) \cdots \rho(B_{j-1})\rho([X, B_j])\rho(B_{j+1}) \cdots \rho(B_n)\right) \\ &= \sum_{j=1}^n \text{Tr}(\rho(B_1) \cdots \rho(B_{j-1})\rho([X, B_j])\rho(B_{j+1}) \cdots \rho(B_n)). \end{aligned}$$

The equalities (3.1) and

$$\sum_{j=1}^n \text{Tr}(\rho(B_1) \cdots \rho(B_{j-1})\rho([X, B_j])\rho(B_{j+1}) \cdots \rho(B_n)) = 0$$

mean that  $\iota(x_{i_1} \cdots x_{i_k})$  is  $\mathfrak{sl}_2(\mathbb{C})$ -invariant, so (i) holds.

(ii) Suppose that  $f \in \ker(\iota)$ . Then  $\text{Tr}(f(\rho(A_1), \dots, \rho(A_m))B) = 0$  for all  $A_1, \dots, A_m \in \mathfrak{sl}_2(\mathbb{C})$  and for all  $B \in \mathbb{C}^{d \times d}$  by Lemma 2.2. By nondegeneracy of the trace we get  $f(\rho(A_1), \dots, \rho(A_m)) = 0$  for all  $A_1, \dots, A_m \in \mathfrak{sl}_2(\mathbb{C})$ . That is,  $f \in I(\mathfrak{sl}_2(\mathbb{C}), \rho)$ . Thus  $\ker(\iota) \subseteq I(\mathfrak{sl}_2(\mathbb{C}), \rho) \cap F_m$ . The reverse inclusion  $I(\mathfrak{sl}_2(\mathbb{C}), \rho) \cap F_m \subseteq \ker(\iota)$  is obvious.

(iii) Take  $g = (g_{ij})_{i,j=1}^m \in \text{GL}_m(\mathbb{C})$ . For  $f \in F_m$  and  $(A_1, \dots, A_{m+d-1}) \in \mathfrak{sl}_2(\mathbb{C})^{m+d-1}$  we have (by linearity of  $\rho$ )

$$\begin{aligned} &\iota(g \cdot f)(A_1, \dots, A_{m+d-1}) \\ &= \text{Tr}\left(f\left(\sum_{i=1}^m g_{i1}\rho(A_i), \dots, \sum_{i=1}^m g_{im}\rho(A_i)\right) \cdot \rho(A_{m+1}) \cdots \rho(A_{m+d-1})\right) \\ &= \text{Tr}\left(f\left(\rho\left(\sum_{i=1}^m g_{i1}(A_i)\right), \dots, \rho\left(\sum_{i=1}^m g_{im}(A_i)\right)\right) \cdot \rho(A_{m+1}) \cdots \rho(A_{m+d-1})\right) \\ &= (g \cdot \iota(f))(A_1, \dots, A_{m+d-1}). \end{aligned}$$

This shows (iii). □

Restricting the action of  $\text{GL}_n(\mathbb{C})$  on  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]$  to the subgroup of diagonal matrices we get an  $\mathbb{N}_0^n$ -grading on  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]$ , preserved by the action of  $\mathfrak{sl}_2(\mathbb{C})$ . Denote by  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]_{(1^n)}$  the multihomogeneous component of multidegree  $(1, \dots, 1)$ ; this is the space of  $n$ -linear functions on  $\mathfrak{sl}_2(\mathbb{C})$ . The spaces  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]_{(1^n)}$  and  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]_{(1^n)}^{\mathfrak{sl}_2(\mathbb{C})}$  are  $S_n$ -invariant (where we restrict the  $\text{GL}_n(\mathbb{C})$ -action to its subgroup  $S_n$  of permutation matrices). Lemma 3.1 has the following immediate consequence:

**Corollary 3.2** *For  $d \geq 3$  the restriction of  $\iota$  to the multilinear component  $P_m$  of  $\mathbb{C}\langle x_1, \dots, x_m \rangle$  factors through an  $S_m$ -equivariant  $\mathbb{C}$ -linear embedding*

$$\bar{\iota} : P_m / (I(\mathfrak{sl}_2(\mathbb{C})) \cap P_m) \rightarrow \mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^{m+d-1}]_{(1^{m+d-1})}^{\mathfrak{sl}_2(\mathbb{C})}$$

where on the right hand side we consider the restriction of the  $S_{m+d-1}$ -action to its subgroup  $S_m$  (the stabilizer in  $S_{m+d-1}$  of the elements  $m + 1, m + 2, \dots, m + d - 1$ ).

For a partition  $\lambda \vdash m$  denote by  $r(\lambda)$  the multiplicity of  $\chi^\lambda$  in the restriction to  $S_m$  of the  $S_{m+d-1}$ -module  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^{m+d-1}]_{(1^{m+d-1})}^{\mathfrak{sl}_2(\mathbb{C})}$ . Corollary 3.2 immediately implies the following:

**Corollary 3.3** For  $d \geq 3$  and any partition  $\lambda \vdash m$  we have the inequality

$$\text{mult}_\lambda(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)}) \leq r(\lambda).$$

The  $S_n$ -character of  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]_{(1^n)}^{\mathfrak{sl}_2(\mathbb{C})}$  is known:

**Proposition 3.4** For a partition  $\lambda \vdash n$  denote by  $\nu(\lambda)$  the multiplicity of  $\chi^\lambda$  in the  $S_n$ -character of  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]_{(1^n)}^{\mathfrak{sl}_2(\mathbb{C})}$ . Then we have

$$\nu(\lambda) = \begin{cases} 1 & \text{for } \lambda = (\lambda_1, \lambda_2, \lambda_3) \text{ with } \lambda_1 \equiv \lambda_2 \equiv \lambda_3 \text{ modulo } 2 \\ 0 & \text{otherwise.} \end{cases}$$

**Proof** The  $\text{GL}_n(\mathbb{C})$ -module structure of  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]_{(1^n)}^{\mathfrak{sl}_2(\mathbb{C})}$  is given for example in [12, Theorem 2.2]. The isomorphism types of the irreducible  $\text{GL}_n(\mathbb{C})$ -module direct summands of the degree  $n$  homogeneous component of  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]$  are labeled by partitions of  $n$  with at most 3 nonzero parts. The multiplicity  $\mu(\lambda)$  of the irreducible  $\text{GL}_n(\mathbb{C})$ -module  $W_\lambda$  in the degree  $n$  homogeneous component of  $\mathcal{O}[\mathfrak{sl}_2(\mathbb{C})^n]_{(1^n)}^{\mathfrak{sl}_2(\mathbb{C})}$  is 1 if  $\lambda_1, \lambda_2, \lambda_3$  have the same parity and is zero otherwise. Note finally that the multilinear component of  $W_\lambda$  is  $S_n$ -stable, and its  $S_n$ -character is  $\chi^\lambda$  (see for example [1, Corollary 6.3.11]).  $\square$

Following [11, Section I.1] for partitions  $\lambda \vdash n$  and  $\mu \vdash k$  we write  $\lambda \subset \mu$  is  $\lambda_i \leq \mu_i$  for all  $i$ . Moreover, given  $\lambda \vdash m$  and  $\mu \vdash m + d - 1$  with  $\lambda \subset \mu$ , by a *standard tableau of shape  $\mu/\lambda$*  we mean a sequence  $\lambda^{(0)} \subset \lambda^{(1)} \subset \dots \subset \lambda^{(d-1)}$  of partitions  $\lambda^{(i)} \vdash m + i$ , where  $\lambda^{(0)} = \lambda$ ,  $\lambda^{(d-1)} = \mu$ . By the well-known branching rules for the symmetric group, for  $\lambda \vdash m$  the multiplicity of  $\chi^\lambda$  in the restriction to  $S_m$  of the irreducible  $S_{m+d-1}$ -character  $\chi^\mu$  equals the number of standard tableaux of shape  $\mu/\lambda$  (see for example [1, Theorem 6.4.11]). Therefore Proposition 3.4 has the following consequence.

**Corollary 3.5** We have the equality

$$r(\lambda) = |\{T \mid T \text{ is a standard skew tableau of shape } \mu/\lambda, \\ \mu \vdash m + d - 1, \mu = (\mu_1, \mu_2, \mu_3), \mu_1 \equiv \mu_2 \equiv \mu_3 \text{ modulo } 2\}|.$$

**Corollary 3.6** For  $d \geq 3$  we have the inequality  $r(\lambda) \leq 3^{d-2}$ .

**Proof** Associate to a standard skew tableau  $T = \lambda^{(0)} \subset \lambda^{(1)} \subset \dots \subset \lambda^{(d-1)}$  of shape  $\mu/\lambda$ , where  $\mu = (\mu_1, \mu_2, \mu_3) \vdash m + d - 1$  and  $\mu_1 \equiv \mu_2 \equiv \mu_3$  modulo 2 the function  $f_T : \{1, \dots, d - 1\} \rightarrow \{1, 2, 3\}$ , which maps  $j \in \{1, \dots, d - 1\}$  to the unique  $i \in \{1, 2, 3\}$  such that the  $i$ th component of the partition  $\lambda^{(j)}$  is 1 greater than the  $i$ th component of  $\lambda^{(j-1)}$ . The assignment  $T \mapsto f_T$  is obviously an injective map from the set



of standard skew tableaux of shape  $\mu/\lambda$  into the set of functions  $\{1, \dots, d-1\} \rightarrow \{1, 2, 3\}$ . We claim that at most  $3^{d-2}$  functions are contained in the image of this map. Indeed, if the three parts of  $\lambda^{(d-3)}$  have the same parity, then  $(f_T(d-2), f_T(d-1)) \in \{(1, 1), (2, 2), (3, 3)\}$ , since the three parts of  $\mu = \lambda^{(d-1)}$  must have the same parity. If the three parts of  $\lambda^{(d-3)}$  do not have the same parity, say the first two components of  $\lambda^{(d-3)}$  have the same parity, and the third part has the opposite parity, then  $(f_T(d-2), f_T(d-1)) \in \{(1, 2), (2, 1)\}$ . Hence  $r(\lambda)$  is not greater than 3-times the number of functions from a  $(d-3)$ -element set to a 3-element set. Thus  $r(\lambda) \leq 3^{d-2}$ .  $\square$

### 3.1. Proof of Theorem 1.1

For  $d \geq 3$  the statement follows from Corollary 3.3 and Corollary 3.6. For the cases  $d \leq 3$  see Remark 1.2 (i).

## 4. A lower bound

**Proposition 4.1** *For  $d \geq 2$  we have the equality*

$$\text{mult}_{(d-1,1)}(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)}) = d - 1.$$

**Proof** For  $k = 0, 1, \dots, d-2$  consider the element

$$w_k := x_1^k [x_1, x_2] x_1^{d-2-k} \in \mathbb{C}\langle x_1, x_2 \rangle = F_2.$$

These elements are  $\text{GL}_2(\mathbb{C})$ -highest weight vectors with weight  $(d-1, 1)$ , hence each generates an irreducible  $\text{GL}_2(\mathbb{C})$ -submodule isomorphic to  $W_{(d-1,1)}$  (see the proof of Proposition 3.4 for the notation  $W_\lambda$ : it is the polynomial  $\text{GL}_2(\mathbb{C})$ -module with highest weight  $\lambda = (\lambda_1, \lambda_2)$ ). Moreover, the  $w_k$  ( $k = 0, 1, \dots, d-2$ ) are linearly independent modulo the ideal  $I(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)})$ : indeed, make the substitution  $x_1 \mapsto \rho(h)$ ,  $x_2 \mapsto \rho(e)$ . Then we get

$$\begin{aligned} w_k(\rho(h), \rho(e)) &= \left( \sum_{i=1}^d (d+1-2i) E_{i,i} \right)^k \cdot \left( 2 \sum_{i=1}^{d-1} i E_{i,i+1} \right) \cdot \left( \sum_{i=1}^d (d+1-2i) E_{i,i} \right)^{d-2-k} \\ &= 2 \sum_{i=1}^{d-1} i (d+1-2i)^k (d-1-2i)^{d-2-k} E_{i,i+1}. \end{aligned}$$

Denote by  $Z = (Z_{i,j})_{i,j=1}^{d-1}$  the  $(d-1) \times (d-1)$  matrix whose  $(i, k+1)$  entry is the  $(i, i+1)$ -entry of  $w_k(\rho(h), \rho(e))$  (i.e. the coefficient of  $E_{i,i+1}$  on the right hand side of the above equality). If  $i \neq \frac{d-1}{2}$ , then

$$Z_{i,k+1} = 2(d-1-2i)^{d-2} \cdot \left( \frac{d+1-2i}{d-1-2i} \right)^k.$$

Thus when  $d$  is even,  $Z$  is obtained from a Vandermonde matrix via multiplying each row by a nonzero integer. Since the numbers  $\frac{d+1-2i}{d-1-2i}$ ,  $i = 1, \dots, d-1$  are distinct, we conclude that  $\det(Z) \neq 0$ . When  $d = 2f - 1$  is odd, the  $(f-1)$ th row of  $Z$  is

$$(0, \dots, 0, 2(f-1)2^{d-2}).$$

Expand the determinant of  $Z$  along this row; the  $(d-2) \times (d-2)$  minor of  $Z$  obtained by removing the  $(f-1)th$  row and the last column of  $Z$  is again obtained from a Vandermonde matrix by multiplying each row by a nonzero integer. So  $\det(Z)$  is nonzero also when  $d$  is odd. This shows that the elements  $w_k(\rho(h), \rho(e))$ ,  $k = 0, 1, \dots, d-2$  are linearly independent in  $\mathbb{C}^{d \times d}$ . Consequently, no nontrivial linear combination of  $w_0, w_1, \dots, w_{d-2}$  belongs to  $I(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)})$ . It follows that  $F_2/(I(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)}) \cap F_2)$  contains the irreducible  $\mathrm{GL}_2(\mathbb{C})$ -module  $W_{(d-1,1)}$  with multiplicity  $\geq d-1$ . This multiplicity is in fact equal to  $d-1$ , because  $d-1$  is the multiplicity of  $W_{(d-1,1)}$  as a summand in  $F_2$ . Recall finally that for  $\lambda = (\lambda_1, \lambda_2) \vdash m$ , the multiplicity of  $\chi^\lambda$  in the cocharacter sequence coincides with the multiplicity of  $W_\lambda$  in  $F_2/(I(\mathfrak{sl}_2(\mathbb{C}), \rho^{(d)}) \cap F_2)$ .  $\square$

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